# Minicourse on parameterized algorithms and complexity

Part 5: Treewidth

Dániel Marx

Jagiellonian University in Kraków April 21-23, 2015

## Treewidth

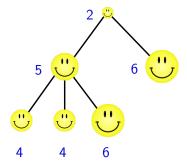
- Treewidth: a notion of "treelike" graphs.
- Some combinatorial properties.
- Algorithmic results.
  - Algorithms on graphs of bounded treewidth.
  - Applications for other problems.

#### PARTY PROBLEM

**Problem:** Invite some colleagues for a party.

Maximize: The total fun factor of the invited people.

Constraint: Everyone should be having fun.



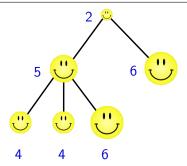
#### PARTY PROBLEM

**Problem:** Invite some colleagues for a party.

**Maximize:** The total fun factor of the invited people.

Constraint: Everyone should be having fun.

Do not invite a colleague and his direct boss at the same time!



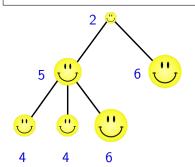
#### Party Problem

**Problem:** Invite some colleagues for a party.

Maximize: The total fun factor of the invited people.

**Constraint:** Everyone should be having fun.

Do not invite a colleague and his direct boss at the same time!



- Input: A tree with weights on the vertices.
- Task: Find an independent set of maximum weight.

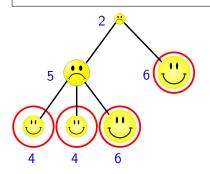
#### PARTY PROBLEM

**Problem:** Invite some colleagues for a party.

Maximize: The total fun factor of the invited people.

**Constraint:** Everyone should be having fun.

Do not invite a colleague and his direct boss at the same time!



- Input: A tree with weights on the vertices.
- Task: Find an independent set of maximum weight.

# Solving the Party Problem

## Dynamic programming paradigm:

We solve a large number of subproblems that depend on each other. The answer is a single subproblem.

#### Subproblems:

 $T_{\nu}$ : the subtree rooted at  $\nu$ .

A[v]: max. weight of an independent set in  $T_v$ 

B[v]: max. weight of an independent set in  $T_v$ 

that does not contain v

**Goal:** determine A[r] for the root r.

# Solving the Party Problem

#### Subproblems:

 $T_{\nu}$ : the subtree rooted at  $\nu$ .

A[v]: max. weight of an independent set in  $T_v$ 

B[v]: max. weight of an independent set in  $T_v$ 

that does not contain v

#### Recurrence:

Assume  $v_1, \ldots, v_k$  are the children of v. Use the recurrence relations

$$B[v] = \sum_{i=1}^{k} A[v_i] A[v] = \max\{B[v], \ w(v) + \sum_{i=1}^{k} B[v_i]\}$$

The values A[v] and B[v] can be calculated in a bottom-up order (the leaves are trivial).

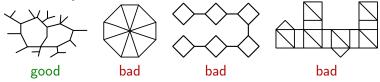


Treewidth

How could we define that a graph is "treelike"?

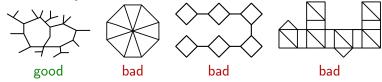
How could we define that a graph is "treelike"?

1 Number of cycles is bounded.

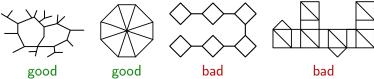


How could we define that a graph is "treelike"?

• Number of cycles is bounded.

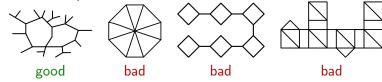


2 Removing a bounded number of vertices makes it acyclic.

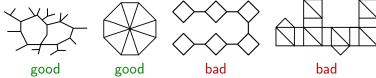


How could we define that a graph is "treelike"?

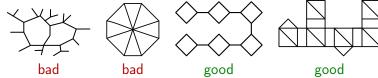
Number of cycles is bounded.



2 Removing a bounded number of vertices makes it acyclic.

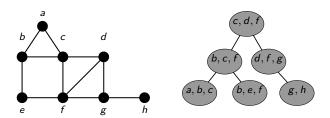


Sounded-size parts connected in a tree-like way.



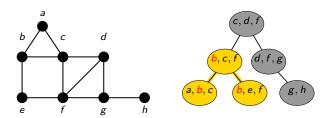
**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- ② For every v, the bags containing v form a connected subtree.



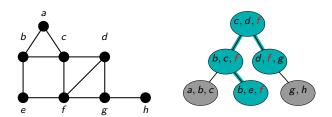
**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- ② For every v, the bags containing v form a connected subtree.



**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- ② For every v, the bags containing v form a connected subtree.

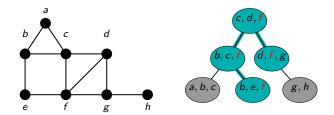


**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- ② For every v, the bags containing v form a connected subtree.

Width of the decomposition: largest bag size -1.

treewidth: width of the best decomposition.

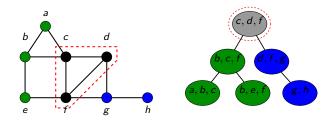


**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- 2 For every v, the bags containing v form a connected subtree.

Width of the decomposition: largest bag size -1.

**treewidth:** width of the best decomposition.



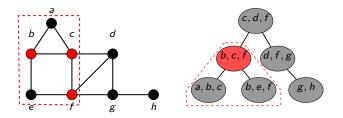
Each bag is a separator.

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- ② For every v, the bags containing v form a connected subtree.

Width of the decomposition: largest bag size -1.

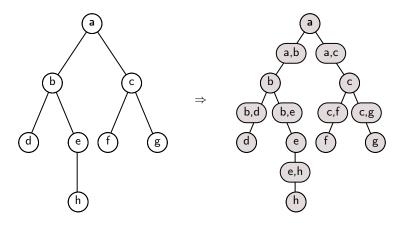
**treewidth:** width of the best decomposition.



A subtree communicates with the outside world only via the root of the subtree.

## Treewidth

Fact: treewidth  $= 1 \iff$  graph is a forest



**Exercise**: A cycle cannot have a tree decomposition of width 1.

# Treewidth — outline

- Basic algorithms
- 2 Combinatorial properties
- Applications

# Finding tree decompositions

#### Hardness:

## Theorem [Arnborg, Corneil, Proskurowski 1987]

It is NP-hard to determine the treewidth of a graph (given a graph G and an integer w, decide if the treewidth of G is at most w).

#### Fixed-parameter tractability:

## Theorem [Bodlaender 1996]

There is a  $2^{O(w^3)} \cdot n$  time algorithm that finds a tree decomposition of width w (if exists).

#### Consequence:

If we want an FPT algorithm parameterized by treewidth  $\boldsymbol{w}$  of the input graph, then we can assume that a tree decomposition of width  $\boldsymbol{w}$  is available.

# Finding tree decompositions — approximately

Sometimes we can get better dependence on treewidth using approximation.

## FPT approximation:

## Theorem [Robertson and Seymour]

There is a  $O(3^{3w} \cdot w \cdot n^2)$  time algorithm that finds a tree decomposition of width 4w + 1, if the treewidth of the graph is at most w.

## Polynomial-time approximation:

## Theorem [Feige, Hajiaghayi, Lee 2008]

There is a polynomial-time algorithm that finds a tree decomposition of width  $O(w\sqrt{\log w})$ , if the treewidth of the graph is at most w.

## WEIGHTED MAX INDEPENDENT SET and treewidth

#### **Theorem**

Given a tree decomposition of width w, WEIGHTED MAX INDEPENDENT SET can be solved in time  $O(2^w \cdot w^{O(1)} \cdot n)$ .

 $B_x$ : vertices appearing in node x.

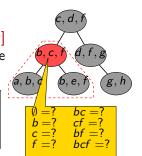
 $V_x$ : vertices appearing in the subtree rooted at x.

Generalizing our solution for trees:

Instead of computing 2 values A[v], B[v] for each **vertex** of the graph, we compute  $2^{|B_x|} < 2^{w+1}$  values for each bag  $B_x$ .

# M[x, S]:

the max. weight of an independent set  $I \subseteq V_x$  with  $I \cap B_x = S$ .



## WEIGHTED MAX INDEPENDENT SET and treewidth

#### **Theorem**

Given a tree decomposition of width w, WEIGHTED MAX INDEPENDENT SET can be solved in time  $O(2^w \cdot w^{O(1)} \cdot n)$ .

 $B_x$ : vertices appearing in node x.

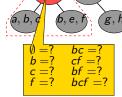
 $V_x$ : vertices appearing in the subtree rooted at x.

Generalizing our solution for trees:

Instead of computing 2 values A[v], B[v] for each **vertex** of the graph, we compute  $2^{|B_x|} < 2^{w+1}$  values for each bag  $B_x$ .

# *M*[*x*, *S*]:

the max. weight of an independent set  $I \subseteq V_x$  with  $I \cap B_x = S$ .



c, d, t

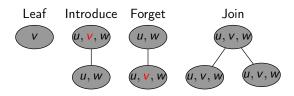
How to determine M[x, S] if all the values are known for the children of x?

# Nice tree decompositions

#### Definition

A rooted tree decomposition is **nice** if every node x is one of the following 4 types:

- Leaf: no children,  $|B_x| = 1$
- Introduce: 1 child y with  $B_x = B_y \cup \{v\}$  for some vertex v
- Forget: 1 child y with  $B_x = B_y \setminus \{v\}$  for some vertex v
- Join: 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$



# Nice tree decompositions

#### Definition

A rooted tree decomposition is **nice** if every node x is one of the following 4 types:

- Leaf: no children,  $|B_x| = 1$
- Introduce: 1 child y with  $B_x = B_y \cup \{v\}$  for some vertex v
- Forget: 1 child y with  $B_x = B_y \setminus \{v\}$  for some vertex v
- Join: 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$

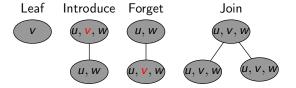
#### **Theorem**

A tree decomposition of width w and n nodes can be turned into a nice tree decomposition of width w and O(wn) nodes in time  $O(w^2n)$ .

# WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

- Leaf: no children,  $|B_x| = 1$ Trivial!
- Introduce: 1 child y with  $B_x = B_y \cup \{v\}$  for some vertex v

$$m[x,S] = \begin{cases} m[y,S] & \text{if } v \notin S, \\ m[y,S \setminus \{v\}] + w(v) & \text{if } v \in S \text{ but } v \text{ has no neighbor in } S, \\ -\infty & \text{if } S \text{ contains } v \text{ and its neighbor.} \end{cases}$$



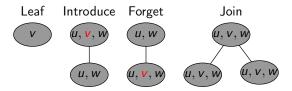
# WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

• Forget: 1 child y with  $B_x = B_y \setminus \{v\}$  for some vertex v

$$m[x,S] = \max\{m[y,S], m[y,S \cup \{v\}]\}$$

• Join: 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$ 

$$m[x, S] = m[y_1, S] + m[y_2, S] - w(S)$$



# WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

• Forget: 1 child y with  $B_x = B_y \setminus \{v\}$  for some vertex v

$$m[x,S] = \max\{m[y,S], m[y,S \cup \{v\}]\}$$

• Join: 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$ 

$$m[x, S] = m[y_1, S] + m[y_2, S] - w(S)$$

There are at most  $2^{w+1} \cdot n$  subproblems m[x, S] and each subproblem can be solved in  $w^{O(1)}$  time (assuming the children are already solved).

Running time is 
$$O(2^w \cdot w^{O(1)} \cdot n)$$
.

# 3-COLORING and tree decompositions

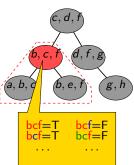
#### Theorem

Given a tree decomposition of width w, 3-Coloring can be solved in  $O(3^w \cdot w^{O(1)} \cdot n)$ .

 $B_x$ : vertices appearing in node x.

 $V_x$ : vertices appearing in the subtree rooted at x.

For every node x and coloring  $c: B_x \to \{1,2,3\}$ , we compute the Boolean value E[x,c], which is true if and only if c can be extended to a proper 3-coloring of  $V_x$ .



# 3-COLORING and tree decompositions

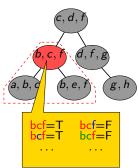
#### Theorem

Given a tree decomposition of width w, 3-Coloring can be solved in  $O(3^w \cdot w^{O(1)} \cdot n)$ .

 $B_x$ : vertices appearing in node x.

 $V_x$ : vertices appearing in the subtree rooted at x.

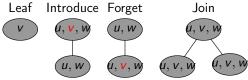
For every node x and coloring  $c: B_x \to \{1,2,3\}$ , we compute the Boolean value E[x,c], which is true if and only if c can be extended to a proper 3-coloring of  $V_x$ .



How to determine E[x, c] if all the values are known for the children of x?

# 3-COLORING and nice tree decompositions

- Leaf: no children,  $|B_x| = 1$ Trivial!
- Introduce: 1 child y with  $B_x = B_y \cup \{v\}$  for some vertex v If  $c(v) \neq c(u)$  for every neighbor u of v, then E[x, c] = E[y, c'], where c' is c restricted to  $B_v$ .
- Forget: 1 child y with B<sub>x</sub> = B<sub>y</sub> \ {v} for some vertex v
  E[x, c] is true if E[y, c'] is true for one of the 3 extensions of c
  to B<sub>y</sub>.
- **Join:** 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$  $E[x, c] = E[y_1, c] \land E[y_2, c]$



# 3-COLORING and nice tree decompositions

- Leaf: no children,  $|B_x| = 1$ Trivial!
- Introduce: 1 child y with  $B_x = B_y \cup \{v\}$  for some vertex v If  $c(v) \neq c(u)$  for every neighbor u of v, then E[x, c] = E[y, c'], where c' is c restricted to  $B_v$ .
- Forget: 1 child y with  $B_x = B_y \setminus \{v\}$  for some vertex v E[x,c] is true if E[y,c'] is true for one of the 3 extensions of c to  $B_y$ .
- **Join**: 2 children  $y_1$ ,  $y_2$  with  $B_x = B_{y_1} = B_{y_2}$  $E[x, c] = E[y_1, c] \land E[y_2, c]$

There are at most  $3^{w+1} \cdot n$  subproblems E[x, c] and each subproblem can be solved in  $w^{O(1)}$  time (assuming the children are already solved).

- $\Rightarrow$  Running time is  $O(3^w \cdot w^{O(1)} \cdot n)$ .
- $\Rightarrow$  3-Coloring is FPT parameterized by treewidth.

# Monadic Second Order Logic

## Extended Monadic Second Order Logic (EMSO)

A logical language on graphs consisting of the following:

- Logical connectives  $\land$ ,  $\lor$ ,  $\rightarrow$ ,  $\neg$ , =,  $\neq$
- quantifiers ∀, ∃ over vertex/edge variables
- predicate adj(u, v): vertices u and v are adjacent
- predicate inc(e, v): edge e is incident to vertex v
- quantifiers ∀, ∃ over vertex/edge set variables
- $\bullet \in \subseteq \text{ for vertex/edge sets}$

#### Example:

The formula

```
\exists C \subseteq V \exists v_0 \in C \forall v \in C \ \exists u_1, u_2 \in C(u_1 \neq u_2 \land \mathsf{adj}(u_1, v) \land \mathsf{adj}(u_2, v))
```

is true on graph G if and only if ...

# Monadic Second Order Logic

## Extended Monadic Second Order Logic (EMSO)

A logical language on graphs consisting of the following:

- Logical connectives  $\land$ ,  $\lor$ ,  $\rightarrow$ ,  $\neg$ , =,  $\neq$
- quantifiers ∀, ∃ over vertex/edge variables
- predicate adj(u, v): vertices u and v are adjacent
- predicate inc(e, v): edge e is incident to vertex v
- quantifiers ∀, ∃ over vertex/edge set variables
- $\bullet \in \subseteq \text{ for vertex/edge sets}$

#### Example:

The formula

```
\exists C \subseteq V \exists v_0 \in C \forall v \in C \ \exists u_1, u_2 \in C(u_1 \neq u_2 \land \mathsf{adj}(u_1, v) \land \mathsf{adj}(u_2, v))
```

is true on graph G if and only if G has a cycle.

#### Courcelle's Theorem

#### Courcelle's Theorem

If a graph property can be expressed in EMSO, then for every fixed  $w \ge 1$ , there is a linear-time algorithm for testing this property on graphs having treewidth at most w.

**Note:** The constant depending on w can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

### Courcelle's Theorem

#### Courcelle's Theorem

If a graph property can be expressed in EMSO, then for every fixed  $w \ge 1$ , there is a linear-time algorithm for testing this property on graphs having treewidth at most w.

**Note:** The constant depending on w can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

If we can express a property in EMSO, then we immediately get that testing this property is FPT parameterized by the treewidth  $\boldsymbol{w}$  of the input graph.

Can we express 3-COLORING and HAMILTONIAN CYCLE in EMSO?

#### 3-Coloring

 $\exists C_1, C_2, C_3 \subseteq V \ (\forall v \in V \ (v \in C_1 \lor v \in C_2 \lor v \in C_3)) \land (\forall u, v \in V \ \mathsf{adj}(u, v) \rightarrow (\neg(u \in C_1 \land v \in C_1) \land \neg(u \in C_2 \land v \in C_2) \land \neg(u \in C_3 \land v \in C_3)))$ 

#### 3-Coloring

```
\exists C_1, C_2, C_3 \subseteq V \ (\forall v \in V \ (v \in C_1 \lor v \in C_2 \lor v \in C_3)) \land (\forall u, v \in V \ \mathsf{adj}(u, v) \to (\neg(u \in C_1 \land v \in C_1) \land \neg(u \in C_2 \land v \in C_2) \land \neg(u \in C_3 \land v \in C_3)))
```

#### HAMILTONIAN CYCLE

```
 \exists H \subseteq E \big( \mathsf{spanning}(H) \land (\forall v \in V \, \mathsf{degree2}(H, v)) \big) \\ \mathsf{degree0}(H, v) := \neg \exists e \in H \, \mathsf{inc}(e, v) \\ \mathsf{degree1}(H, v) := \neg \mathsf{degree0}(H, v) \land (\neg \exists e_1, e_2 \in H \, (e_1 \neq e_2 \land \mathsf{inc}(e_1, v) \land \mathsf{inc}(e_2, v)) \big) \\ \mathsf{degree2}(H, v) := \neg \mathsf{degree0}(H, v) \land \neg \mathsf{degree1}(H, v) \land (\neg \exists e_1, e_2, e_3 \in H \, (e_1 \neq e_2 \land e_2 \neq e_3 \land e_1 \neq e_3 \land \mathsf{inc}(e_1, v) \land \mathsf{inc}(e_2, v) \land \mathsf{inc}(e_3, v)))) \\ \mathsf{spanning}(H) := \forall u, v \in V \, \exists P \subseteq H \, \forall x \in V \, \big( ((x = u \lor x = v) \land \mathsf{degree1}(P, x))) \lor (x \neq u \land x \neq v \land (\mathsf{degree0}(P, x) \lor \mathsf{degree2}(P, x))))
```

Two ways of using Courcelle's Theorem:

- The problem can be described by a single formula (e.g, 3-COLORING, HAMILTONIAN CYCLE).
  - $\Rightarrow$  Problem can be solved in time  $f(w) \cdot n$  for graphs of treewidth at most w, i.e., FPT parameterized by treewidth.

Two ways of using Courcelle's Theorem:

- The problem can be described by a single formula (e.g, 3-COLORING, HAMILTONIAN CYCLE).
  - $\Rightarrow$  Problem can be solved in time  $f(w) \cdot n$  for graphs of treewidth at most w, i.e., FPT parameterized by treewidth.
- ② The problem can be described by a formula for each value of the parameter k.

**Example:** For each k, having a cycle of length exactly k can be expressed as

```
\exists v_1, \ldots, v_k \in V ((v_1 \neq v_2) \land (v_1 \neq v_3) \land \ldots (v_{k-1} \neq v_k)) \land \operatorname{adj}(v_{k-1}, v_k) \land \operatorname{adj}(v_k, v_1)).
```

 $\Rightarrow$  Problem can be solved in time  $f(k, w) \cdot n$  for graphs of treewidth w, i.e., FPT parameterized with combined parameter k and treewidth w.

### SUBGRAPH ISOMORPHISM

### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph of G isomorphic to H.

### Subgraph Isomorphism

#### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph of G isomorphic to H.

For each H, we can construct a formula  $\phi_H$  that expresses "G has a subgraph isomorphic to H" (similarly to the k-cycle on the previous slide).

 $\Rightarrow$  By Courcelle's Theorem, SUBGRAPH ISOMORPHISM can be solved in time  $f(H, w) \cdot n$  if G has treewidth at most w.

#### Subgraph Isomorphism

#### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph of G isomorphic to H.

Since there is only a finite number of simple graphs on k vertices, SUBGRAPH ISOMORPHISM can be solved in time  $f(k, w) \cdot n$  if H has k vertices and G has treewidth at most w.

#### **Theorem**

SUBGRAPH ISOMORPHISM is FPT parameterized by combined parameter k := |V(H)| and the treewidth w of G.

#### MSO on words

### Theorem [Büchi, Elgot, Trakhtenbrot 1960]

If a language  $L \subseteq \Sigma^*$  can be defined by an MSO formula  $\phi$  using the relation <, then L is regular.

**Example:**  $a^*bc^*$  is defined by

$$\exists x : P_b(x) \land (\forall y : (y < x) \rightarrow P_a(y)) \land (\forall y : (x < y) \rightarrow P_c(y)).$$

#### MSO on words

### Theorem [Büchi, Elgot, Trakhtenbrot 1960]

If a language  $L \subseteq \Sigma^*$  can be defined by an MSO formula  $\phi$  using the relation <, then L is regular.

**Example:**  $a^*bc^*$  is defined by

$$\exists x : P_b(x) \land (\forall y : (y < x) \rightarrow P_a(y)) \land (\forall y : (x < y) \rightarrow P_c(y)).$$

We prove a more general statement for formulas  $\phi(w, X_1, \dots, X_k)$  and words over  $\Sigma \cup \{0,1\}^k$ , where  $X_i$  is a subset of symbols of w.

Induction over the structure of  $\phi$ :

- FSM for  $\neg \phi(w)$ , given FSM for  $\phi(w)$ .
- FSM for  $\phi_1(w) \wedge \phi_2(w)$ , given FSMs for  $\phi_1(w)$  and  $\phi_2(w)$ .
- FSM for  $\exists X \phi(w, X)$ , given FSM for  $\phi(w, X)$ .
- etc.

#### MSO on words

### Theorem [Büchi, Elgot, Trakhtenbrot 1960]

If a language  $L \subseteq \Sigma^*$  can be defined by an MSO formula  $\phi$  using the relation <, then L is regular.

#### Proving Courcelle's Theorem:

- Generalize from words to trees.
- A width-k tree decomposition can be interpreted as a tree over an alphabet of size f(k).
- Formula ⇒ tree automata.

## Algorithms — overview

- Algorithms exploit the fact that a subtree communicates with the rest of the graph via a single bag.
- Key point: defining the subproblems.
- Courcelle's Theorem makes this process automatic for many problems.
- There are notable problems that are easy for trees, but hard for bounded-treewidth graphs.

### Treewidth — outline

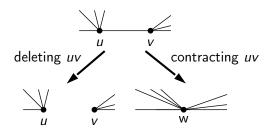
- Basic algorithms
- 2 Combinatorial properties
- Applications

### Minor

An operation similar to taking subgraphs:

#### Definition

Graph H is a minor of G ( $H \le G$ ) if H can be obtained from G by deleting edges, deleting vertices, and contracting edges.



## Properties of treewidth

**Fact:** Treewidth does not increase if we delete edges, delete vertices, or contract edges.

 $\Rightarrow$  If F is a **minor** of G, then the treewidth of F is at most the treewidth of G.

## Properties of treewidth

**Fact:** Treewidth does not increase if we delete edges, delete vertices, or contract edges.

 $\Rightarrow$  If F is a minor of G, then the treewidth of F is at most the treewidth of G.

**Fact:** For every clique K, there is a bag B with  $K \subseteq B$ .

**Fact:** The treewidth of the k-clique is k-1.

## Properties of treewidth

**Fact:** Treewidth does not increase if we delete edges, delete vertices, or contract edges.

 $\Rightarrow$  If F is a minor of G, then the treewidth of F is at most the treewidth of G.

**Fact:** For every clique K, there is a bag B with  $K \subseteq B$ .

**Fact:** The treewidth of the k-clique is k-1.

Fact: For every  $k \ge 2$ , the treewidth of the  $k \times k$  grid is exactly k.



**Game:** *k* cops try to capture a robber in the graph.

- In each step, the cops can move from vertex to vertex arbitrarily with helicopters.
- The robber moves infinitely fast on the edges, and sees where the cops will land.

### Theorem [Seymour and Thomas 1993]

k+1 cops can win the game  $\iff$  the treewidth of the graph is at most k.

**Game:** *k* cops try to capture a robber in the graph.

- In each step, the cops can move from vertex to vertex arbitrarily with helicopters.
- The robber moves infinitely fast on the edges, and sees where the cops will land.

### Theorem [Seymour and Thomas 1993]

k+1 cops can win the game  $\iff$  the treewidth of the graph is at most k.

### Consequence 1: Algorithms

The winner of the game can be determined in time  $n^{O(k)}$  using standard techniques (there are at most  $n^k$  positions for the cops)



For every fixed k, it can be checked in polynomial-time if treewidth is at most k.

### **Game:** *k* cops try to capture a robber in the graph.

- In each step, the cops can move from vertex to vertex arbitrarily with helicopters.
- The robber moves infinitely fast on the edges, and sees where the cops will land.

### Theorem [Seymour and Thomas 1993]

k+1 cops can win the game  $\iff$  the treewidth of the graph is at most k.

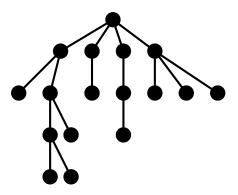
#### Consequence 2: Lower bounds

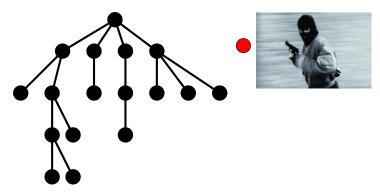
#### Exercise 1:

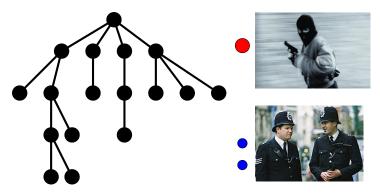
Show that the treewidth of the  $k \times k$  grid is at least k-1. (E.g., robber can win against k-1 cops.)

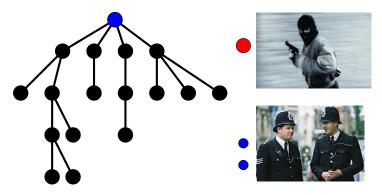
### Exercise 2:

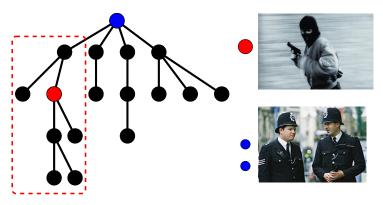
Show that the treewidth of the  $k \times k$  grid is at least k. (E.g., robber can win against k cops.)

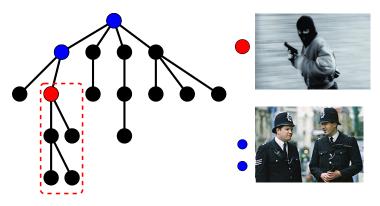


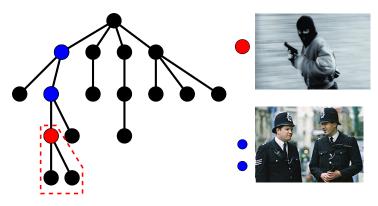


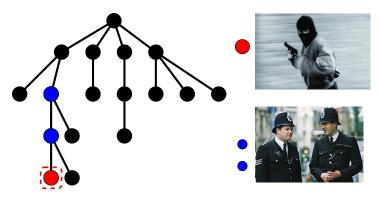


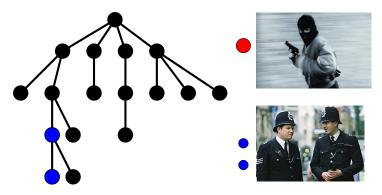








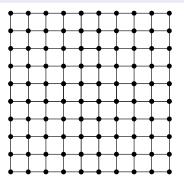




### Excluded Grid Theorem

### Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least  $k^{4k^2(k+2)}$ , then G has a  $k \times k$  grid minor.



(A k<sup>O(1)</sup> bound was achieved recently [Chekuri and Chuznoy 2014]!)

#### Excluded Grid Theorem

### Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least  $k^{4k^2(k+2)}$ , then G has a  $k \times k$  grid minor.

**Observation:** Every planar graph is the minor of a sufficiently large grid.

#### Consequence

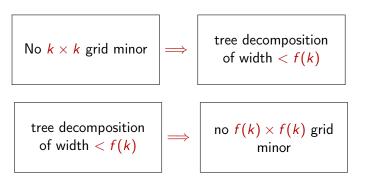
If H is planar, then every H-minor free graph has treewidth at most f(H).

### Excluded Grid Theorem

### Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least  $k^{4k^2(k+2)}$ , then G has a  $k \times k$  grid minor.

A large grid minor is a "witness" that treewidth is large, but the relation is approximate:

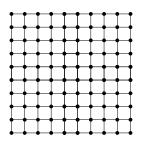


### Planar Excluded Grid Theorem

For planar graphs, we get linear instead of exponential dependence:

Theorem [Robertson, Seymour, Thomas 1994]

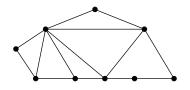
Every **planar graph** with treewidth at least 5k has a  $k \times k$  grid minor.



# Outerplanar graphs

#### Definition

A planar graph is **outerplanar** if it has a planar embedding where every vertex is on the infinite face.



#### Fact

Every outerplanar graph has treewidth at most 2.

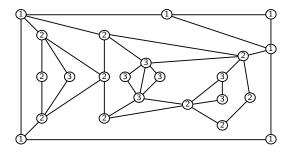
 $\Rightarrow$  Every outerplanar graph is subgraph of a series-parallel graph.

## **k**-outerplanar graphs

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

#### Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.



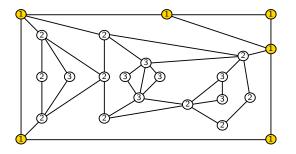
### Fact

Every k-outerplanar graph has treewidth at most 3k + 1.

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

#### Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.

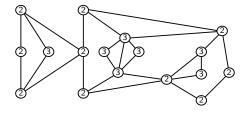


### Fact

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

#### Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.

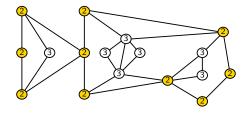


### Fact

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

#### Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.



### Fact

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

#### Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.







### Fact

### Treewidth — outline

- Basic algorithms
- 2 Combinatorial properties
- Applications
  - The shifting technique
  - Bidimensionality

# Approximation schemes

### Definition

A polynomial-time approximation scheme (PTAS) for a problem P is an algorithm that takes an instance of P and a rational number  $\epsilon > 0$ ,

- always finds a  $(1 + \epsilon)$ -approximate solution,
- the running time is polynomial in n for every fixed  $\epsilon > 0$ .

Typical running times:  $2^{1/\epsilon} \cdot n$ ,  $n^{1/\epsilon}$ ,  $(n/\epsilon)^2$ ,  $n^{1/\epsilon^2}$ .

Some classical problems that have a PTAS:

- INDEPENDENT SET for planar graphs
- TSP in the Euclidean plane
- STEINER TREE in planar graphs
- Knapsack

### Theorem

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



#### **Theorem**

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



#### **Theorem**

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



#### **Theorem**

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



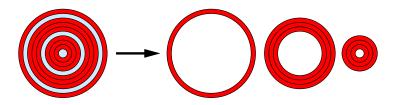
#### **Theorem**

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



#### Theorem

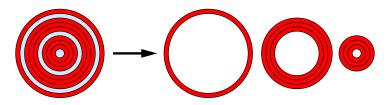
There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



- Let  $D := 1/\epsilon$ . For a fixed  $0 \le s < D$ , delete every layer  $L_i$  with  $i = s \pmod{D}$
- The resulting graph is *D*-outerplanar, hence it has treewidth at most  $3D + 1 = O(1/\epsilon)$ .
- Using the  $2^{O(\text{tw})} \cdot n$  time algorithm for INDEPENDENT SET, the problem on the D-outerplanar graph can be solved in time  $2^{O(1/\epsilon)} \cdot n$ .

#### Theorem

There is a  $2^{O(1/\epsilon)} \cdot n$  time PTAS for INDEPENDENT SET for planar graphs.



We do this for every  $0 \le s < D$ : for at least one value of s, we delete at most  $1/D = \epsilon$  fraction of the solution



We get a  $(1 + \epsilon)$ -approximate solution.

### SUBGRAPH ISOMORPHISM

Input: graphs *H* and *G* 

Find: a subgraph G isomorphic to H.



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

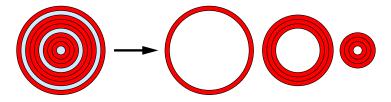
Find: a subgraph G isomorphic to H.



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



- For a fixed  $0 \le s < k+1$ , delete every layer  $L_i$  with  $i = s \pmod{k+1}$
- The resulting graph is k-outerplanar, hence it has treewidth at most 3k + 1.
- Using the  $f(k, tw) \cdot n$  time algorithm for SUBGRAPH ISOMORPHISM, the problem can be solved in time  $f(k, 3k + 1) \cdot n$ .

### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



We do this for every  $0 \le s < k + 1$ : for at least one value of s, we do not delete any of the k vertices of the solution



### Subgraph Isomorphism

Input: graphs H and G

Find: a subgraph G isomorphic to H.



We do this for every  $0 \le s < k + 1$ : for at least one value of s, we do not delete any of the k vertices of the solution



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



We do this for every  $0 \le s < k + 1$ : for at least one value of s, we do not delete any of the k vertices of the solution



### SUBGRAPH ISOMORPHISM

Input: graphs H and G

Find: a subgraph G isomorphic to H.



We do this for every  $0 \le s < k + 1$ : for at least one value of s, we do not delete any of the k vertices of the solution



#### Subgraph Isomorphism

Input: graphs H and G

Find: a subgraph G isomorphic to H.



#### **Theorem**

Subgraph Isomorphism for planar graphs is FPT parameterized by k := |V(H)|.

- The technique is very general, works for many problems on planar graphs:
  - Independent Set
  - Vertex Cover
  - Dominating Set
  - ...
- More generally: First-Order Logic problems.
- But for some of these problems, much better techniques are known (see the following slides).

A powerful framework for efficient algorithms on planar graphs.

### Setup:

- Let x(G) be some graph invariant (i.e., an integer associated with each graph).
- Given G and k, we want to decide if  $x(G) \le k$  (or  $x(G) \ge k$ ).
- Typical examples:
  - Maximum independent set size.
  - Minimum vertex cover size.
  - Length of the longest path.
  - Minimum dominating set size.
  - Minimum feedback vertex set size.

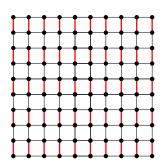
### Bidimensionality [Demaine, Fomin, Hajiaghayi, Thilikos 2005]

For many natural invariants, we can do this in time  $2^{O(\sqrt{k})} \cdot n^{O(1)}$  on planar graphs.

### Bidimensionality for VERTEX COVER

**Observation:** If the treewidth of a planar graph G is at least  $5\sqrt{2k}$ 

- $\Rightarrow$  It has a  $\sqrt{2k} \times \sqrt{2k}$  grid minor (Planar Excluded Grid Theorem)
- $\Rightarrow$  The grid has a matching of size k
- $\Rightarrow$  Vertex cover size is at least k in the grid.
- $\Rightarrow$  Vertex cover size is at least k in G.



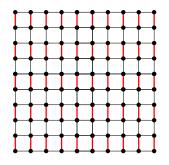
### Bidimensionality for VERTEX COVER

**Observation:** If the treewidth of a planar graph G is at least  $5\sqrt{2k}$ 

- $\Rightarrow$  It has a  $\sqrt{2k} \times \sqrt{2k}$  grid minor (Planar Excluded Grid Theorem)
- $\Rightarrow$  The grid has a matching of size k
- $\Rightarrow$  Vertex cover size is at least k in the grid.
- $\Rightarrow$  Vertex cover size is at least k in G.

We use this observation to solve VERTEX COVER on planar graphs:

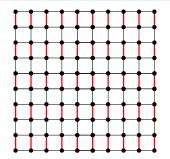
- Set  $w := 5\sqrt{2k}$ .
- Find a 4-approximate tree decomposition.
  - If treewidth is at least w: we answer "vertex cover is  $\geq k$ ."
  - If we get a tree decomposition of width 4w, then we can solve the problem in time  $2^{O(w)} \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$



### Definition

A graph invariant x(G) is minor-bidimensional if

- $x(G') \le x(G)$  for every minor G' of G, and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).

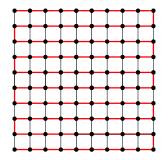


**Examples:** minimum vertex cover, length of the longest path, feedback vertex set are minor-bidimensional.

#### Definition

A graph invariant x(G) is minor-bidimensional if

- $x(G') \le x(G)$  for every minor G' of G, and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).

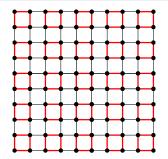


**Examples:** minimum vertex cover, length of the longest path, feedback vertex set are minor-bidimensional.

### Definition

A graph invariant x(G) is minor-bidimensional if

- $x(G') \le x(G)$  for every minor G' of G, and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).



**Examples:** minimum vertex cover, length of the longest path, feedback vertex set are minor-bidimensional.

# Bidimensionality (cont.)

We can answer " $x(G) \ge k$ ?" for a minor-bidimensional invariant the following way:

- Set  $w := c\sqrt{k}$  for an appropriate constant c.
- Use the 4-approximation tree decomposition algorithm.
  - If treewidth is at least w: x(G) is at least k.
  - If we get a tree decomposition of width 4w, then we can solve the problem using dynamic programming on the tree decomposition.

### Running time:

- If we can solve the problem on tree decomposition of width w in time  $2^{O(w)} \cdot n^{O(1)}$ , then the running time is  $2^{O(\sqrt{k})} \cdot n^{O(1)}$ .
- If we can solve the problem on tree decomposition of width w in time  $w^{O(w)} \cdot n^{O(1)}$ , then the running time is  $2^{O(\sqrt{k}\log k)} \cdot n^{O(1)}$ .

### **Definition**

A graph invariant x(G) is minor-bidimensional if

- $x(G') \le x(G)$  for every minor G' of G, and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).

**Exercise:** DOMINATING SET is **not** minor-bidimensional.

#### Definition

A graph invariant x(G) is minor-bidimensional if

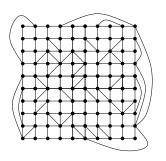
- $x(G') \le x(G)$  for every minor G' of G, and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).

**Exercise:** DOMINATING SET is **not** minor-bidimensional.

We fix the problem by allowing only contractions but not edge/vertex deletions.

#### **Theorem**

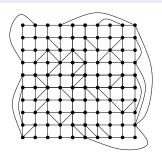
Every planar graph with treewidth at least 5k can be contracted to a partially triangulated  $k \times k$  grid.



#### Definition

A graph invariant x(G) is contraction-bidimensional if

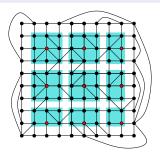
- $x(G') \le x(G)$  for every contraction G' of G, and
- If  $G_k$  is a  $k \times k$  partially triangulated grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).



#### Definition

A graph invariant x(G) is contraction-bidimensional if

- $x(G') \le x(G)$  for every contraction G' of G, and
- If  $G_k$  is a  $k \times k$  partially triangulated grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).

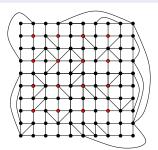


**Example:** minimum dominating set, maximum independent set are contraction-bidimensional.

#### Definition

A graph invariant x(G) is contraction-bidimensional if

- $x(G') \le x(G)$  for every contraction G' of G, and
- If  $G_k$  is a  $k \times k$  partially triangulated grid, then  $x(G_k) \ge ck^2$  (for some constant c > 0).



**Example:** minimum dominating set, maximum independent set are contraction-bidimensional.

### Bidimensionality for DOMINATING SET

The size of a minimum dominating set is a **contraction** bidimensional invariant: we need at least  $(k-2)^2/9$  vertices to dominate all the internal vertices of a partially triangulated  $k \times k$  grid (since a vertex can dominate at most 9 internal vertices).

#### **Theorem**

Given a tree decomposition of width w, DOMINATING SET can be solved in time  $3^w \cdot w^{O(1)} \cdot n^{O(1)}$ .

Solving DOMINATING SET on planar graphs:

- Set  $w := 5(3\sqrt{k} + 2)$ .
- Use the 4-approximation tree decomposition algorithm.
  - If treewidth is at least w: we answer 'dominating set is  $\geq k$ '.
  - If we get a tree decomposition of width 4w, then we can solve the problem in time  $3^w \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$ .

### Treewidth

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- 2 For every v, the bags containing v form a connected subtree.

Width of the decomposition: largest bag size -1.

treewidth: width of the best decomposition.

