Everything you always wanted to know about the parameterized complexity of SUBGRAPH ISOMORPHISM

(but were afraid to ask)

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Subgraph Isomorphism

Input: Graphs H and G.

Decide: Is H isomorphic to a subgraph of G?

- Hard in general: HAMILTONIAN CYCLE is a special case.
- Hard even for planar graphs and 3-regular graphs.

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Is SUBGRAPH ISOMORPHISM easy on bounded-treewidth graphs?

DP and bounded treewidth

Standard dynamic programming on a tree decomposition yields the following result:

Fact

SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, tw(G)) \cdot n$ for some computable function f.

This algorithm needs that

- H is small and
- G has bounded treewidth.

Color coding and bounded treewidth

The color coding technique of [Alon, Yuster, Zwick 1994] gives the following algorithm:

Fact

SUBGRAPH ISOMORPHISM can be solved in time $2^{O(|V(H)|)} \cdot n^{O(tw(H))}$.

This algorithm needs that

- *H* is small,
- *H* has bounded treewidth,

but the treewidth of G can be arbitrary.

Another DP

A dynamic programming algorithm of [Matoušek and Thomas 1992] gives the following result:

Fact

SUBGRAPH ISOMORPHISM for connected *H* can be solved in time $f(|\Delta(H)|) \cdot n^{O(\mathsf{tw}(G))}$ for some computable function *f*.

This algorithm needs that

- *H* has bounded degree,
- H is connected, and
- G has bounded treewidth,

but the size of H can be arbitrary.

SUBGRAPH ISOMORPHISM and BIN PACKING

We can reduce BIN PACKING (with polynomially bounded sizes) to SUBGRAPH ISOMORPHISM with both H and G being a set of paths:

Fact

SUBGRAPH ISOMORPHISM is NP-hard even if both H and G are sets of paths.

The requirement that H is connected is essential in the [Matoušek and Thomas 1992] result!

Parameters of SUBGRAPH ISOMORPHISM

We have seen that the complexity of SUBGRAPH ISOMORPHISM is influenced by the following parameters of H and G:

- number of vertices,
- treewidth,
- maximum degree,
- number of components (connectedness)

 \dots and these parameters can appear either in the exponent of n or as a multiplier of the running time.

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 \dots and these parameters can appear either in the exponent of n or as a multiplier of the running time.

What other natural parameters influence the complexity of SUBGRAPH ISOMORPHISM?

$Cliquewidth \ and \ {\rm SUBGRAPH} \ {\rm ISOMORPHISM}$

Dynamic programming on a tree decomposition:

Fact SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, tw(G)) \cdot n$ for some computable function f.

Can be generalized to clique width [Courcelle, Makowsky, Rotics 2000]:

Fact

SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, cw(G)) \cdot n$ for some computable function f.

Cliquewidth and SUBGRAPH ISOMORPHISM

Color coding:

Fact SUBGRAPH ISOMORPHISM can be solved in time $2^{O(|V(H)|)} \cdot n^{O(tw(H))}$.

Cannot be generalized to cliquewidth: cliques have cliquewidth 2 and k-CLIQUE is W[1]-hard.

Fact

SUBGRAPH ISOMORPHISM cannot be solved in time $f(|V(H)|) \cdot n^{O(cw(H))}$ for any computable function f, unless W[1] = FPT.

Planarity and SUBGRAPH ISOMORPHISM

Fact

SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, tw(G)) \cdot n$ for some computable function f.

The result can be generalized to bounded local treewidth and implies the following result for planar G:

Fact

SUBGRAPH ISOMORPHISM for planar G can be solved in time $f(|V(H)|) \cdot n$ for some computable function f.

Planarity and SUBGRAPH ISOMORPHISM

Fact

SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, tw(G)) \cdot n$ for some computable function f.

Bounded local treewidth can be further generalized:

Fact

SUBGRAPH ISOMORPHISM can be solved in time $f(|V(H)|, x(G)) \cdot n$ for some computable function f, where

- x(G) is the genus of G,
- x(G) is the size of the largest clique minor,
- x(G) is the size of the largest topological clique minor.

Follows from the linear-time solvability of first-order model checking on graphs of bounded expansion [Dvořak, Král, Thomas 2010], [Grohe, Kreutzer, Siebertz 2013]. Treewidth and feedback vertex set number

Fact [Bodlaender 1990], [Ponomarenko 1988]

GRAPH ISOMORPHISM can be solved in time $n^{O(tw(G))}$.

Major open question if there is a $f(tw(G)) \cdot n^{O(1)}$ time algorithm.

Treewidth and feedback vertex set number

Fact [Bodlaender 1990], [Ponomarenko 1988] GRAPH ISOMORPHISM can be solved in time $n^{O(tw(G))}$. Major open question if there is a $f(tw(G)) \cdot n^{O(1)}$ time algorithm.

Feedback vertex set number fvs(G): minimum number of vertices whose deletion makes the graph a forest.

Easy: $tw(G) \leq fvs(G) + 1$.

Fact [Kratsch and Schweitzer 2010]

GRAPH ISOMORPHISM can be solved in time $f(fvs(G)) \cdot n^{O(1)}$.

Is feedback vertex set number a relevant parameter also for SUBGRAPH ISOMORPHISM?

Parameters

We consider the following 10 parameters for H and G:

- Number of vertices $|V(\cdot)|$.
- **2** Number of connected components $cc(\cdot)$.
- Maximum degree $\Delta(\cdot)$.
- Treewidth tw(·).
- Solution $Pathwidth pw(\cdot)$.
- Feedback vertex set number fvs(·).
- Clique width $cw(\cdot)$.
- Genus genus(·).
- Hadwiger number (largest clique minor) hadw(·).
- Topological Hadwiger number (largest topological clique minor) hadw_T(·).

Goal

Determine for every combination of these parameters whether there is an algorithm with running time $% \left({{{\left[{{{\rm{T}}_{\rm{T}}} \right]}}} \right)$

$$f_1(p_1, p_2, \ldots, p_\ell) \cdot n^{f_2(p_{\ell+1}, \ldots, p_t)}$$

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5 possible additional restrictions on H or G:

- Genus is (i.e., planar).
- **2** Number of components is **1** (i.e., connected).
- So Treewidth is at most 1 (i.e., graph is a forest).
- Maximum degree at most 2 (i.e., paths and cycles).
- Solution Maximum degree at most 3.

Goal

Determine for every combination of these parameters whether there is an algorithm with running time

$$f_1(p_1, p_2, \ldots, p_\ell) \cdot n^{f_2(p_{\ell+1}, \ldots, p_t)}$$

Main result

For any combination of the 2×10 parameters in the multiplier and the exponent and for any combinations of the 5 additional restrictions, we either show an algorithm or prove that no such algorithm exists (under standard complexity assumption).

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Main result

For any combination of the 2×10 parameters in the multiplier and the exponent and for any combinations of the 5 additional restrictions, we either show an algorithm or prove that no such algorithm exists (under standard complexity assumption).

Every question in this framework is completly answered by

- 11 positive results and
- 17 negative results.

Results

-1

Short Description	Thm	Н											G								
		$ V(\cdot) $	cc	Δ	fvs	pw	tw	cw	genus	hadw	hadwr	cc	Δ	fvs	pw	tw	cw	genus	hadw	hadwr	
FO model checking	Thm P.1 (page 17)	м															м				
	Thm P.2 (page 17)	м																		м	
Color coding	Thm P.3 (page 17)	м					E														
Matoušek-Thomas	Thm P.4 (page 18)		м	м												Е					
$Paths&Cycles \rightarrow Paths&Cycles$	Thm P.5 (page 18)											Е	2								
Dynamic Programming	Thm P.6 (page 19)		Е	2								1				м					
	Thm P.7 (page 21)		Е	2													Е				
	Thm P.8* (page 23)		м													1					
FVS and CSPs	Thm P.9 [*] (page 28)		м	2									м	м							
	Thm P.10* (page 36)		E										м	м				E			
	Thm P.11* (page 46)		Е	Е									м	м					E		
Bin Packing	Thm N.1 (page 46)	[м	2			1					
	Thm N.2 (page 47)		1				1							Е	E			0			
	Thm N.3 (page 47)			2								1	з		Е	1					
Planar cubic HamPath	Thm N.4 (page 48)		1	2			1						3					0			
Clique	Thm N.5 (page 48)	м	1					E													
HamPath in bounded cw	Thm N.6 (page 48)		1	2			1										м				
Gim TilNo, 1-in-n gadgets	Thm N.7 [*] (page 57)		м			Е	1					1	з	м	м			0			
	Thm N.8* (page 61)		1			Е	1						м	м	м			м	E		
	Thm N.9* (page 61)		1			Е	1						з	м	м			м			
	Thm $N.10^*$ (page 63)		1	3		Е	1						м	м	м		Е	м			
GRD THING, moustache gadgets	Thm N.11* (page 64)		1	3		Е	1							м	м			0			
	Thm N.12* (page 66)		1			Е	1						з		м			0			
Small planar graph	Thm N.13* (page 67)	м	1	3					0												
Exact Planar Arc Supply	Thm N.14 [*] (page 74)		M	2			1					1		м	м			0			
	Thm N.15* (page 77)		м	2	i	l l	1					1	з		м			0			
	Thm N.16* (page 79)		м	2			1					1		м	м		Е	м			
	Thm N.17* (page 79)		м	2			1					1	м		м		Е	м			

Figure 1: Positive and negative results in the paper. Results marked with * are new findings that were not known before.

Comparing specifications

Finding an algorithm satisfying a specification does not become any easier if we

- remove a parameter,
- move a parameter from the exponent to the multiplier,
- remove a constraint,
- adding a parameter to the multiplier or the exponent whose value is already bounded by a constraint, or
- adding a parameter to the multiplier (resp., exponent) whose value can be bounded by a computable function of the parameters already in the multiplier (resp., exponent) on instances where all the constraints in the description hold.

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Claim

For any specification, the 11 positive and 17 negative results imply a positive or negative answer using these rules.

Can be verified by a computer program.

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Dynamic programming: for every edge-defined subtree $T_H \subseteq H$ and $T_G \subseteq G$, we let $x(T_H, T_G) = \text{true}$ if there is a subgraph isomorphism from T_H to T_G matching the root edges.



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We need to match the children trees of T_H to the children trees of T_G : we need to solve a bipartite matching problem.



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Fact [Mulmuley, Vazirani, Vazirani 1987]

Given a bipartite (multi)graph *B* with nonnegative integer weights and a target weight *w*, there is a randomized algorithm for finding a perfect matching of weight **exactly** *w* in time polynomial in |B|and *w*.

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We can solve our colored matching problem in time $f(\ell) \cdot n^{O(1)}$:

- Replace each edge of label *i* with two parallel edges of weight 0 and $2^{i-1} + 2^{2\ell-i}$.
- Find a perfect matching of weight exactly $w = \sum_{i=1}^{\ell} (2^{i-1} + 2^{2\ell-i}).$

— intermission —

Constraint satisfaction problems

We define a Constraint Satisfaction Problem (CSP) by

- a domain *D* of values,
- \bullet a set V of variables, and
- a set of constraints, where a constraint is a binary relation on two variables.

Examples:

- 3-COLORING of G is a CSP with |D| = 3 and V = V(G),
- k-COLORING of G is a CSP with D = V(G) and |V| = k.

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Primal graph: vertex set is V, there is an edge between u and v if there is a constraint on u and v.

Fact [Freuder 1990]

A CSP instance with primal graph G can be solved in time $n^{O(tw(G))}$.
Projection graph: vertex set is V, there is an edge \overrightarrow{uv} if the constraint on u and v is a projection from u to v.

- a projection source makes the problem easy to solve.
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- a projection source makes the problem easy to solve.
- a projection sink is useless in general.

Fact

We can solve in polynomial time a CSP instance if its primal graph is planar and has a projection sink.

• There is a spanning in-tree T rooted at the projection sink.



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- Cut open this tree, duplicating variables and constraints the obvious way.



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- There is a spanning in-tree T rooted at the projection sink.
- Cut open this tree, duplicating variables and constraints the obvious way.
- Duplicated variables are automatically synchronized.
- Resulting primal graph is outerplanar \Rightarrow has treewidth \leq 3 \Rightarrow polynomial-time solvable.



— end of intermission —

Positive result

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There is a set Z of $O(\Delta^2(G) \cdot \text{fvs}(G))$ vertices such that every component of $G \setminus Z$ is a tree and has at most two edges to Z.



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Fact

There is a set Z of $O(\Delta^2(G) \cdot \text{fvs}(G))$ vertices such that every component of $G \setminus Z$ is a tree and has at most two edges to Z.



- Let us guess which subset of edges indicident to Z is used by the solution.
- Let us fix an edge coloring of H and let us guess the correct edge coloring of the edges incident to Z.



We formulate finding the subgraph isomorphism
 φ : V(H) → V(G) as a CSP problem: the variables
 correspond to the vertices in Z and the value of a u ∈ Z is the preimage φ⁻¹(u).



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- If G \ Z has a component adjacent to u, v ∈ Z, then this forces a constraint on φ⁻¹(u) and φ⁻¹(v).
- After taking care of some technicalities, these are the only constraints: we need to solve a CSP instance.



• Fix a spanning tree in *H* and guess how the tree goes via the components of $G \setminus Z$ (which components are traversed).



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- Fix a spanning tree in H and guess how the tree goes via the components of $G \setminus Z$ (which components are traversed).
- This forces some constraints to be projections, in fact, creates a projection sink.
- As *G* is planar, the primal graph is planar and the CSP instance can be solved in polynomial time.



Positive result SUBGRAPH ISOMORPHISM can be solved in time $f(fvs(G), \Delta(G)) \cdot n^{O(1)}$ if G is planar and H is connected.

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Can be generalized:
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Positive result P.10
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SUBGRAPH ISOMORPHISM can be solved in time $f_1(fvs(G), \Delta(G)) \cdot n^{f_2(genus(G), cc(H))}$.

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Positive result P.11
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SUBGRAPH ISOMORPHISM can be solved in time $f_1(fvs(G), \Delta(G)) \cdot n^{f_2(hadw(G), cc(H), \Delta(H))}$.

Graph Structure Theorem

Decomposing *H*-minor-free graphs into almost embeddable parts:

Theorem [Robertson-Seymour]

For every graph H, there is an integer k and a surface Σ such that every H-minor-free graph

• can be built by clique sums from graphs that are k-almost embeddable in Σ ,

(or equivalently)

• has a tree decomposition where every torso is k-almost embeddable in Σ .

Originally stated only combinatorially, algorithmic versions are known.

k-almost embeddable

Definition

Graph G is k-almost embeddable in surface Σ if

- there is a set X of at most k apex vertices and
- \bullet a graph G_0 embedded in $\Sigma,$ such that
- $G \setminus X$ can be obtained from G_0 by attaching vortices of width k on disjoint disks D_1, \ldots, D_k .



Projection sinks

Fact

We can solve in polynomial time a CSP instance if its primal graph G is planar and has a projection sink.

Straightforward generalization:

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We can solve in time $n^{f(genus(G))}$ a CSP instance if its primal graph *G* has a projection sink.

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By using the Robertson-Seymour structure theorem and carefully handling vortices and cliques sums, we get the following generalization:

Fact

We can solve in time $n^{f(hadw(G))}$ a CSP instance if its primal graph G has a projection sink.

Hardness proofs

To prove that there is no algorithm for $\ensuremath{\underline{\mathrm{SUBGRAPH}}}$ Isomorphism with running time

 $n^{f(p_1,\ldots,p_t)},$

we should show that SUBGRAPH ISOMORPHISM is NP-hard even for instances where each of p_1, \ldots, p_t is bounded by a universal constant.

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To prove that there is no algorithm for $\ensuremath{\texttt{SUBGRAPH}}$ Isomorphism with running time

 $f_1(p_1, p_2, \ldots, p_\ell) \cdot n^{f_2(p_{\ell+1}, \ldots, p_t)},$

we use the fact that there is no $f(k) \cdot n^{O(1)}$ algorithm for *k*-CLIQUE unless FPT = W[1]. Then we need a reduction from *k*-CLIQUE to SUBGRAPH ISOMORPHISM such that

- each of p_1, \ldots, p_ℓ is bounded by a function of k, and
- each of $p_{\ell+1}, \ldots, p_t$ is bounded by a universal constant.

GRID TILING

- *Input:* A $k \times k$ matrix and a set of pairs $S_{i,j} \subseteq [D] \times [D]$ for each cell.
- *Find:* A pair $s_{i,j} \in S_{i,j}$ for each cell such that
 - Horizontal neighbors agree in the first component.
 - Vertical neighbors agree in the second component.

(1,1)	(1,5)	(1,1)	
(1,3)	(4,1)	(4,2)	
(4,2)	(3,5)	(3,3)	
(2,2) (4,1)	(1,3) (2,1)	(2,2) (3,2)	
(3,1) (3,2) (3,3)	(1,1) (3,1)	(3,2) (3,5)	
k = 3, D = 5			

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Reduction from *k*-CLIQUE

Definition of the sets:

- For i = j: $(x, y) \in S_{i,j} \iff x = y$
- For $i \neq j$: $(x, y) \in S_{i,j} \iff x$ and y are adjacent.



Each diagonal cell defines a value $v_i \dots$

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... which appears on a "cross"

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 v_i and v_j are adjacent for every $1 \le i < j \le k$.

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 v_i and v_j are adjacent for every $1 \le i < j \le k$.
Negative result N.7

Unless FPT = W[1], there is no algorithm for SUBGRAPH ISOMORPHISM with running time

 $f_1(cc(H), pw(G), fvs(G)) \cdot n^{f_2(pw(H))},$

even if H is a forest and G is a connected planar graph with maximum degree 3.

We need to reduce $k \times k$ GRID TILING to an instance of SUBGRAPH ISOMORPHISM where

- cc(H), pw(G), fvs(G) is bounded by a function of k,
- pw(H) is bounded by a universal constant,
- *H* is a forest,
- G is a connected planar graph with maximum degree 3.

Gadget construction

Consider the following subgraphs in H and G:



If a subgraph isomorphism maps special vertex r_H to r_G , then one of the tree T_i protrudes out at vertex v_{out} .

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Slightly more challenging: construct such gadgets where H has bounded degree and bounded pathwidth.

Generalizing it to a gadget where $\frac{8}{2}$ paths of certain lengths protrude out:





Graph G

Negative result N.7

Unless FPT = W[1], there is no algorithm for SUBGRAPH ISOMORPHISM with running time

 $f_1(\operatorname{cc}(H), \operatorname{pw}(G), \operatorname{fvs}(G)) \cdot n^{f_2(\operatorname{pw}(H))},$

even if H is a forest and G is a connected planar graph with maximum degree 3.

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```
A variant of the result:
```

```
Negative result N.8
```

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 $f_1(ce(H), pw(G), fvs(G), genus(G), \Delta(G)) \cdot n^{f_2(pw(H), hadw(G))},$

even if H is a forest tree and G is a connected planar graph with maximum degree 3.

Essentially: add a new vertex to H and G to make them connected.

Negative result N.7

Unless FPT = W[1], there is no algorithm for SUBGRAPH ISOMORPHISM with running time

 $f_1(\operatorname{cc}(H), \operatorname{pw}(G), \operatorname{fvs}(G)) \cdot n^{f_2(\operatorname{pw}(H))},$

even if H is a forest and G is a connected planar graph with maximum degree 3.

Negative result N.7

Unless FPT = W[1], there is no algorithm for SUBGRAPH ISOMORPHISM with running time

 $f_1(\operatorname{cc}(H), \operatorname{pw}(G), \operatorname{fvs}(G)) \cdot n^{f_2(\operatorname{pw}(H))},$

even if H is a forest and G is a connected planar graph with maximum degree 3.

A variant of the result:

```
Negative result N.9
```

```
Unless FPT = W[1], there is no algorithm for SUBGRAPH ISOMORPHISM with running time
```

 $f_1(c_{\mathcal{C}}(\mathcal{H}), \mathsf{pw}(G), \mathsf{fvs}(G), \mathsf{genus}(G)) \cdot n^{f_2(\mathsf{pw}(\mathcal{H}))},$

even if H is a forest tree and G is a connected planar graph with maximum degree 3.

Essentially: connecting the gadgets in a path like manner.



Graph H



 $\mathsf{Graph}\ \mathbf{G}$

Summary

- $\bullet\,$ We formulated a framework with 2 $\times\,10$ parameters and 5 constraints.
- We showed that 11 positive results and 17 negative results (some known, some new) answer every question in this framework.
- We developed a computer program to check for complete coverage and to find the questions that are not yet explained by the results.
- Some interesting new positive results and very careful and nontrivial hardness proofs.
- Full paper and program on arxiv.