# ON THE HOLLOW ENCLOSED BY CONVEX SETS

Jenő Lehel

University of Louisville, and Alfréd Rényi Institute of Mathematics<sup>\*</sup> lehel@louisville.edu and

Géza Tóth<sup>†</sup>

Alfréd Rényi Institute of Mathematics, and Budapest University of Technology and Economics, SZIT geza@renyi.hu

March 3, 2021

#### Abstract

For  $n \leq d$ , a family  $\mathscr{F} = \{C_0, C_1, \ldots, C_n\}$  of compact convex sets in  $\mathbb{R}^d$  is called an n-critical family provided any n members of  $\mathscr{F}$  have a non-empty intersection, but  $\bigcap_{i=0}^n C_i = \emptyset$ . If n = d then a lemma on the intersection of convex sets due to Klee implies that the d + 1 members of the d-critical family enclose a 'hollow' in  $\mathbb{R}^d$ , a bounded connected component of  $\mathbb{R}^d \setminus \bigcup_{i=0}^n C_i$ . Here we prove that the closure of the convex hull of a hollow in  $\mathbb{R}^d$  is a d-simplex.<sup>1</sup>

Besides the Helly-theorem on intervals in  $\mathbb{R}^1$  a less notable property is that two disjoint intervals can be separated by a point, in other words, there is a 'hollow' (an interval) between them, a gap, which cannot be bridged with two intervals having empty intersection. This separation or gap property, trivial as it is, helps characterize the intersection patterns of

<sup>\*</sup>Since September 1, 2019 the Alfréd Rényi Institute of Mathematics does not belong to the Hungarian Academy of Sciences.

<sup>&</sup>lt;sup>†</sup>Supported by the National Research, Development and Innovation Fund (TUDFO/51757/2019-ITM, Thematic Excellence Program) and National Research, Development and Innovation Office, NKFIH, K-13152.

<sup>&</sup>lt;sup>1</sup>Keywords: convex sets, critical family, intersection theorems, Klee's separation theorem, KKM lemma

convex sets in  $\mathbb{R}^1$  in terms of 'interval graphs'. Actually, the gap property implies the foremost necessary condition that an interval graph must be chordal, namely, each cycle of length more than three has a chord (see [5]). Just as Helly's theorem is established in  $\mathbb{R}^d$ , for every  $d \ge 1$ , the separation or gap property has extensions to higher dimension.

A family of compact convex sets  $C_0, C_1, \ldots, C_n \subset \mathbb{R}^d$  is called here an *n*critical family if  $\bigcap_{i \neq j} C_i \neq \emptyset$ , for every  $j = 0, 1, \ldots, n$ , but  $\bigcap_{i=0}^n C_i = \emptyset$ . The denotation 'critical'<sup>2</sup> becomes clear when in some finite family of sets with empty intersection we consider a 'smallest' subset with the same property, a 'critical subfamily'.

Convexity and compactness in the definition of a critical family was chosen here with combinatorial geometry applications in mind (see [13]). However, in intersection or covering theorems of topology, when a finite or infinite family of sets appears, the compactness requirement of the members might be relaxed (see [17]), and the condition  $\bigcap_{i=0}^{n} C_i = \emptyset$  is usually replaced with its contrapositive that  $\bigcup_{i=0}^{n} C_i$  is a convex set, which denies the hollow (see [14]). Meanwhile, the primary condition that  $\bigcap_{i\neq j} C_i \neq \emptyset$ , for every j = 0, 1, ..., n, is unchanged and displays a topology variation of *n*-criticality in the different contexts.

The role of *n*-critical families (or its variations) in Euclidean spaces was recognized by Klee [14, 15], Berge [3], and Ghouila-Houri [9] in the study of intersection properties of convex sets. These properties are closely related to fixed point theorems and minimax theorems as explored by Fan [6]. As a result, the intersection theorems and their applications were extended further in functional analysis and in topology by Balaj [1], Ben-El-Mechaiekh [2], Fan [6, 7], Horvath [12] and others, by replacing the Euclidean space with general topological vector spaces. All these investigations are originated in classical topology results such as the Sperner's lemma [20], and its generalizations starting with the Knaster, Mazurkievicz, Kuratowski-theorem [16, 17].

Observe that by Helly's theorem [11], there is no *n*-critical family in  $\mathbb{R}^d$  provided n > d. A fundamental lemma due to Klee [14] implies that for n = d there is a bounded domain  $D \subseteq \mathbb{R}^d \setminus \bigcup_{i=0}^d C_i$  called here the *hollow* enclosed by the *d*-critical family in  $\mathbb{R}^d$  (Corollary 1.3). Section 1 contains different proofs of Klee's fundamental covering lemma displaying its many faceted connections to combinatorial topology. In Section 2 it is proved that the closure of the convex hull of a hollow in  $\mathbb{R}^d$  is a *d*-simplex (Theorem 2.1). An immediate corollary of the hollow theorem, related to an early result of Ghouila-Houri [9], is formulated in Section 3 (Theorem 3.2). The note concludes with a separation property of *n*-critical families in  $\mathbb{R}^d$ , actually a corollary of a more general separation result by Klee [14, Theorem 1], for the case n < d, when there is no hollow enclosed by the family (Theorem 3.3).

<sup>&</sup>lt;sup>2</sup>The concept of criticality was introduced in graph theory by T. Gallai [8]

Given a set  $X \subset \mathbb{R}^d$ , the convex hull, the closure, and the boundary of *X* is denoted by Conv(X), cl(X), and  $\partial X$ , respectively.

## 1 Klee's lemma

A basic lemma discovered by Klee [14] and independently by Berge [3] captures a fundamental intersection property of *n*-critical families. We include here three proofs using different techniques and displaying a many faceted connections of the lemma to topology. The first purely geometry proof is using the standard separation theorem of disjoint compact convex sets (c.f. [15]). The second proof was outlined by Berge [3] and applies a combinatorial topology result deduced from Sperner's lemma [20]. The last proof uses the KKM lemma from fixed-point theory due to Knaster, Kuratowski, and Mazurkievicz [16].

**Lemma 1.1.** [Klee [14], Berge [3]]. Let  $C_0, C_1, \ldots, C_n \subset \mathbb{R}^d$  be compact convex sets such that  $\bigcap_{\substack{i=1\\i\neq j}}^n C_i \neq \emptyset$ , for every  $j = 0, 1, \ldots, n$ . If  $\bigcup_{i=0}^n C_i$  is convex, then  $\bigcap_{i=0}^n C_i \neq \emptyset$ .

*Proof.* The proof is induction on *n*. The case n = 0 is trivial; assume that  $n \ge 1$  and the claim is true for *n* convex sets. If  $\bigcap_{i=0}^{n} C_i = \emptyset$ , then  $C_n$  and  $A = \bigcap_{i=0}^{n-1} C_i$  are disjoint compact convex sets, thus they can be strictly separated with a hyperplane *H* such that  $H \cap A = H \cap C_n = \emptyset$ . Let  $C'_i = H \cap C_i, 0 \le i \le n-1$ .

For every 
$$j = 0, ..., n-1$$
, the condition  $\bigcap_{\substack{i=1\\i\neq j}}^{n} C_i = C_n \cap \left(\bigcap_{\substack{i=1\\i\neq j}}^{n-1} C_i\right) \neq \emptyset$   
combined with  $H \cap C_n = \emptyset$  imply that  $H \cap \left(\bigcap_{\substack{i=1\\i\neq j}}^{n-1} C_i\right) = \bigcap_{\substack{i=1\\i\neq j}}^{n-1} C'_i \neq \emptyset$ . Be-

cause  $\bigcup_{i=0}^{n-1} C'_i = (H \cap C_n) \cup \left(\bigcup_{i=0}^{n-1} H \cap C_i\right) = H \cap \left(\bigcup_{i=0}^n C_i\right)$  is convex, we obtain by induction that  $\bigcap_{i=0}^{n-1} C'_i = H \cap \left(\bigcap_{i=0}^{n-1} C_i\right) = H \cap A \neq \emptyset$ , a contradiction.

Second proof of Lemma 1.1. Let  $a_j \in \bigcap_{i \neq j} C_i$ , for j = 0, 1, ..., n, and set  $S = \text{Conv}(\{a_0, ..., a_n\})$  for the convex hull of these n + 1 points. If *S* is not a simplex, then they span an affine subspace of dimension n - 1 or less, then by Helly's theorem the claim  $\bigcap_{i=0}^{n} C_i \neq \emptyset$  follows. We assume now that *S* is an *n*-simplex. Since the facet  $S^{(j)} \subset S$  opposite  $a_j$  is included in  $C_j$  and  $\bigcup_{i=0}^{n} C_i$  is convex, we have  $S \subseteq \bigcup_{i=0}^{n} C_i$ .

We take a simplicial subdivision of S with arbitrary small mesh<sup>3</sup>. A

 $<sup>^{3}</sup>$  mesh = the maximum diameter of the simplices of the subdivision

Sperner coloring<sup>4</sup> of the vertices of the subdivision is defined next. For a vertex v of the subdivision let the color of v be any index  $j \in \{0, 1, ..., n\}$  such that  $v \in C_{j-1} \setminus C_j$  (where  $C_{-1} = C_n$ ). A color j exists for every  $v \in S$ , since otherwise,  $v \in \bigcap_{i=0}^{n} C_i$ , and the claim follows. Observe, if j is the color of  $v \in \text{Conv}(\{a_{i_0}, a_{i_1}, ..., a_{i_k}\})$ , then  $j \in \{i_0, i_1, ..., i_k\}$  follows by the convexity of  $C_j$ , and because  $v \notin C_j$ . Then by Sperner's lemma, there is an *n*-simplex whose vertices are multicolored with n + 1 different colors.

By repeating the procedure with simplicial sudivisions of *S* with mesh  $\varepsilon \searrow 0$ , there is a convergent subsequence of the multicolored subdividing simplices approaching a point  $p \in S$ . This limit point satisfies  $p \in C_{j-1}$ , for every j = 0, 1, ..., n, thus  $\bigcap_{i=0}^{n} C_i \neq \emptyset$  follows.

The KKM lemma due to Knaster, Kuratowski, and Mazurkievicz [16] is known as a remarkable intersection theorem for closed covers of a Euclidean simplex. Extending the Sperner lemma [20] the KKM lemma was the starting point of further generalizations to topological vector spaces [2, 12, 17]; these variations have been applied in mathematical fixed-point theory [7].

A set-valued map  $\Gamma$  of the points of an arbitrary set  $X \subset \mathbb{R}^d$  into sets of  $\mathbb{R}^d$  is called a *KKM map on X* if for every finite subset  $N \subseteq X$ ,  $Conv(N) \subseteq \bigcup_{x \in N} \Gamma(x)$ . Ben-El-Mechaiekh [2] proves a particular version of the KKM theorem stated as follows.

**Theorem 1.2.** If  $\Gamma$  is a KKM map on  $X \subset \mathbb{R}^d$  such that, for every  $x \in X$ ,  $\Gamma(x)$  is a non-empty closed convex subset of  $\mathbb{R}^d$ , then the family  $\mathscr{F} = {\Gamma(x)}_{x \in X}$  has the finite intersection property, that is the intersection of the members of any finite subfamily of  $\mathscr{F}$  is nonempty.  $\Box$ 

For finite sets *X* the claim in Theorem 1.2 simply becomes  $\bigcap_{x \in X} \Gamma(x) \neq \emptyset$ . As observed by Ben-El-Mechaiekh [2], Klee's fundamental intersection theorem (Lemma 1.1) follows from the finite version of Theorem 1.2.

Third proof of Lemma 1.1. Let  $a_j \in \bigcap_{i \neq j} C_i$ , for j = 0, 1, ..., n. Define the map  $\Gamma(a_i) \mapsto C_{i-1}$ , for i = 0, 1, ..., n, (where  $C_{-1} = C_n$ ). We verify that  $\Gamma$  is a KKM map on  $A = \{a_0, a_1, ..., a_n\}$ ; let  $N \subseteq A$ .

For N = A, because  $A \subset \bigcup_{i=0}^{n} C_i$  and  $C = \bigcup_{i=0}^{n} C_i$  is convex, we obtain  $\operatorname{Conv}(N) = \operatorname{Conv}(A) \subset C = \bigcup_{a_i \in N} \Gamma(a_i)$ . For  $N \neq A$ , let j be an index such that  $a_j \in N$ , and  $a_{j-1} \notin N$ . Observe that  $N \subset C_{j-1}$ , and since  $C_{j-1}$  is convex, we obtain  $\operatorname{Conv}(N) \subset C_{j-1} = \Gamma(a_j) \subset \bigcup_{a_i \in N} \Gamma(a_i)$ . By Theorem  $1.2, \bigcap_{a_i \in A} \Gamma(a_i) = \bigcap_{i=0}^{n} C_i \neq \emptyset$  follows.

**Corollary 1.3.** If  $\{C_0, C_1, \ldots, C_d\}$  is a *d*-critical family in  $\mathbb{R}^d$ , then  $\mathbb{R}^d \setminus$ 

<sup>&</sup>lt;sup>4</sup> a vertex  $v_i$  of the *n*-simplex  $(v_0, \ldots, v_n)$  is colored with  $i, i = 0, 1, \ldots, n$ , furthermore; if  $v \in \text{Conv}(\{v_{i_0}, v_{i_1}, \ldots, v_{i_k}\})$  then the color of v is any index from  $\{i_0, i_1, \ldots, i_k\}$ 

 $\bigcup_{i=0}^{d} C_i$  has a bounded connected component D, that is every ray emanating from any point of D intersects some  $C_i$ ,  $0 \le i \le d$ .

*Proof.* Let  $a_j \in \bigcap_{i \neq j} C_i$ , for j = 0, 1, ..., d. If  $E \subset \mathbb{R}^d$  is the affine space of dimension less than d, then the contradiction  $\bigcap_{i=0}^n C_i \neq \emptyset$  is obtained by Helly's theorem. Let  $S = \text{Conv}(\{a_0, ..., a_n\})$  be the d-simplex; notice that each face of S is contained in  $\bigcup_{i=0}^n C_i$ . The compact convex sets  $C'_i = C_i \cap S$ , i = 0, 1, ..., n, form a d-critical family, thus by Lemma 1.1  $\bigcup_{i=0}^d C'_i \subset S$  is not convex, which means that S does not cover  $\bigcup_{i=0}^d C_i$ . Let  $p \in S \setminus \bigcup_{i=0}^d C_i$ . Because  $\partial S \subseteq \bigcup_{i=0}^d C_i$ , every ray emanating from pintersects  $C_j$ , for some  $0 \leq j \leq d$ .

#### 2 The Hollow theorem

**Theorem 2.1.** If  $\mathscr{F} = \{C_0, ..., C_d\}$  is a *d*-critical family in  $\mathbb{R}^d$ , then one of the connected components of  $\mathbb{R}^d \setminus \bigcup_{i=0}^d C_i$  is a non-empty bounded region *D*, and the closure of Conv(*D*) is a *d*-simplex.

*Proof.* The claim is true for d = 1; let  $d \ge 2$  and assume that the claim is true for d - 1. By Corollary 1.3, the hollow D enclosed by  $\mathscr{F}$  exists. Furthermore, D is an open set,  $\partial D \subseteq \partial C_0 \cup \ldots \cup \partial C_d$ , and D is contained in any d-simplex S with vertices in  $\bigcap_{h \ne j} C_h$ ,  $j = 0, \ldots, d$ . Since S is closed,  $cl(Conv(D) \subset S$ .

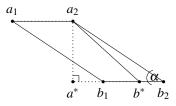
For j = 0, ..., d, let  $p_j \in \bigcap_{h \neq j} C_h$  be a closest point of  $\bigcap_{h \neq j} C_h$  to  $C_j$ . We claim that  $p_0, ..., p_d$  are unique points of  $\partial D$ . Assume that this claim is true, and let *S* be the *d*-simplex with vertices  $p_0, ..., p_d$ . Because cl(Conv(D)) is convex and the vertices of *S* belong to  $\partial D$ , we have  $S \subset cl(Conv(D))$ . On the other hand, we know  $cl(Conv(D)) \subset S$ , thus cl(Conv(D)) = S follows.

1. We show that the simplex S is unique. Suppose that the points  $a_1, a_2 \in \bigcap_{h \neq d} C_h$  and  $b_1, b_2 \in C_d$  are such that the minimum distance between  $\bigcap_{h \neq d} C_h$  and  $C_d$  is  $m = |\overline{a_1 b_1}| = |\overline{a_2 b_2}|$ .<sup>5</sup> Let the position vectors of  $a_i$  and  $b_i$  be  $\mathbf{a_i}$  and  $\mathbf{b_i}$ , respectively. By convexity,  $\mathbf{a} = \frac{1}{2}(\mathbf{a_1} + \mathbf{a_2}) \in \bigcap_{h \neq d} C_h$  and  $\mathbf{b} = \frac{1}{2}(\mathbf{b_1} + \mathbf{b_2}) \in C_d$ , hence  $(\mathbf{a} - \mathbf{b})^2 \ge m^2$ . Using  $(\mathbf{a_1} - \mathbf{b_1})^2 = (\mathbf{a_2} - \mathbf{b_2})^2 = m^2$  and setting  $\gamma$  for the angle between  $\mathbf{a_1} - \mathbf{b_1}$  and  $\mathbf{a_2} - \mathbf{b_2}$  we obtain

$$\begin{aligned} 2m^2 &\leq 2(\mathbf{a} - \mathbf{b})^2 &= \frac{1}{2}(\mathbf{a_1} - \mathbf{b_1} + \mathbf{a_2} - \mathbf{b_2})^2 \\ &= \frac{1}{2}[(\mathbf{a_1} - \mathbf{b_1})^2 + (\mathbf{a_2} - \mathbf{b_2})^2] + (\mathbf{a_1} - \mathbf{b_1})(\mathbf{a_2} - \mathbf{b_2}) \\ &= m^2 + m^2 \cos \gamma \leq 2m^2. \end{aligned}$$

<sup>&</sup>lt;sup>5</sup>  $\overline{ab}$  is the line segment between points *a* and *b* 

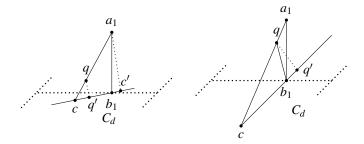
This implies  $\cos \gamma = 1$ , that is  $\overline{a_1b_1} \parallel \overline{a_2b_2}$ , hence either  $\overline{a_1b_1} = \overline{a_2b_2}$  or  $(a_1, a_2, b_2, b_1)$  is a parallelogram.



Assume that  $\overline{a_1b_1}$  and  $\overline{a_2b_2}$  are distinct segments. If  $(a_1, a_2, b_2, b_1)$  is not a rectangle, then set  $\alpha = \angle a_2b_2b_1 < \pi/2$ . Let  $a^*$  be the orthogonal projection of  $a_2$  on the line through  $b_1, b_2$ , and let  $b^* \in \overline{b_1b_2} \cap \overline{a^*b_2}$ . Then  $b^* \in C_d$ , and in the right triangle  $(a_2, a^*, b_2)$  we have  $|\overline{a_2b^*}| < |\overline{a_2b_2}| = m$ , a contradiction. Thus we obtain that  $(a_1, a_2, b_2, b_1)$  is a rectangle.

The open ball of radius *m* centered at  $a_1$  is disjoint from  $C_d$ , hence the hyperplane through  $b_1$ ,  $b_2$  and perpendicular to  $\overline{a_1b_1}$  is a supporting hyperplane to  $C_d$ . For every  $j = 0, \ldots, d-1$ , select a point  $c_j \in \bigcap_{h \neq j} C_h$ . Apply Radon's theorem [19] on the (d+2)-element set  $R = \{a_1, a_2, c_0, \ldots, c_{d-1}\}$ . Let  $J_1 \cup J_2 = R$  be the Radon-partition, and let  $q \in \text{Conv}(J_1) \cap \text{Conv}(J_2)$ . If  $c_j \notin J_1$ , then  $\text{Conv}(J_1) \subset C_j$ , and if  $c_j \notin J_2$ , then  $\text{Conv}(J_2) \subset C_j$ ; therefore,  $q \in \text{Conv}(J_1) \cap \text{Conv}(J_2) \subset C_j$ , for  $j = 0, \ldots, d-1$ . Thus we obtain that  $q \in \bigcap_{j=0}^{d-1} C_j$ , which implies  $q \notin C_d$ . Because  $\text{Conv}(J_i \setminus \{a_1, a_2\}) \subset C_d$  and  $q \notin C_d$ , points  $a_1, a_2$  are in distinct partition classes, say  $a_i \in J_i$ . Since  $a_1 \neq a_2$ , we may assume that  $q \neq a_1$ ; denote  $m_0$  the distance of q from  $C_d$ . Clearly,  $m \leq m_0$ .

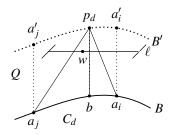
Because  $\overline{a_1q} \subset \text{Conv}(J_1)$  and  $\text{Conv}(J_1 \setminus \{a_1\}) \subseteq C_d$ , the line through  $a_1$  and q intersects  $C_d$  at some point  $c \in C_d$ . Our argument proceeds on the plane containing the triangle  $(a_1, b_1, c)$ . Let q' and c' be the points on the line through c and  $b_1$  such that  $\overline{qq'} \perp \overline{cb_1}$  and  $\overline{a_1c'} \perp \overline{cb_1}$  (see the figures).



If  $q' \in \overline{cb_1}$  then by convexity,  $q' \in C_d$ . This implies that  $m_0 \leq |\overline{qq'}| < |\overline{a_1c'}| \leq |\overline{a_1b_1}| = m \leq m_0$ , a contradiction (see the figure on the left). If  $b_1 \in \overline{cq'}$  then we have  $\angle b_1qa_1 = \pi - \angle cqb_1 \geq \pi - \angle cqq' > \pi/2$  (see on the right). Therefore,  $m_0 \leq |\overline{qb_1}| < |\overline{a_1b_1}| = m \leq m_0$ , a contradiction.

We conclude that  $a_1 = a_2$ , thus  $p_d$  is uniquely determined as the closest point in  $\bigcap_{h \neq d} C_h$  to  $C_d$ . Similarly, each point  $p_i \in \bigcup_{h \neq i} C_h$  closest to  $C_i$ , i = 0, ..., d - 1, is uniquely determined. Furthermore, because  $\bigcap_{i=0}^{d} C_i = \emptyset$ ,  $S = (p_0, ..., p_d)$  is a d-simplex.

2. Next we show that  $p_d \in \partial H$ . Let  $b \in \partial C_d$  be the closest point in  $C_d$  to  $p_d \in \bigcap_{h \neq d} C_h$ . For i = 0, 1, ..., d - 1, let  $a_i \in \partial C_d \cap (\bigcap_{h \neq i} C_h)$ . We translate the point *b* to  $p_d$ , and assume that the same translation takes the points  $a_0, ..., a_{d-1}$  into  $a'_0, ..., a'_{d-1}$ , respectively. Define  $B = \partial C_d \cap$  $Conv(\{b, a_0, ..., a_{d-1}\} \cup \{p_d, a'_0, ..., a'_{d-1}\})$ , and let *B'* be the translation of *B* sending *b* into  $p_d$ . Observe that  $\bigcap_{h \neq d} C_h$  has no point in the interior of  $Q = Conv(B \cup B')$ .



Now we take a hyperplane  $\ell$  strictly separating  $p_d$  from B and sufficiently close to  $p_d$ . The intersection of  $C = \text{Conv}(\{p_d, a_0, \dots, a_{d-1}\})$  with  $\ell$  is inside the interior of Q; let  $L = \ell \cap C$ . The convex sets  $C'_i = C_i \cap L$ ,  $i = 0, 1, \dots, d-1$ , form a (d-1)-critical family  $\mathscr{F}'$  in the hyperplane  $\ell$ . By induction, the hollow enclosed by  $\mathscr{F}'$  in  $\ell$  contains a point  $w \in L \setminus \left(\bigcup_{i=0}^{d-1} C_i\right)$ . The simplex  $\text{Conv}(\{p_d, a_0, \dots, a_{d-1}\})$  contains the hollow H enclosed by  $\mathscr{F}$  in  $\mathbb{R}^d$ , which implies that  $w \in H$ .

Because  $\ell$  can be taken arbitrarily close to  $p_d$ , the point  $w \in H$  becomes arbitrarily close to  $p_d$ . Thus we obtain  $p_d \in \partial H$ , and similarly,  $p_i \in \partial H$ ,  $0 \le i \le d-1$ . Therefore,  $cl(Conv(H))=Conv(\{p_0, p_1, \ldots, p_d\})$ .  $\Box$ 

#### **3** Conclusion

Given a *d*-critical family  $\mathscr{F} = \{C_0, \ldots, C_d\}$  in  $\mathbb{R}^d$ , a *cage* is defined as a closed set containing d + 1 base points,  $a_i \in \bigcap_{h \neq i} C_h$ ,  $0 \le i \le d$ . A convex cage *M* carried by  $\mathscr{F}$  contains the hollow  $D \subset \mathbb{R}^d \setminus \bigcup_{i=0}^d C_i$  enclosed by the family, because *D* is included in the convex hull of the base points of *M*. The generalization of Berge's theorem [3] due to Ghouila-Houri [9] implies the following property of a convex cage (as a special case).

**Proposition 3.1.** Let  $\mathscr{F} = \{C_0, \ldots, C_d\}$  be a *d*-critical family in  $\mathbb{R}^d$ , and let *F* be a closed set containing the hollow *D* enclosed by  $\mathscr{F}$ . If *M* is a convex cage carried by  $\mathscr{F}$ , then  $F \cap M$  is also a cage.

When applying Proposition 3.1 with F = cl(Conv(D)), then the d+1 base points of the cage  $F \cap M$  may depend on the choice of M. Theorem 2.1 implies that this is not the case, Proposition 3.1 is true in a stronger form, namely, there is a unique convex cage minimal by inclusion, the d-simplex cl(Conv(D)).

**Theorem 3.2.** Let  $\mathscr{F} = \{C_0, \ldots, C_d\}$  be a *d*-critical family in  $\mathbb{R}^d$ . Then there exist d+1 base points, which belong to every convex cage *M* carried by  $\mathscr{F}$ .

If n < d then there is no hollow enclosed by the members of an *n*-critical family in  $\mathbb{R}^d$ . In particular, the two compact convex members of a 1-critical family do not enclose a hollow in  $\mathbb{R}^2$ ; nevertheless, since they are disjoint, they can be strictly separated by a line. A result due to Klee [14, Theorem 1] extends this separation property in  $\mathbb{R}^d$  for any *n*-sets.<sup>6</sup> Klee's separation theorem has an immediate corollary for *n*-critical families below; a simple proof (extending easily the induction proof of Lemma 1.1 given above) is due to Breen [4].

**Theorem 3.3.** (Breen [4]). For  $1 \le n \le d$ , let  $\{C_0, C_1, \ldots, C_n\}$  be an *n*-critical family in  $\mathbb{R}^d$ , and let  $a_i \in \bigcap_{h \ne i} C_h$ ,  $0 \le i \le n$ . Then in  $\mathbb{R}^d$  there are two affine subspaces, W of dimension n and V of dimension d - n (called a stabbing affine subspace), meeting in a single point p and such that

- (a)  $V \cap C_i = \emptyset$  and  $a_i \in W$ , for every  $0 \le i \le n$ , and
- (b) the set  $W \cap (\bigcup_{i=0}^{n} C_i)$  surrounds<sup>7</sup>  $\{p\}$  in W.

The special version of Theorems 2.1 and 3.2 for d = 2 was originally developed and applied by Jobson et al. [13, Lemma 1] in the study of an extremal problem involving forbidden planar convex hypergraphs. It is worth noting that the characterization of *d*-dimensional convex hypergraphs<sup>8</sup> is not known for  $d \ge 2$ . For d = 1 the convex hypergraphs are called interval graphs; and as it is well known, their characterization was done by Lekkerkerker and Boland [18] in terms of forbidden obstructions, and by Gilmore and Hoffman [10] using the ordering and the separation property of the real line.

Having Theorem 3.3, one could try to generalize the Hollow Theorem (Theorem 2.1), that is, for an *n*-critical family  $\{C_0, C_1, \ldots, C_n\}$  in  $\mathbb{R}^d$ , one might ask for some kind of 'geombinatorial' description of the set of all stabbing (d-n)-dimensional affine spaces V. At this point we do not even have a reasonable conjecture.

<sup>&</sup>lt;sup>6</sup>the concept of an *n*-set is a variation of *n*-criticality used by Klee [14]

<sup>&</sup>lt;sup>7</sup> Q surrounds P in A if  $A \setminus Q$  has a connected component which is bounded and contains P

<sup>&</sup>lt;sup>8</sup>vertices are convex sets in  $\mathbb{R}^d$ , and d + 1 vertices form a hyperedge if and only if they have nonempty intersection

### References

- M. Balaj, Intersection properties for some families of convex sets. Pure Math. Appl. 8 (1997)195–201.
- [2] H. Ben-El-Mechaiekh, Intersection theorems for closed convex sets and applications. Missouri J. Math. Sci. 27 (2015) 47–63.
- [3] C. Berge, Sur une propriété combinatoire des ensembles convexes. C. R. Acad. Sci. Paris 248 (1959) 2698–2699.
- [4] M. Breen, Starshaped unions and nonempty intersections of convex sets in ℝ<sup>d</sup>. Proc. Amer. Math. Soc. 108 (1990) 817–820.
- [5] G.A. Dirac, On rigid circuit graphs. Abh. Math. Sem. Univ. Hamburg 25 (1961) 71–76.
- [6] K. Fan, Fixed-point and minimax theorems in locally convex topological linear spaces. Proc. Nat. Acad. Sci. U. S. A. 38, (1952) 121– 126.
- [7] K. Fan, Some properties of convex sets related to fixed point theorems. Math. Ann. 266 (1984) 519–537.
- [8] T. Gallai, Kritische Graphen. I., II. Magyar Tud. Akad. Mat. Kutat Int. Kzl. 8 (1963) 165–192 ibid. 373–395.
- [9] A. Ghouila-Houri, Sur l'étude combinatoire des familles de convexes. C. R. Acad. Sci. Paris 252 (1961) 494–496.
- [10] P.C. Gilmore and A.J. Hoffman, A characterization of comparability graphs and of interval graphs. Canad. J. Math. 16 (1964) 539–548.
- [11] E. Helly, Über Mengen konvexer Körper mit gemeinschaftlichen Punkten, Jahresbericht der Deutschen Mathematiker-Vereinigung, 32 (1923) 175–176.
- [12] C. Horvath, Contractibility and generalized convexity. J. Math. Anal. Appl. 156 (1991) 341–357.
- [13] A. Jobson, A. Kézdy, J. Lehel, T. Pervenecki, and G. Tóth, Petruska's question on planar convex sets. arXiv: 1912.08080 [math.CO], Dec. 2019.
- [14] V. Klee, On certain intersection properties of convex sets. Canadian J. Math. 3 (1951) 272–275.
- [15] V. Klee, Maximum separation theorems for convex sets. Trans. Amer. Math. Soc. 134 (1968) 133–147.
- [16] B. Knaster, C. Kuratowski, S. Mazurkiewicz, Ein Beweis des Fixpunksatses fr ndimensionale Simplexe, Fundamenta Mathematicae, 14 (1929) pp. 132–137.
- [17] M. Lassonde, Sur le principe KKM. C. R. Acad. Sci. Paris Sr. I Math. 310 (1990) 573–576.

- [18] C. G. Lekkerkerker and J. Ch. Boland, Representation of a finite graph by a set of intervals on the real line, Fund. Math. 51 (1962/63) 45–64.
- [19] J. Radon, Mengen konvexer Körper, die einen gemeinsamen Punkt enthalten, Mathematische Annalen, 83 (1921) 113–115. doi:10.1007/BF01464231
- [20] E. Sperner, Neuer Beweis f
  ür die Invarianz der Dimensionszahl und des Gebietes, Abh. Math. Semin. Hamburg. Univ., Bd. 6 (1928) pp. 265–272.