



# ***Improving local search using parameterized complexity***

Dániel Marx

Budapest University of Technology and Economics

`dmarx@cs.bme.hu`

Joint work with

Andrei Krokhin

Cork Constraint Computing Center

University College Cork, Ireland

December 10, 2008

# Overview

- ⑥ Local search algorithms
- ⑥ Parameterized complexity approach to local search
- ⑥ Applying this approach for the problem of finding minimum weight solutions for Boolean CSP's.
- ⑥ Main result: classification theorem.

# *Local search*

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.

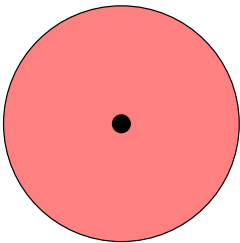
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.

-

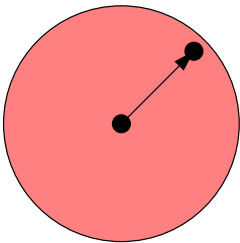
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



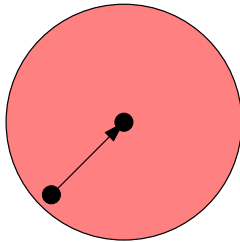
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



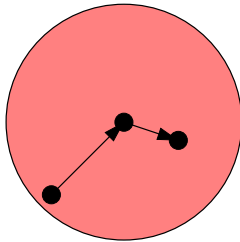
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



# Local search

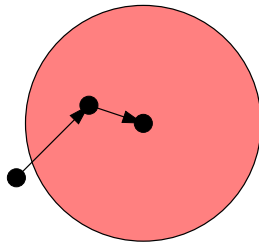
**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.





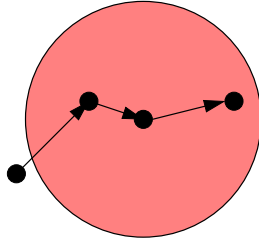
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



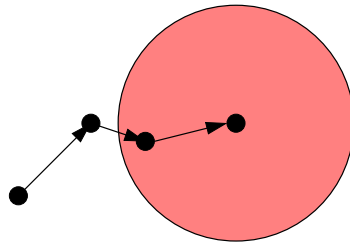
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



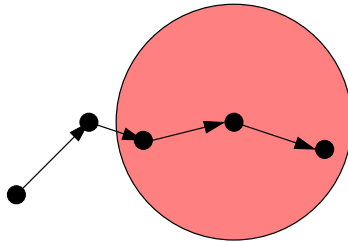
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



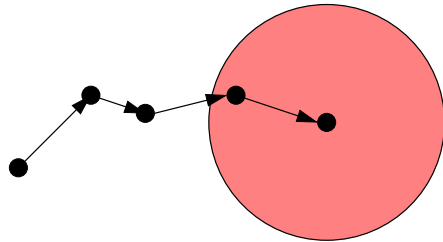
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



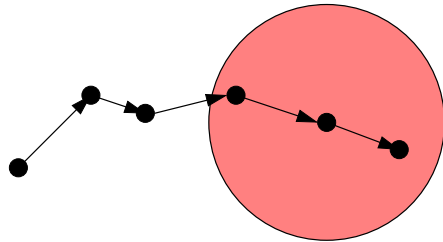
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



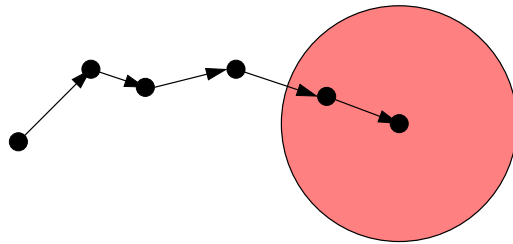
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



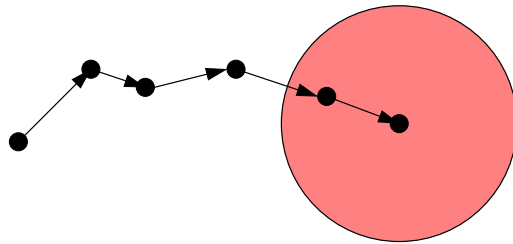
# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



# Local search

**Local search:** walk in the solution space by iteratively replacing the current solution with a better solution in the local neighborhood.



Problem: local search can stop at a local optimum (no better solution in the local neighborhood).

More sophisticated variants: simulated annealing, tabu search, etc.



# *Local neighborhood*

The local neighborhood is defined in a problem-specific way:

- ⑥ For TSP, the neighbors are obtained by swapping 2 cities or replacing 2 edges.
- ⑥ For a problem with 0-1 variables, the neighbors are obtained by flipping a single variable.
- ⑥ For subgraph problems, the neighbors are obtained by adding/removing one edge.

# Local neighborhood

The local neighborhood is defined in a problem-specific way:

- ⑥ For TSP, the neighbors are obtained by swapping 2 cities or replacing 2 edges.
- ⑥ For a problem with 0-1 variables, the neighbors are obtained by flipping a single variable.
- ⑥ For subgraph problems, the neighbors are obtained by adding/removing one edge.

More generally: reordering  $k$  cities, flipping  $k$  variables, etc.

Larger neighborhood (larger  $k$ ):

- ⑥ algorithm is less likely to get stuck in a local optimum,
- ⑥ it is more difficult to check if there is a better solution in the neighborhood.

# Searching the neighborhood

Is there an efficient way of finding a better solution in the  $k$ -neighborhood?  
We study the complexity of the following problem:

Input: instance  $I$ , solution  $x$ , integer  $k$   
Decide: Is there a solution  $x'$  with  $\text{dist}(x, x') \leq k$  that is “better” than  $x$ ?

# Searching the neighborhood

Is there an efficient way of finding a better solution in the  $k$ -neighborhood?  
We study the complexity of the following problem:

Input:	instance $I$ , solution $x$ , integer $k$
Decide:	Is there a solution $x'$ with $\text{dist}(x, x') \leq k$ that is “better” than $x$ ?

**Remark 1:** If the optimization problem is hard, then it is unlikely that this local search problem is polynomial-time solvable: otherwise we would be able to test if a solution is optimal.

# Searching the neighborhood

Is there an efficient way of finding a better solution in the  $k$ -neighborhood?  
We study the complexity of the following problem:

Input:	instance $I$ , solution $x$ , integer $k$
Decide:	Is there a solution $x'$ with $\text{dist}(x, x') \leq k$ that is “better” than $x$ ?

**Remark 1:** If the optimization problem is hard, then it is unlikely that this local search problem is polynomial-time solvable: otherwise we would be able to test if a solution is optimal.

**Remark 2:** Size of the  $k$ -neighborhood is usually  $n^{O(k)} \Rightarrow$  local search is polynomial-time solvable for every fixed  $k$ , but it is not practical for larger  $k$ .

# Searching the neighborhood

Is there an efficient way of finding a better solution in the  $k$ -neighborhood?  
We study the complexity of the following problem:

Input:	instance $I$ , solution $x$ , integer $k$
Decide:	Is there a solution $x'$ with $\text{dist}(x, x') \leq k$ that is “better” than $x$ ?

**Remark 1:** If the optimization problem is hard, then it is unlikely that this local search problem is polynomial-time solvable: otherwise we would be able to test if a solution is optimal.

**Remark 2:** Size of the  $k$ -neighborhood is usually  $n^{O(k)} \Rightarrow$  local search is polynomial-time solvable for every fixed  $k$ , but it is not practical for larger  $k$ .

**Classical complexity theory does not tell us anything useful about the complexity of local search!**

# Parameterized complexity

**Problem:**

MINIMUM VERTEX COVER

MAXIMUM INDEPENDENT SET

**Input:**

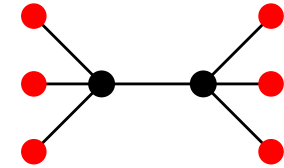
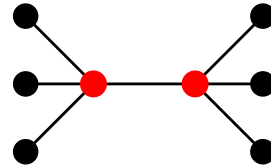
Graph  $G$ , integer  $k$

Graph  $G$ , integer  $k$

**Question:**

Is it possible to cover the edges with  $k$  vertices?

Is it possible to find  $k$  independent vertices?

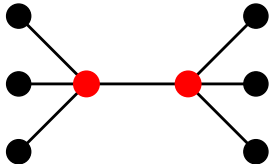
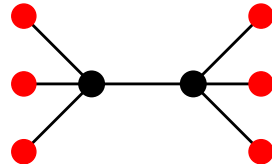


**Complexity:**

NP-complete

NP-complete

# Parameterized complexity

<b>Problem:</b>	MINIMUM VERTEX COVER	MAXIMUM INDEPENDENT SET
<b>Input:</b>	Graph $G$ , integer $k$	Graph $G$ , integer $k$
<b>Question:</b>	Is it possible to cover the edges with $k$ vertices?	Is it possible to find $k$ independent vertices?
		
<b>Complexity:</b>	NP-complete	NP-complete
<b>Complete enumeration:</b>	$O(n^k)$ possibilities	$O(n^k)$ possibilities



# Parameterized complexity

**Problem:**

MINIMUM VERTEX COVER

MAXIMUM INDEPENDENT SET

**Input:**

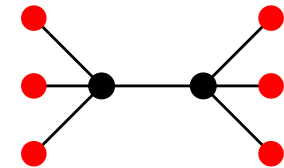
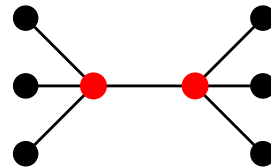
Graph  $G$ , integer  $k$

Graph  $G$ , integer  $k$

**Question:**

Is it possible to cover the edges with  $k$  vertices?

Is it possible to find  $k$  independent vertices?



**Complexity:**

NP-complete

NP-complete

**Complete enumeration:**

$O(n^k)$  possibilities

$O(n^k)$  possibilities

$O(2^k n^2)$  algorithm exists

No  $n^{o(k)}$  algorithm known



# *Bounded search tree method*

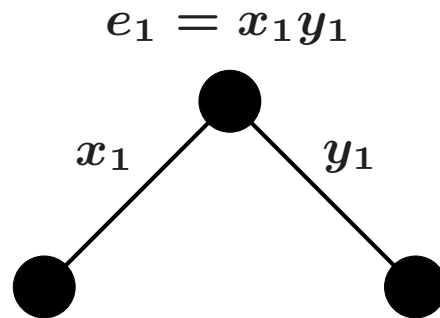
Algorithm for MINIMUM VERTEX COVER:

$$e_1 = x_1 y_1$$



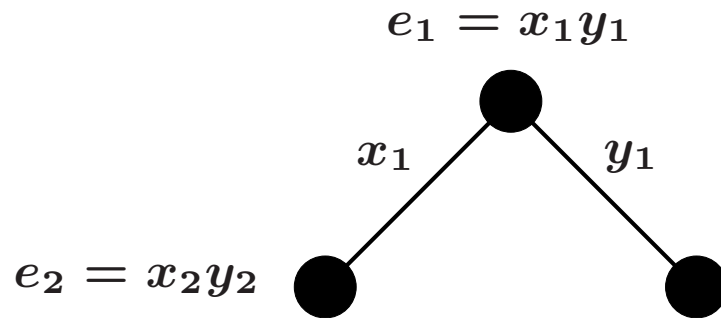
# Bounded search tree method

Algorithm for MINIMUM VERTEX COVER:



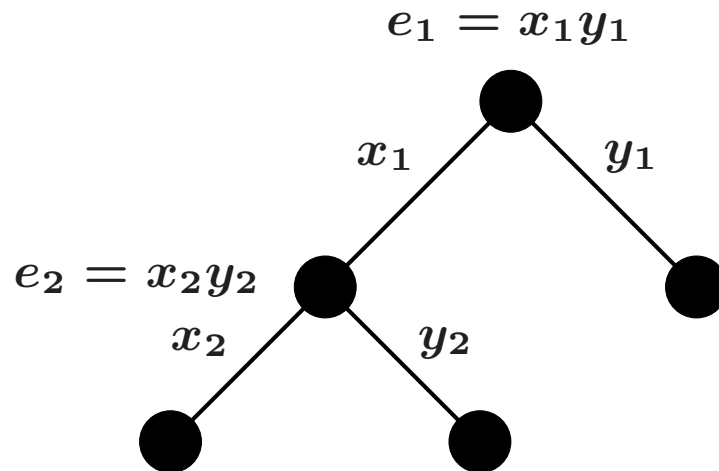
# Bounded search tree method

Algorithm for MINIMUM VERTEX COVER:



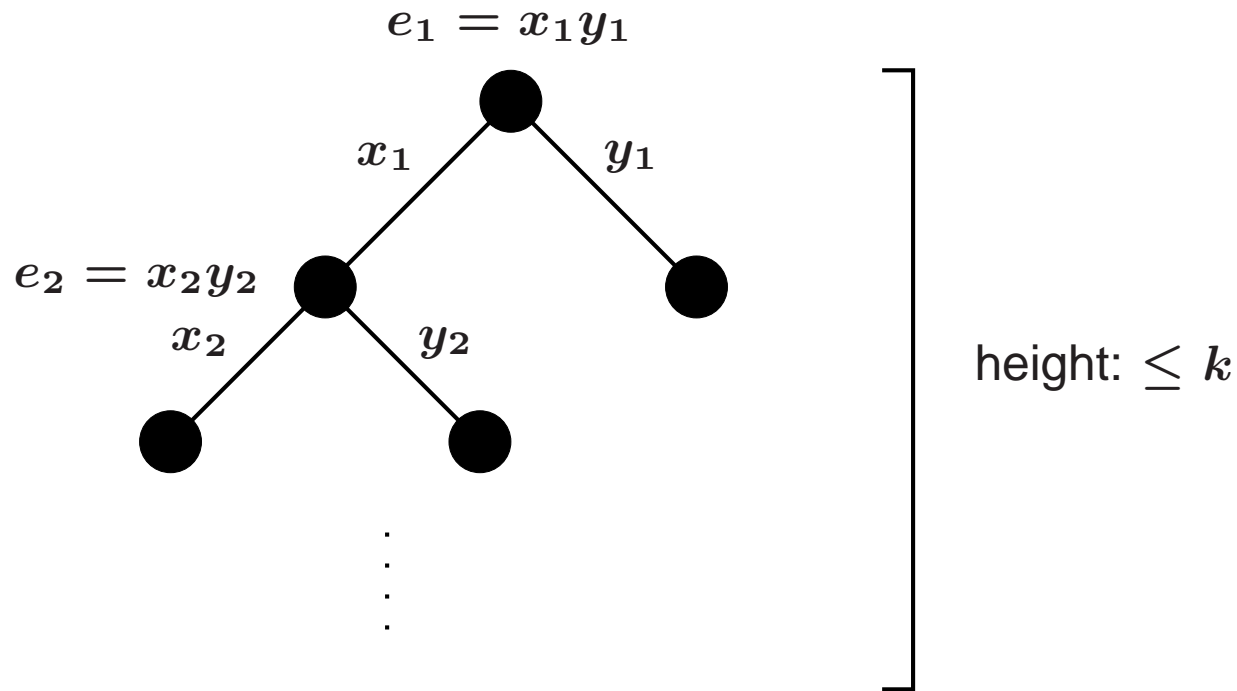
# Bounded search tree method

Algorithm for MINIMUM VERTEX COVER:



# Bounded search tree method

Algorithm for MINIMUM VERTEX COVER:



Height of the search tree is  $\leq k \Rightarrow$  number of nodes is  $O(2^k) \Rightarrow$  complete search requires  $2^k \cdot \text{poly}$  steps.

# Fixed-parameter tractability

**Definition:** a parameterized problem is fixed-parameter tractable (FPT) if there is an  $f(k)n^c$  time algorithm for some constant  $c$ .

We have seen that MINIMUM VERTEX COVER is in FPT. Best known algorithm:  
 $O(1.2832^k k + k|V|)$  [Niedermeier, Rossmanith, 2003]

Main goal of parameterized complexity: to find FPT problems.

# Fixed-parameter tractability

**Definition:** a parameterized problem is fixed-parameter tractable (FPT) if there is an  $f(k)n^c$  time algorithm for some constant  $c$ .

We have seen that MINIMUM VERTEX COVER is in FPT. Best known algorithm:  $O(1.2832^k k + k|V|)$  [Niedermeier, Rossmanith, 2003]

Main goal of parameterized complexity: to find FPT problems.

Examples of NP-hard problems that are FPT:

- ⑥ Finding a vertex cover of size  $k$ .
- ⑥ Finding a path of length  $k$ .
- ⑥ Finding  $k$  disjoint triangles.
- ⑥ Drawing the graph in the plane with  $k$  edge crossing.
- ⑥ Finding disjoint paths that connect  $k$  pairs of points.
- ⑥ ...



# Fixed-parameter tractability (cont.)

- ⑥ Practical importance: efficient algorithms for small values of  $k$ .
- ⑥ Powerful toolbox for designing FPT algorithms:

Bounded Search Tree

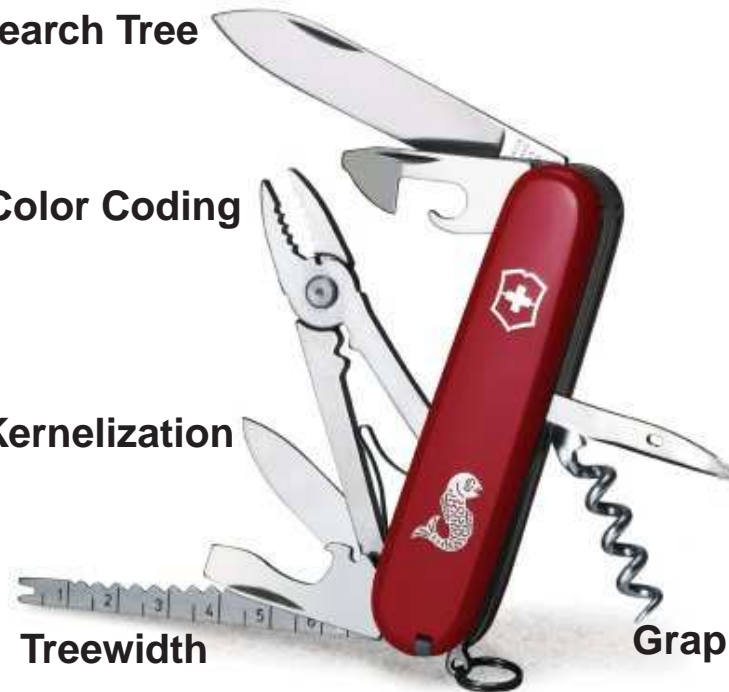
Color Coding

Kernelization

Treewidth

Well-Quasi-Ordering

Graph Minors Theorem



# Fixed-parameter tractability (cont.)

- ⑥ Practical importance: efficient algorithms for small values of  $k$ .
- ⑥ Powerful toolbox for designing FPT algorithms:

## Bounded Search Tree



# Parameterized intractability

We expect that MAXIMUM INDEPENDENT SET is not fixed-parameter tractable, no  $n^{o(k)}$  algorithm is known.

**W[1]-complete**  $\approx$  “as hard as MAXIMUM INDEPENDENT SET”

# Parameterized intractability

We expect that MAXIMUM INDEPENDENT SET is not fixed-parameter tractable, no  $n^{o(k)}$  algorithm is known.

**W[1]-complete**  $\approx$  “as hard as MAXIMUM INDEPENDENT SET”

**Parameterized reductions:**  $L_1$  is reducible to  $L_2$ , if there is a function  $f$  that transforms  $(x, k)$  to  $(x', k')$  such that

- ⑥  $(x, k) \in L_1$  if and only if  $(x', k') \in L_2$ ,
- ⑥  $f$  can be computed in  $f(k)|x|^c$  time,
- ⑥  **$k'$  depends only on  $k$**

If  $L_1$  is reducible to  $L_2$ , and  $L_2$  is in FPT, then  $L_1$  is in FPT as well.

Most NP-completeness proofs are not good for parameterized reductions.

# Parameterized Complexity: Summary

Two key concepts:

- ⑥ A parameterized problem is **fixed-parameter tractable** if it has an  $f(k)n^c$  time algorithm.
- ⑥ To show that a problem  $L$  is hard, we have to give a **parameterized reduction** from a known **W[1]-complete** problem to  $L$ .

# Parameterized Complexity: Summary

Two key concepts:

- ⑥ A parameterized problem is **fixed-parameter tractable** if it has an  $f(k)n^c$  time algorithm.
- ⑥ To show that a problem  $L$  is hard, we have to give a **parameterized reduction** from a known **W[1]-complete** problem to  $L$ .

The question that we want to investigate:

Is  $k$ -local-search fixed-parameter tractable for a particular problem?

If yes, then local search algorithms can consider larger neighborhoods, improving their efficiency.

**Important:**  $k$  is the number of allowed changes and **not** the size of the solution. Relevant even if solution size is large.

# Results on parameterized local search

- ⑥ **Task:** find a spanning tree maximizing the number of vertices having full degree.

Local search is FPT: given a solution, it can be checked in time  $O(n^2 + nf(k))$  if it is possible to obtain a better solution by replacing at most  $k$  edges [Khuller, Bhatia, and Pless 2003].

- ⑥ **Task:** TSP with distances satisfying the triangle inequality.

Local search is hard: it is W[1]-hard to check if it is possible to obtain a shorter tour by replacing at most  $k$  arcs [M. 2008].

# *Results on parameterized local search (cont.)*



- ⑥ **Task:** find a minimum dominating set/minimum  $r$ -center/minimum vertex cover in a planar graph.

Local search is FPT. [Fellows et al., 2008].



# Results on parameterized local search (cont.)

- ⑥ **Task:** find a minimum dominating set/minimum  $r$ -center/minimum vertex cover in a planar graph.

Local search is FPT. [Fellows et al., 2008].

- ⑥ **Task:** find a maximum stable assignment in the “Hospitals/Residents with Couples” problem (a variant of Stable Marriage).
  - △ Local search is W[1]-hard:  
There is no  $f(k) \cdot n^{O(1)}$  algorithm for deciding whether an assignment can be improved by at most  $k$  changes.
  - △ Local search is FPT if the number  $\ell$  of couples is also a parameter:  
There is an  $f(k, \ell) \cdot n^{O(1)}$  for deciding whether an assignment can be improved by at most  $k$  changes. [M. and Schlotter 2008].

# Boolean CSP

**Topic of this talk:** investigating the parameterized complexity of local search for the problem of finding a minimum weight solution for a Boolean constraint satisfaction problem (CSP).

Boolean CSP: generalization of SAT. Input is a conjunction of constraints over a set of Boolean variables.

$$R_1(x_1, x_4, x_5) \wedge R_2(x_2, x_1) \wedge R_1(x_3, x_3, x_3) \wedge R_3(x_5, x_1, x_4, x_1)$$

Constraints can be arbitrary Boolean relations.

Problem is too general!

# Boolean CSP

If  $\Gamma$  is a set of Boolean relations, then a  $\Gamma$ -**formula** is a conjunction of relations in  $\Gamma$ :

$$R_1(x_1, x_4, x_5) \wedge R_2(x_2, x_1) \wedge R_1(x_3, x_3, x_3) \wedge R_3(x_5, x_1, x_4, x_1)$$

## $\Gamma$ -SAT

- Given: an  $\Gamma$ -formula  $\varphi$
- Find: a variable assignment satisfying  $\varphi$

# Boolean CSP

If  $\Gamma$  is a set of Boolean relations, then a  $\Gamma$ -**formula** is a conjunction of relations in  $\Gamma$ :

$$R_1(x_1, x_4, x_5) \wedge R_2(x_2, x_1) \wedge R_1(x_3, x_3, x_3) \wedge R_3(x_5, x_1, x_4, x_1)$$

## $\Gamma$ -SAT

- Given: an  $\Gamma$ -formula  $\varphi$
- Find: a variable assignment satisfying  $\varphi$

$\Gamma = \{a \neq b\} \Rightarrow \Gamma$ -SAT = 2-coloring of a graph

$\Gamma = \{a \vee b, a \vee \bar{b}, \bar{a} \vee \bar{b}\} \Rightarrow \Gamma$ -SAT = 2SAT

$\Gamma = \{a \vee b \vee c, a \vee b \vee \bar{c}, a \vee \bar{b} \vee \bar{c}, \bar{a} \vee \bar{b} \vee \bar{c}\} \Rightarrow \Gamma$ -SAT = 3SAT

# Boolean CSP

If  $\Gamma$  is a set of Boolean relations, then a  $\Gamma$ -**formula** is a conjunction of relations in  $\Gamma$ :

$$R_1(x_1, x_4, x_5) \wedge R_2(x_2, x_1) \wedge R_1(x_3, x_3, x_3) \wedge R_3(x_5, x_1, x_4, x_1)$$

## $\Gamma$ -SAT

- Given: an  $\Gamma$ -formula  $\varphi$
- Find: a variable assignment satisfying  $\varphi$

$\Gamma = \{a \neq b\} \Rightarrow \Gamma$ -SAT = 2-coloring of a graph

$\Gamma = \{a \vee b, a \vee \bar{b}, \bar{a} \vee \bar{b}\} \Rightarrow \Gamma$ -SAT = 2SAT

$\Gamma = \{a \vee b \vee c, a \vee b \vee \bar{c}, a \vee \bar{b} \vee \bar{c}, \bar{a} \vee \bar{b} \vee \bar{c}\} \Rightarrow \Gamma$ -SAT = 3SAT

**Question:**  $\Gamma$ -SAT is polynomial time solvable for which  $\Gamma$ ?

It is NP-complete for which  $\Gamma$ ?

# Schaefer's Dichotomy Theorem (1978)

For every finite  $\Gamma$ , the  $\Gamma$ -SAT problem is polynomial time solvable if one of the following holds, and NP-complete otherwise:

- ⑥ Every relation is satisfied by the all 0 assignment
- ⑥ Every relation is satisfied by the all 1 assignment
- ⑥ Every relation can be expressed by a 2SAT formula
- ⑥ Every relation can be expressed by a Horn formula
- ⑥ Every relation can be expressed by an anti-Horn formula
- ⑥ Every relation is an affine subspace over  $GF(2)$

# Other dichotomy results

- ⑥ Approximability of MAX-SAT, MIN-UNSAT [Khanna et al., 2001]
- ⑥ Approximability of MAX-ONES, MIN-ONES [Khanna et al., 2001]
- ⑥ Generalization to 3 valued variables [Bulatov, 2002]
- ⑥ Inverse satisfiability [Kavvadias and Sideri, 1999]
- ⑥ Parameterized complexity of weight  $k$  solutions [M., 2005]
- ⑥ Counting solutions [Bulatov, 2008]
- ⑥ etc.

# Minimizing weight

$\Gamma$ -MIN-ONES: find a solution of a  $\Gamma$ -SAT formula that minimizes the weight (= the number of 1's).

**Theorem:** [Khanna et al., 2001] For every finite  $\Gamma$ , the  $\Gamma$ -MIN-ONES problem is polynomial time solvable if one of the following holds, and NP-complete otherwise:

- ⑥ Every relation is satisfied by the all 0 assignment
- ⑥ Every relation can be expressed by a Horn formula
- ⑥ Every relation is width-2 affine (= can be expressed by constants, =,  $\neq$ ).

**Our goal:** characterize those sets  $\Gamma$  where local search for  $\Gamma$ -MIN-ONES is fixed-parameter tractable.



# Losing weight

## $\Gamma$ -LOSE-WEIGHT

Input: A  $\Gamma$ -formula  $\varphi$ , a solution  $x$  for  $\varphi$ , and an integer  $k$ .

Decide: Is there a solution  $x'$  of  $\varphi$  with  $\text{dist}(x, x') \leq k$  and  $\text{weight}(x') < \text{weight}(x)$ ?

$\text{dist}(x, x')$ : Hamming distance of  $x$  and  $x'$ .

$\text{weight}(x)$ : number of 1's in  $x$ .

# Losing weight

## $\Gamma$ -LOSE-WEIGHT

Input: A  $\Gamma$ -formula  $\varphi$ , a solution  $x$  for  $\varphi$ , and an integer  $k$ .

Decide: Is there a solution  $x'$  of  $\varphi$  with  $\text{dist}(x, x') \leq k$  and  $\text{weight}(x') < \text{weight}(x)$ ?

$\text{dist}(x, x')$ : Hamming distance of  $x$  and  $x'$ .

$\text{weight}(x)$ : number of 1's in  $x$ .

Main result:

**Theorem:** For every finite set  $\Gamma$ ,  $\Gamma$ -LOSE-WEIGHT is either fixed-parameter tractable or W[1]-hard.

+ a simple characterization of the FPT cases.

# Horn constraints

**Definition:** A relation is **Horn** (or weakly negative) if it can be expressed as the conjunction of clauses with at most one positive literal in each clause.

$$(x_1 \vee \bar{x}_2) \wedge (x_3) \wedge (\bar{x}_1 \vee \bar{x}_3 \vee \bar{x}_4) \wedge (\bar{x}_2)$$

A relation is Horn if and only if it is closed under componentwise AND.

# Flip sets

**Definition:** Let  $R$  be an  $r$ -ary relation and  $(a_1, \dots, a_r) \in R$ . A set  $S \subseteq \{1, \dots, r\}$  is a **flip set** of  $(a_1, \dots, a_r)$  (with respect to  $R$ ) if flipping the coordinates corresponding to  $S$  gives another tuple in  $R$ .

**Example:**

$R(x_1, x_2, x_3, x_4)$

$(0, 0, 1, 0)$

$(1, 0, 1, 0)$

$(0, 1, 1, 1)$

$(1, 0, 0, 0)$

$(0, 1, 1, 0)$

$(1, 0, 1, 1)$

# Flip sets

**Definition:** Let  $R$  be an  $r$ -ary relation and  $(a_1, \dots, a_r) \in R$ . A set  $S \subseteq \{1, \dots, r\}$  is a **flip set** of  $(a_1, \dots, a_r)$  (with respect to  $R$ ) if flipping the coordinates corresponding to  $S$  gives another tuple in  $R$ .

**Example:**

	Flip sets of
$R(x_1, x_2, x_3, x_4)$	$(1, 0, 1, 0)$
$(0, 0, 1, 0)$	$\{1\}$
$(1, 0, 1, 0)$	
$(0, 1, 1, 1)$	$\{1, 2, 4\}$
$(1, 0, 0, 0)$	$\{3\}$
$(0, 1, 1, 0)$	$\{1, 2\}$
$(1, 0, 1, 1)$	$\{4\}$

# Flip sets

**Definition:** Let  $R$  be an  $r$ -ary relation and  $(a_1, \dots, a_r) \in R$ . A set  $S \subseteq \{1, \dots, r\}$  is a **flip set** of  $(a_1, \dots, a_r)$  (with respect to  $R$ ) if flipping the coordinates corresponding to  $S$  gives another tuple in  $R$ .

**Example:**

	Flip sets of	Flip sets of
$R(x_1, x_2, x_3, x_4)$	$(1, 0, 1, 0)$	$(0, 1, 1, 1)$
$(0, 0, 1, 0)$	$\{1\}$	$\{2, 3\}$
$(1, 0, 1, 0)$		$\{1, 2, 4\}$
$(0, 1, 1, 1)$	$\{1, 2, 4\}$	
$(1, 0, 0, 0)$	$\{3\}$	$\{1, 2, 3, 4\}$
$(0, 1, 1, 0)$	$\{1, 2\}$	$\{4\}$
$(1, 0, 1, 1)$	$\{4\}$	$\{1, 2\}$

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:** Flip sets of

$R(x_1, x_2, x_3, x_4)$	$(1, 0, 1, 0)$
$(0, 0, 1, 0)$	$\{1\}$
$(1, 0, 1, 0)$	
$(0, 1, 1, 1)$	$\{1, 2, 4\}$
$(1, 0, 0, 0)$	$\{3\}$
$(0, 1, 1, 0)$	$\{1, 2\}$
$(1, 0, 1, 1)$	$\{4\}$

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

Flip sets of

$R(x_1, x_2, x_3, x_4)$  (1, 0, 1, 0)

(0, 0, 1, 0) {1}

(1, 0, 1, 0)

(0, 1, 1, 1) {1, 2, 4}

(1, 0, 0, 0) {3}

(0, 1, 1, 0) {1, 2}

(1, 0, 1, 1) {4}

$R$  is not  
flip separable!



# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

EVEN( $x_1, x_2, x_3, x_4$ )

(0, 0, 0, 0)

(1, 1, 0, 0)

(1, 0, 1, 0)

(1, 0, 0, 1)

(0, 1, 1, 0)

(0, 1, 0, 1)

(0, 0, 1, 1)

(1, 1, 1, 1)

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

<b>Example:</b>	Flip sets of
$\text{EVEN}(x_1, x_2, x_3, x_4)$	$(1, 1, 0, 0)$
$(0, 0, 0, 0)$	$\{1, 2\}$
$(1, 1, 0, 0)$	
$(1, 0, 1, 0)$	$\{2, 3\}$
$(1, 0, 0, 1)$	$\{2, 4\}$
$(0, 1, 1, 0)$	$\{1, 3\}$
$(0, 1, 0, 1)$	$\{1, 4\}$
$(0, 0, 1, 1)$	$\{1, 2, 3, 4\}$
$(1, 1, 1, 1)$	$\{3, 4\}$

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

	Flip sets of	Flip sets of
EVEN( $x_1, x_2, x_3, x_4$ )	(1, 1, 0, 0)	(1, 1, 1, 1)
(0, 0, 0, 0)	{1, 2}	{1, 2, 3, 4}
(1, 1, 0, 0)		{3, 4}
(1, 0, 1, 0)	{2, 3}	{2, 4}
(1, 0, 0, 1)	{2, 4}	{2, 3}
(0, 1, 1, 0)	{1, 3}	{1, 4}
(0, 1, 0, 1)	{1, 4}	{1, 3}
(0, 0, 1, 1)	{1, 2, 3, 4}	{1, 2}
(1, 1, 1, 1)	{3, 4}	

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

	Flip sets of	Flip sets of	
EVEN( $x_1, x_2, x_3, x_4$ )	(1, 1, 0, 0)	(1, 1, 1, 1)	
(0, 0, 0, 0)	{1, 2}	{1, 2, 3, 4}	
(1, 1, 0, 0)		{3, 4}	
(1, 0, 1, 0)	{2, 3}	{2, 4}	EVEN is
(1, 0, 0, 1)	{2, 4}	{2, 3}	flip separable!
(0, 1, 1, 0)	{1, 3}	{1, 4}	
(0, 1, 0, 1)	{1, 4}	{1, 3}	
(0, 0, 1, 1)	{1, 2, 3, 4}	{1, 2}	
(1, 1, 1, 1)	{3, 4}		

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

1-IN-4( $x_1, x_2, x_3, x_4$ )

(1, 0, 0, 0)

(0, 1, 0, 0)

(0, 0, 1, 0)

(0, 0, 0, 1)

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

<b>Example:</b>	Flip sets of
1-IN-4( $x_1, x_2, x_3, x_4$ )	(1, 0, 0, 0)
(1, 0, 0, 0)	
(0, 1, 0, 0)	{1, 2}
(0, 0, 1, 0)	{1, 3}
(0, 0, 0, 1)	{1, 4}

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

	Flip sets of	Flip sets of
1-IN-4( $x_1, x_2, x_3, x_4$ )	(1, 0, 0, 0)	(0, 1, 0, 0)
(1, 0, 0, 0)		{1, 2}
(0, 1, 0, 0)	{1, 2}	
(0, 0, 1, 0)	{1, 3}	{2, 3}
(0, 0, 0, 1)	{1, 4}	{2, 4}

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

	Flip sets of	Flip sets of	
1-IN-4( $x_1, x_2, x_3, x_4$ )	(1, 0, 0, 0)	(0, 1, 0, 0)	
(1, 0, 0, 0)		{1, 2}	1-IN-4 is
(0, 1, 0, 0)	{1, 2}		flip separable!
(0, 0, 1, 0)	{1, 3}	{2, 3}	
(0, 0, 0, 1)	{1, 4}	{2, 4}	



# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:**

$$x_1 \vee x_2$$

$$(1, 0)$$

$$(0, 1)$$

$$(1, 1)$$

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:** Flip sets of

$x_1 \vee x_2$        $(1, 0)$

$(1, 0)$

$(0, 1)$        $\{1, 2\}$

$(1, 1)$        $\{2\}$

# Flip separable

**Definition:** An  $r$ -ary relation  $R$  is **flip separable** if whenever  $S_1 \subset S_2 \subseteq \{1, \dots, r\}$  are flip sets of a tuple  $(x_1, \dots, x_r)$ , then  $S_2 \setminus S_1$  is also a flip set.

**Example:** Flip sets of

$x_1 \vee x_2$        $(1, 0)$

$(1, 0)$

$(0, 1)$        $\{1, 2\}$

$(1, 1)$        $\{2\}$

$x_1 \vee x_2$  is not  
flip separable!

# Main result

**Theorem:** For every finite set  $\Gamma$ ,  $\Gamma$ -LOSE-WEIGHT is fixed-parameter tractable if one of the following holds, and W[1]-hard otherwise:

- ⑥ Every relation can be expressed by a Horn formula.
- ⑥ Every relation is flip separable.

Some FPT cases:

- ⑥ EVEN and ODD constraints.
- ⑥ affine constraints.
- ⑥  $p$ -IN- $q$  constraints.

Some hard cases:

- ⑥  $x_1 \vee x_2$  (= MINIMUM VERTEX COVER)
- ⑥ 3SAT

# Algorithm

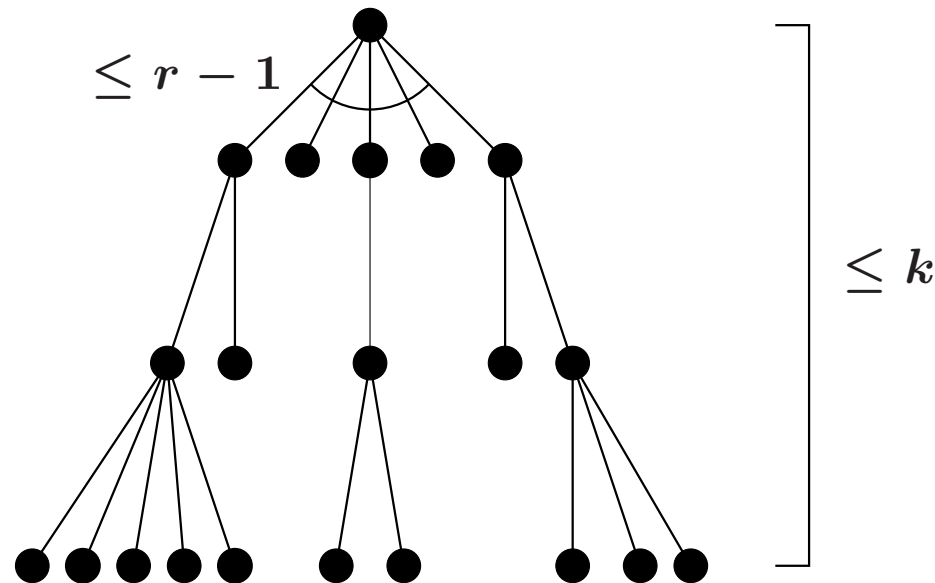
**Task:** given a formula with flip separable constraints and a satisfying assignment, decrease the weight by flipping at most  $k$  variables.

Bounded search tree algorithm:

- ⑥ Flip a variable with value 1 to 0 (at most  $n$  possible choices).
- ⑥ If a clause is not satisfied, flip one of its variables that was not yet flipped (at most  $r - 1$  possible choices if maximum arity is  $r$ ).
- ⑥ Repeat until
  - △ more than  $k$  variables are flipped  $\Rightarrow$  terminate this branch.
  - △ every clause is satisfied  $\Rightarrow$  check if the satisfying assignment has strictly smaller weight than the original assignment.

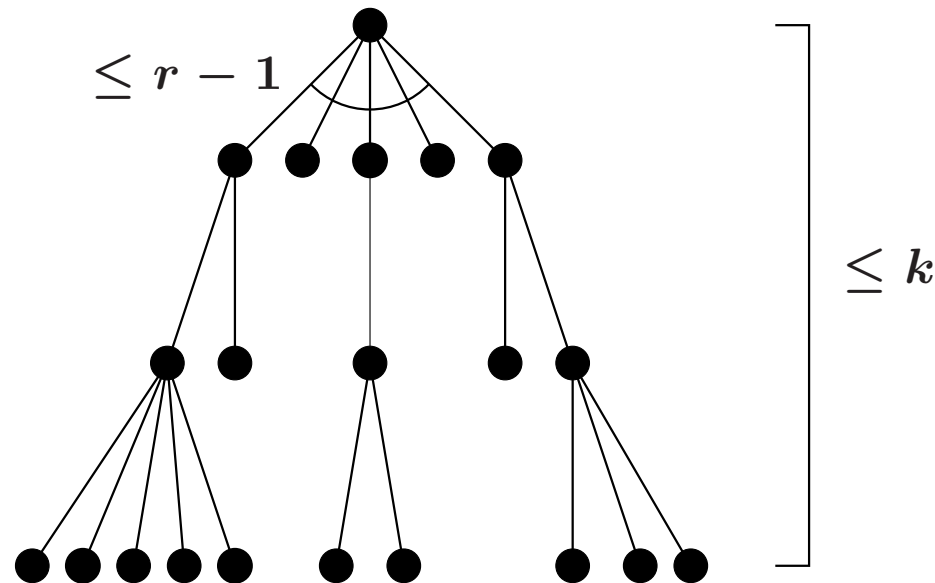
# Algorithm

**Running time:** After the initial flip, the search tree has size at most  $(r - 1)^k$ :



# Algorithm

**Running time:** After the initial flip, the search tree has size at most  $(r - 1)^k$ :



Running time is  $f(k, r) \cdot n^c \Rightarrow f'(k) \cdot n^c$  for a fixed  $\Gamma$ .

# *Algorithm*

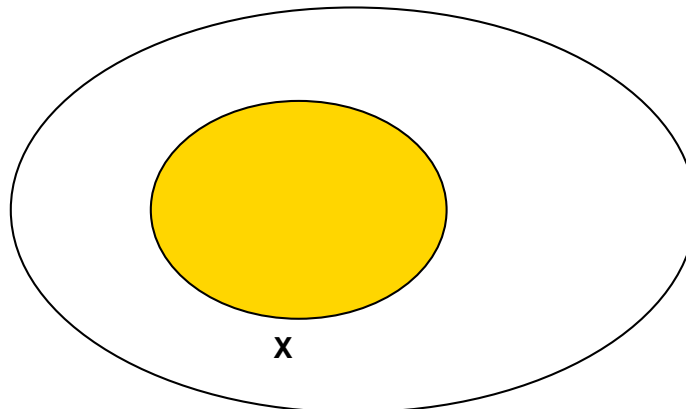
**Correctness:** is it true that we always find a solution if it exists?



# Algorithm

**Correctness:** is it true that we always find a solution if it exists?

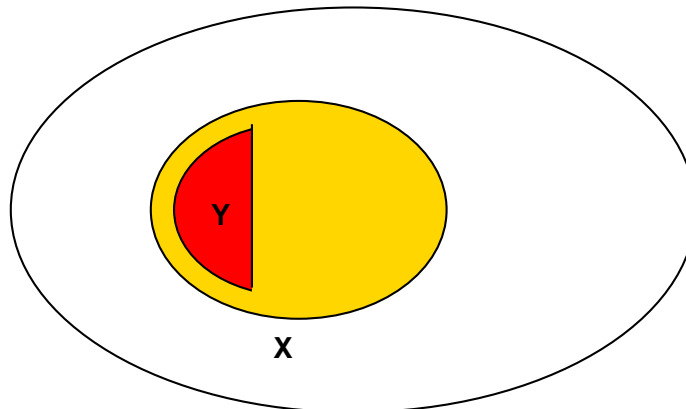
- ⑥ Let  $X$  be a solution that decreases the weight most ( $|X| \leq k$ , flipping  $X$  gives a satisfying assignment).



# Algorithm

**Correctness:** is it true that we always find a solution if it exists?

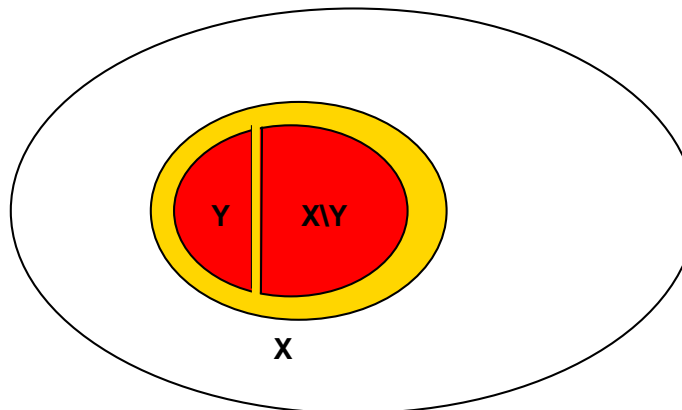
- ⑥ Let  $X$  be a solution that decreases the weight most ( $|X| \leq k$ , flipping  $X$  gives a satisfying assignment).
- ⑥ There is a branch of the algorithm that flips only a subset  $Y \subseteq X$ .



# Algorithm

**Correctness:** is it true that we always find a solution if it exists?

- ⑥ Let  $X$  be a solution that decreases the weight most ( $|X| \leq k$ , flipping  $X$  gives a satisfying assignment).
- ⑥ There is a branch of the algorithm that flips only a subset  $Y \subseteq X$ .
- ⑥ Flipping  $X \setminus Y$  is also a solution (constraints are flip separable).
- ⑥ If flipping  $Y$  does not decrease the weight, then flipping  $X \setminus Y$  decreases the weight more than  $Y$ .



# *Hardness proof*

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 1:** Direct proof for  $x \vee y$ .

$\Rightarrow$  Given a vertex cover  $S$  and an integer  $k$ , it is  $W[1]$ -hard to decide if it is possible to decrease the vertex cover by adding/removing at most  $k$  vertices.

$\Rightarrow$  Given an independent set  $S$  and an integer  $k$ , it is  $W[1]$ -hard to decide if it is possible to increase the independent set cover by adding/removing at most  $k$  vertices.

# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is W[1]-hard.

**Step 1:** Direct proof for  $x \vee y$ .

$\Rightarrow$  Given a vertex cover  $S$  and an integer  $k$ , it is W[1]-hard to decide if it is possible to decrease the vertex cover by adding/removing at most  $k$  vertices.

$\Rightarrow$  Given an independent set  $S$  and an integer  $k$ , it is W[1]-hard to decide if it is possible to increase the independent set cover by adding/removing at most  $k$  vertices.

**Note:** These results hold even for bipartite graphs.

# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 2:** Suppose that there is a relation  $R \in \Gamma$  that is not Horn, i.e., it is not closed under componentwise AND.

# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 2:** Suppose that there is a relation  $R \in \Gamma$  that is not Horn, i.e., it is not closed under componentwise AND.

$$(1, 0, 0, 1) \in R$$

$$(0, 1, 0, 1) \in R$$

$$(0, 0, 0, 1) \notin R$$



# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 2:** Suppose that there is a relation  $R \in \Gamma$  that is not Horn, i.e., it is not closed under componentwise AND.

$$(1, 0, 0, 1) \in R$$

$$(0, 1, 0, 1) \in R$$

$$(0, 0, 0, 1) \notin R$$

either

$$(1, 1, 0, 1) \in R$$

$\Rightarrow R(x, y, 0, 1) \equiv x \vee y$ , we can “almost express” relation  $x \vee y$  (DONE).

$$(1, 1, 0, 1) \notin R$$

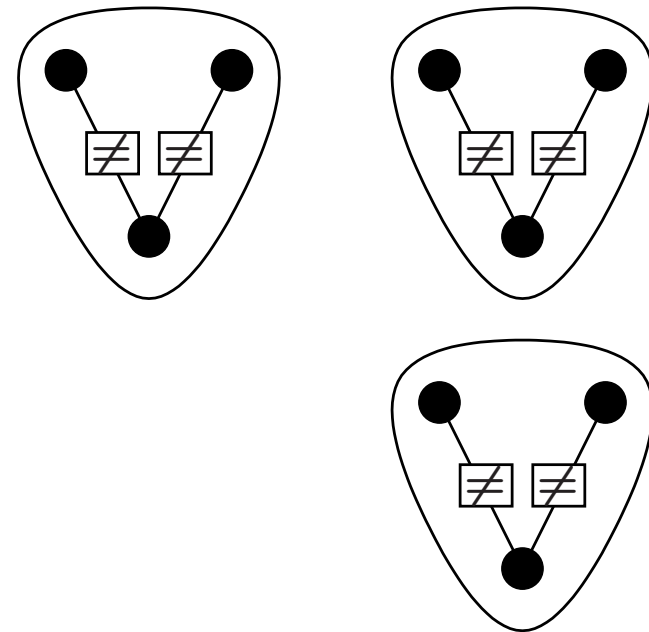
$\Rightarrow R(x, y, 0, 1) \equiv x \neq y$ , we can “almost express” relation  $\neq$ .

# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 3:** Suppose that there is a relation  $R \in \Gamma$  that is not flip separable and we can use  $\neq$ .

- ⑥ Reduction from  $x \vee y$ .
- ⑥ Replace each variable with 3 variables
- ⑥ Two states for each triple.
- ⑥ Changing a triple changes the weight by 1.

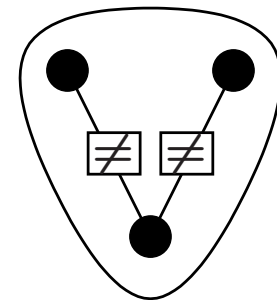
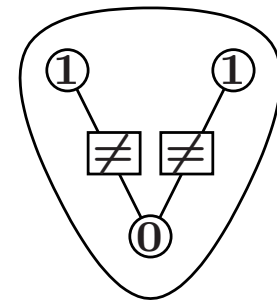
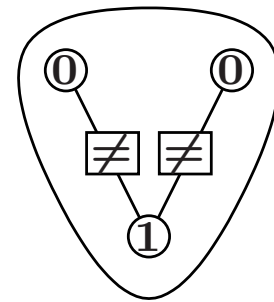


# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

**Step 3:** Suppose that there is a relation  $R \in \Gamma$  that is not flip separable and we can use  $\neq$ .

- ⑥ Reduction from  $x \vee y$ .
- ⑥ Replace each variable with 3 variables
- ⑥ Two states for each triple.
- ⑥ Changing a triple changes the weight by 1.



# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

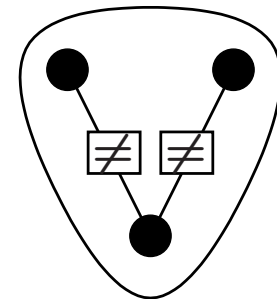
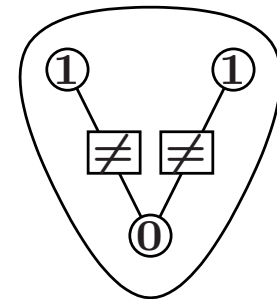
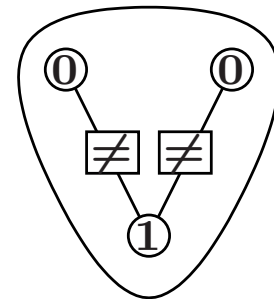
Suppose there is a counterexample to the fact that  $R \in \Gamma$  is flip separable:

$$(0, 1, 0, 1) \in R$$

$$(1, 0, 0, 1) \in R$$

$$(1, 0, 1, 0) \in R$$

$$(0, 1, 1, 0) \notin R$$



# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

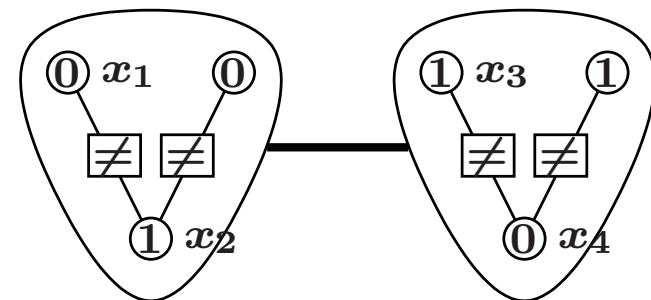
Suppose there is a counterexample to the fact that  $R \in \Gamma$  is flip separable:

$$(0, 1, 0, 1) \in R$$

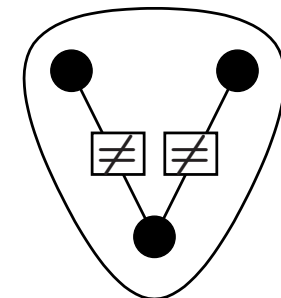
$$(1, 0, 0, 1) \in R$$

$$(1, 0, 1, 0) \in R$$

$$(0, 1, 1, 0) \notin R$$



We represent the edge by constraint  $R(x_1, x_2, x_4, x_3)$ .

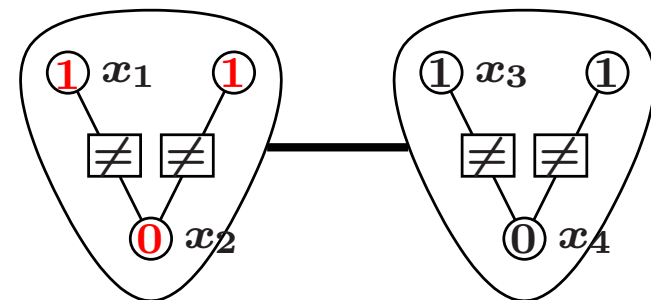


# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

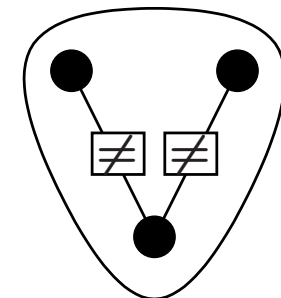
Suppose there is a counterexample to the fact that  $R \in \Gamma$  is flip separable:

- $(0, 1, 0, 1) \in R$
- $(1, 0, 0, 1) \in R \Leftarrow$
- $(1, 0, 1, 0) \in R$
- $(0, 1, 1, 0) \notin R$



We represent the edge by constraint  $R(x_1, x_2, x_4, x_3)$ .

Flipping the first gadget is allowed. . .

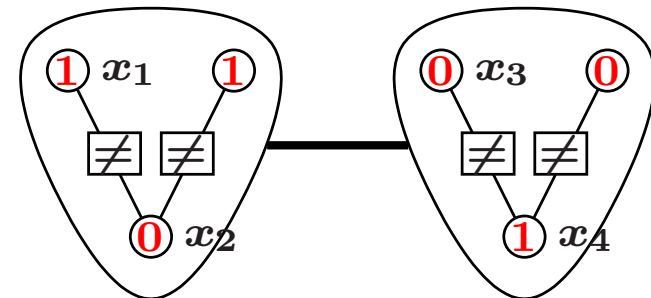


# Hardness proof

**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

Suppose there is a counterexample to the fact that  $R \in \Gamma$  is flip separable:

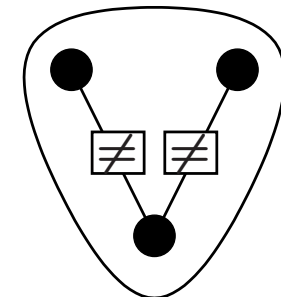
- $(0, 1, 0, 1) \in R$
- $(1, 0, 0, 1) \in R$
- $(1, 0, 1, 0) \in R \leftarrow$
- $(0, 1, 1, 0) \notin R$



We represent the edge by constraint  $R(x_1, x_2, x_4, x_3)$ .

Flipping the first gadget is allowed. . .

Flipping both gadgets is allowed. . .

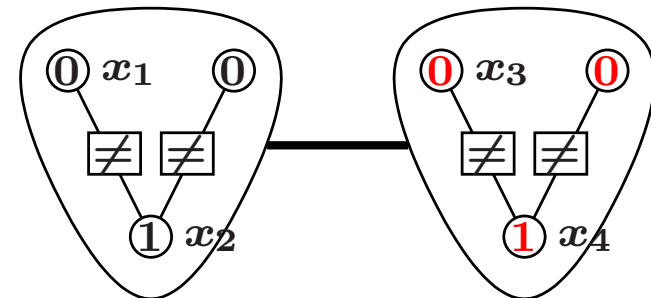


# Hardness proof

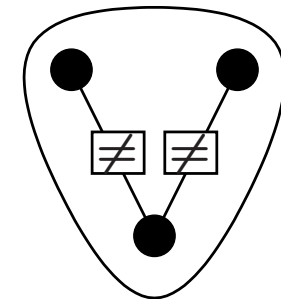
**Hardness proof:** if  $\Gamma$  contains a relation that is not Horn and a relation that is not flip separable, then local search is  $W[1]$ -hard.

Suppose there is a counterexample to the fact that  $R \in \Gamma$  is flip separable:

- $(0, 1, 0, 1) \in R$
- $(1, 0, 0, 1) \in R$
- $(1, 0, 1, 0) \in R$
- $(0, 1, 1, 0) \notin R \Leftarrow$



We represent the edge by constraint  $R(x_1, x_2, x_4, x_3)$ .



- Flipping the first gadget is allowed. . .
- Flipping both gadgets is allowed. . .
- But second gadget cannot be flipped!



# Main result

We have completed the complexity characterization of  $\Gamma$ -LOSE-WEIGHT:

**Theorem:** For every finite set  $\Gamma$ ,  $\Gamma$ -LOSE-WEIGHT is fixed-parameter tractable if one of the following holds, and  $W[1]$ -hard otherwise:

- ⑥ Every relation can be expressed by a Horn formula.
- ⑥ Every relation is flip separable.

But something is strange. . .

# *Something strange*

We have seen that local search is  $W[1]$ -hard for MINIMUM VERTEX COVER, even if the graph is bipartite.

# Something strange

We have seen that local search is  $W[1]$ -hard for MINIMUM VERTEX COVER, even if the graph is bipartite.

⇒ But an optimum solution can be found in polynomial time!

# Something strange

We have seen that local search is  $W[1]$ -hard for MINIMUM VERTEX COVER, even if the graph is bipartite.

⇒ But an optimum solution can be found in polynomial time!

The relation  $x \vee y \vee \bar{z}$  is not Horn and not flip separable (for the tuple  $(1, 0, 1)$ ,  $\{2\}$  and  $\{1, 2\}$  are flip sets but  $\{1\}$  is not), thus local search is hard.

# Something strange

We have seen that local search is  $W[1]$ -hard for MINIMUM VERTEX COVER, even if the graph is bipartite.

⇒ But an optimum solution can be found in polynomial time!

The relation  $x \vee y \vee \bar{z}$  is not Horn and not flip separable (for the tuple  $(1, 0, 1)$ ,  $\{2\}$  and  $\{1, 2\}$  are flip sets but  $\{1\}$  is not), thus local search is hard.

⇒ But an optimum solution (all 0 assignment) can be found in polynomial time!

# Something strange

We have seen that local search is  $W[1]$ -hard for MINIMUM VERTEX COVER, even if the graph is bipartite.

⇒ But an optimum solution can be found in polynomial time!

The relation  $x \vee y \vee \bar{z}$  is not Horn and not flip separable (for the tuple  $(1, 0, 1)$ ,  $\{2\}$  and  $\{1, 2\}$  are flip sets but  $\{1\}$  is not), thus local search is hard.

⇒ But an optimum solution (all 0 assignment) can be found in polynomial time!

**Counterintuitive results: finding a local improvement is hard, but finding the global optimum is easy.**

**We are answering the wrong question!**

# Strict vs. permissive

So far, we investigated **strict** local search algorithms:

Input: A  $\Gamma$ -formula  $\varphi$ , a solution  $x$  for  $\varphi$ , and an integer  $k$ .

Task: If there is a solution  $x'$  of  $\varphi$  with  $\text{dist}(x, x') \leq k$  and  $\text{weight}(x') < \text{weight}(x)$ , then find such an  $x'$ .

# Strict vs. permissive

So far, we investigated **strict** local search algorithms:

Input: A  $\Gamma$ -formula  $\varphi$ , a solution  $x$  for  $\varphi$ , and an integer  $k$ .

Task: If there is a solution  $x'$  of  $\varphi$  with  $\text{dist}(x, x') \leq k$  and  $\text{weight}(x') < \text{weight}(x)$ , then find such an  $x'$ .

But a **permissive** local search algorithm would be equally useful:

Input: A  $\Gamma$ -formula  $\varphi$ , a solution  $x$  for  $\varphi$ , and an integer  $k$ .

Task: If there is a solution  $x'$  of  $\varphi$  with  $\text{dist}(x, x') \leq k$  and  $\text{weight}(x') < \text{weight}(x)$ , then find **any  $x''$  with  $\text{weight}(x'') < \text{weight}(x)$** .

Our hardness result for strict local search does not rule out the possibility of a permissive algorithm.



# Revised result

**Theorem:** For every finite set  $\Gamma$ , **strict**  $\Gamma$ -LOSE-WEIGHT is fixed-parameter tractable if one of the following holds, and W[1]-hard otherwise:

- ⑥ Every relation can be expressed by a Horn formula.
- ⑥ Every relation is flip separable.

**Theorem:** For every finite set  $\Gamma$ , **permissive**  $\Gamma$ -LOSE-WEIGHT is fixed-parameter tractable if one of the following holds, and W[1]-hard otherwise:

- ⑥ Every relation can be expressed by a Horn formula.
- ⑥ Every relation is flip separable.
- ⑥ Every relation is 0-valid.

# Conclusions

- ⑥ Is it possible to efficiently search the local neighborhood?
- ⑥ Parameterized complexity is the natural way to study.
- ⑥ Might apply to YOUR problem as well!
- ⑥ Schaefer-style classification for decreasing the weight of a solution in Boolean CSP.
- ⑥ Main new definition: flip separable relations.
- ⑥ Distinction between strict and permissive local search.