

Weak perfect graph theorem

Weak perfect graph theorem (Lovász '72): G is perfect $\iff \overline{G}$ is perfect.

Lovász' 2nd perfect graph theorem: G is perfect \iff For any induced subgraph H of G : $|V(H)| \leq \alpha(H) \cdot \omega(H)$

Note that $\alpha(H)$ is the size of the largest independent (sometimes called stable) set.

Remainder: $A \subseteq V(G)$ is an independent set in graph G if no two elements of A are adjacent.

Lovász' 2nd perfect graph theorem implies the weak perfect graph theorem.

Proof: Let G be a perfect graph. Let \overline{H} be an induced subgraph of \overline{G} . In this case H is an induced subgraph of G . Note that $\alpha(J) = \omega(\overline{J})$ for any graph J . We utilize the 2nd perfect graph theorem. Since G is perfect:

$$\alpha(\overline{H}) \cdot \omega(H) = \omega(H) \cdot \alpha(H) \geq |V(H)| = |V(\overline{H})|$$

This holds for all induced subgraphs of \overline{G} , therefore by the 2nd perfect graph theorem, \overline{G} is perfect. \square

Proof of the 2nd perfect graph theorem:

The direction that G is perfect $\implies |V(H)| \leq \alpha(H) \cdot \omega(H)$ is easy:

Since G is perfect, $\chi(H) = \omega(H)$ so there is a proper coloring of H with $\omega(H)$ colors. Each color class in that coloring is an independent set, therefore its size is at most $\alpha(H)$. The coloring covers all the vertices of H , therefore $\alpha(H) \omega(H) \geq |V(H)|$.

The opposite direction requires some definitions and lemmas to prove.

Definition: G is called imperfect if G is not perfect.

Definition: G is called minimal imperfect if G is imperfect but any induced subgraph H of G which is not G is perfect.

Clearly any imperfect graph contains a minimal imperfect induced subgraph.

Lemma 1:

Let G be a minimal imperfect graph and A be an independent set of G . Then $\omega(G \setminus A) = \omega(G)$, where $G \setminus A$ is the induced subgraph of G whose vertex set is $V(G) \setminus A$.

Proof: Indirectly assume that $\omega(G \setminus A) < \omega(G)$. Then:

G is minimal imperfect, thus $G \setminus A$ is perfect and therefore $\chi(G \setminus A) = \omega(G \setminus A)$

Pick an optimal proper coloring of $G \setminus A$, so it uses $\omega(G \setminus A)$ colors. Use this coloring for G while we introduce a new color for A . In this way we obtain a proper coloring of G with $\omega(G \setminus A) + 1$ colors.

$$\chi(G) \leq \omega(G \setminus A) + 1 \leq \omega(G) \leq \chi(G) \implies \chi(G) = \omega(G) \quad \forall H \subseteq G \quad \chi(H) = \omega(H)$$

↑
induced

by the indirect assumption
G is minimal imperfect

}
 G is perfect

But G cannot be imperfect and perfect at the same time, so this is a contradiction. \square

Lemma 2:

Let G be a minimal imperfect graph. Let $\alpha = \alpha(G)$ and $\omega = \omega(G)$.

Then there is a collection of independent sets $A_0, A_1, A_2, \dots, A_\omega, A_{\omega+1}, \dots, A_{2\omega}, A_{2\omega+1}, \dots, A_{\alpha\omega}$

and cliques $B_0, B_1, B_2, \dots, B_\omega, B_{\omega+1}, \dots, B_{2\omega}, B_{2\omega+1}, \dots, B_{\alpha\omega}$ which satisfy:

- 1) $\forall i: A_i \cap B_i = \emptyset$
- 2) $\forall i, j, i \neq j: |A_i \cap B_j| = 1$

Proof:
 Let $A = \{a_1, a_2, \dots, a_n\}$ be a maximum independent set, so its size is ω . G is minimal imperfect, so $G \setminus \{a_i\}$ is perfect. By lemma 1, $\chi(G \setminus \{a_i\}) = \omega(G \setminus \{a_i\}) = \omega$. Pick an optimal coloring of $G \setminus \{a_i\}$ and denote its color classes by $A_{(i-1)\omega+1}, A_{(i-1)\omega+2}, \dots, A_{i\omega}$. All of these are independent sets.

For any i , by lemma 1., $\omega(G \setminus A_i) = \omega(G)$ so for any A_i there is a maximum clique in G which is disjoint from A_i . Choose such a clique to be B_i .

Now we have the collections, we need to verify the 2 properties:

Let B_i be a clique from the clique collection:

Case 1: $A_0 \cap B_i = \emptyset$. B_i is a maximum clique in each $G \setminus \{a_k\}$, which is perfect, therefore it does not matter what optimal coloring of $G \setminus \{a_k\}$ is chosen, each color class intersect B_i . Therefore by the choice rule of B_i we have that $i=0$.

Case 2: $A_0 \cap B_i \neq \emptyset$. Clearly the size of intersection of a clique and an independent set is either 0 or 1. So $|A_0 \cap B_i| = 1$. Let $a_k \in A_0 \cap B_i$

In $G \setminus \{a_j\}$ where $j \neq k$ B_i is still a clique of size ω , so there is no matter what optimal coloring of $G \setminus \{a_j\}$ is chosen, each color class intersect B_i . So $i \notin \{\omega(j-1)+1, \omega(j-1)+2, \dots, \omega j\}$

In $G \setminus \{a_k\}$ $B_i \setminus \{a_k\}$ is a clique of size $\omega - 1$, and an optimal coloring of $G \setminus \{a_k\}$ uses ω colors, so there is exactly one color class which is disjoint from B_i , and that must be A_i . \square

Lemma 3:

Let A_1, A_2, \dots, A_m and B_1, B_2, \dots, B_m be subsets of an n element set which satisfy:

- 1) $\forall i: |A_i \cap B_i| = 0$
- 2) $\forall i, j, i \neq j: |A_i \cap B_j| = 1$

then $m \leq n$.

Proof:

Let A be an $m \times n$ matrix whose i th row is the characteristic vector of A_i and let B be an $n \times m$ matrix whose j th row is the characteristic vector of B_j . By the 2 conditions:

$$A \cdot B = C = \begin{bmatrix} 0 & 1 & 1 & \dots & 1 \\ 1 & 0 & 1 & \dots & \vdots \\ 1 & 1 & \dots & \dots & \vdots \\ \vdots & \vdots & \dots & \dots & 0 & 1 \\ 1 & \dots & 1 & 0 & \dots \end{bmatrix} \text{ where } C \text{ is an } m \times m \text{ matrix}$$

The determinant of C is not zero, therefore the rank of C is m .
 We know from linear algebra that $\min(n, m) \geq \text{rank}(B) \geq \text{rank}(A \cdot B) = \text{rank}(C) = m \Rightarrow n \geq m. \square$

End of the proof of the 2nd perfect graph theorem:

Now we show that if for all H induced subgraph of G $|V(H)| \leq \omega(H) \cdot \omega(H) \Rightarrow G$ is perfect.

Let H be a minimal imperfect graph. By lemma 2, we can pick collections of independent sets and cliques of H : $A_0, A_1, \dots, A_{\omega(H)\omega(H)}$; $B_0, B_1, \dots, B_{\omega(H)\omega(H)}$ which satisfy that: $\forall i: |A_i \cap B_i| = 0$ and $\forall i, j, i \neq j: |A_i \cap B_j| = 1$.

So by lemma lemma 3 $\omega(H)\omega(H) + 1 \leq |V(H)|$.

Therefore if for all H induced subgraph of G $\omega(H)\omega(H) \geq |V(H)|$ then G cannot contain a minimal imperfect subgraph and therefore it must be perfect. \square .