



Constraint Solving via Fractional Edge Covers

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Symposium on Discrete Algorithms (SODA) 2006

January 22, 2006

Constraint Satisfaction Problems (CSP)

Many natural problems can be expressed as a **Constraint Satisfaction Problem**, where a conjunction of clauses has to be satisfied.

$$I = C_1(x_1, x_2, x_3) \wedge C_2(x_2, x_4) \wedge C_3(x_1, x_3, x_4)$$

A CSP instance is given by describing the

- ⑥ variables,
- ⑥ domain of the variables,
- ⑥ constraints on the variables.

Task: Find an assignment that satisfies every constraint.

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Example: 3-COLORING is a CSP problem.

Variables: vertices, **Domain:** $\{1, 2, 3\}$, **Constraints:** one for each edge.

Tractable structures

Structural properties that can make a CSP instance tractable:

- ⑥ tree width
- ⑥ hypertree width [Gottlob et al. '99]
- ⑥ fractional edge cover number
- ⑥ fractional hypertree width

Representation issues

How are the constraints represented in the input?

- ⑥ full truth table
- ⑥ listing the satisfying tuples
- ⑥ formula/circuit
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In this talk: Each constraint is given by listing all the tuples that satisfy it.

Motivation: Applications in database theory & AI.

Constraints are known databases, “satisfying” means “appears in the database.”

Tree width

Tree width: A measure of how “tree-like” the graph is.
(Introduced by Robertson and Seymour.)

Tree decomposition: Bags of vertices are arranged in a tree structure satisfying the following properties:

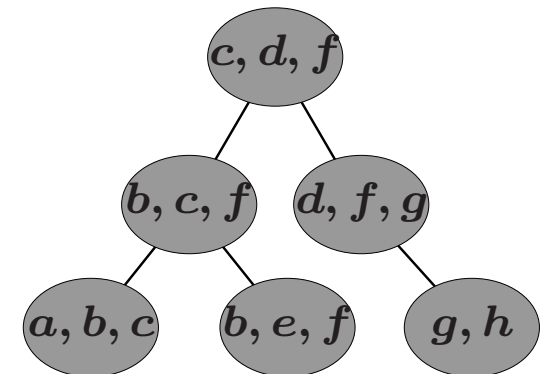
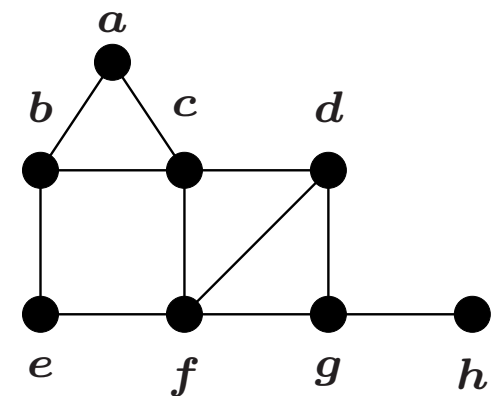
1. If u and v are neighbors, then there is a bag containing both of them.
2. For every vertex v , the bags containing v form a connected subtree.

Width of the decomposition:

size of the largest bag minus 1.

Tree width: width of the best decomposition.

Fact: Tree width = 1 \iff graph is a forest



Bounded tree width graphs

Many problems are polynomial-time solvable for bounded tree width graphs:

- ⑥ VERTEX COLORING
- ⑥ EDGE COLORING
- ⑥ HAMILTONIAN CYCLE
- ⑥ MAXIMUM CLIQUE
- ⑥ VERTEX DISJOINT PATHS

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Usually, if a problem can be solved on trees by bottom-up dynamic programming, then the same approach works for bounded tree width graphs.

CSP and tree width

Primal (Gaifman) graph: vertices are the variables, and two vertices are connected if they appear in a common constraint.

Fact: For every w , there is a polynomial-time algorithm solving CSP instances where the primal graph have tree width at most w .

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This result is best possible.

$\text{CSP}(\mathcal{G})$: the problem restricted to instances where the primal graph is in \mathcal{G} .

Theorem: [Grohe '03]

$\text{CSP}(\mathcal{G})$ is polynomial-time solvable $\iff \mathcal{G}$ has bounded tree width
(assuming $\text{FPT} \neq \text{W}[1]$).

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Considering the hypergraph instead of the primal graph makes the complexity analysis more precise.

$$I_1 = C(x_1, x_2, \dots, x_n) \text{ vs.}$$

$$I_2 = C(x_1, x_2) \wedge C(x_1, x_3) \wedge \dots \wedge C(x_{n-1}, x_n)$$

I_1, I_2 have the same primal graph K_n , but I_1 is always easy, I_2 can be hard.

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I_1, I_2 have the same primal graph K_n , but I_1 is always easy, I_2 can be hard.

Observation: If there is a constraint that covers every variable, then we have to test at most $\|I\|$ possible assignments.

Observation: If the variables can be covered by k constraints, then we have to test at most $\|I\|^k$ possible assignments.

Hypertree width

In a **hypertree decomposition** [Gottlob et al. '99] of width w , bags of vertices are arranged in a tree structure such that

1. If u and v are connected by an edge, then there is a bag containing both of them.
2. For every vertex v , the bags containing v form a connected subtree.
3. For each bag, there are w edges (called the **guards**) that cover the bag.

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Footnote: This is actually called generalized hypertree width for historical reasons.

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Theorem: [Gottlob et al. '99] For every w , there is a polynomial-time algorithm for solving CSP on instances with hypergraphs having hypertree width at most w .

Algorithm: Bottom up dynamic programming. There are at most $\|I\|^w$ possible satisfying assignments for each bag.

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Generalization: Is there some more general property that makes the number of satisfying assignments of a bag polynomial?

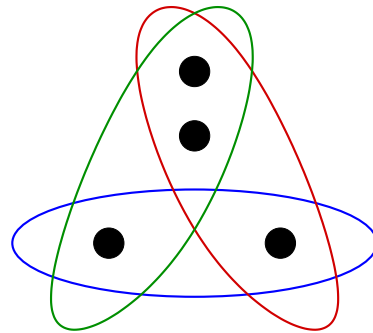
(Fractional) edge covering

An **edge cover** of a hypergraph is a subset of the edges such that every vertex is covered by at least one edge.

$\varrho(H)$: size of the smallest edge cover.

A **fractional edge cover** is a weight assignment to the edges such that every vertex is covered by total weight at least 1.

$\varrho^*(H)$: smallest total weight of a fractional edge cover.



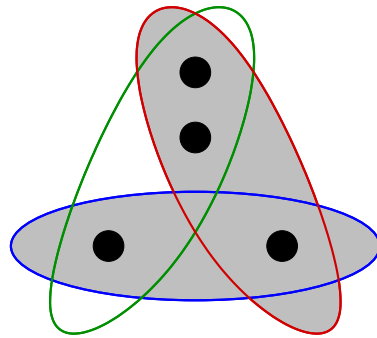
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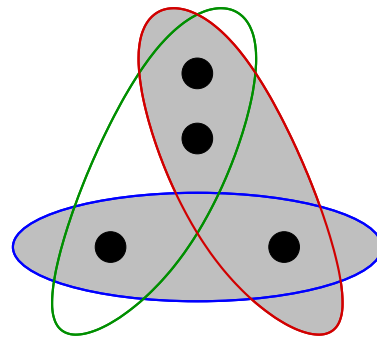
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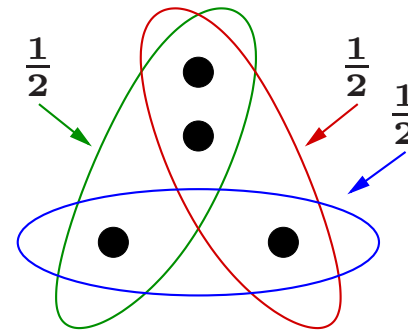
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$$\varrho^*(H) = 1.5$$

Fractional edge covering

Lemma: (trivial) If the hypergraph of the instance has edge covering number w , then there are at most $\|I\|^w$ satisfying assignments.

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This can be shown using the following combinatorial lemma:

Shearer's Lemma: Let $H = (V, E)$ be a hypergraph, and let A_1, A_2, \dots, A_p be (not necessarily distinct) subsets of V such that each $v \in V$ is contained in at least q of the A_i 's. Denote by E_i the edge set of the hypergraph projected to A_i . Then

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Theorem: For every w , there is a polynomial-time algorithm for solving CSP if a fractional hypertree decomposition of width at most w is given in the input.



Currently we do not know if deciding fractional hypertree width $\leq w$ is possible in polynomial time for every fixed value of w .

Law enforcement on graphs

Robber and Cops Game: k cops try to capture a robber in the graph.

- ⑥ In each step, the cops can move from vertex to vertex arbitrarily with helicopters.
- ⑥ The robber moves infinitely fast, and sees where the cops will land.
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Theorem: [Seymour and Thomas '93]

k cops can win the game \iff the tree width of the graph is at most $k - 1$.

The winner of the game can be determined in $n^{O(k)}$ time \implies tree width $\leq k$ can be checked in polynomial time for fixed k .

Law enforcement on hypergraphs

Robber and Marshals:

Played on a hypergraph, a marshal can occupy an edge blocking all the vertices of the edge at the same time.

Theorem: [Adler et al. '05] k marshals can win the game if hypertree width is $\leq k$, and they cannot win the game if hypertree width is $\geq 3k + 1$.

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Robber and Army:

A general has k battalions. A battalion can be divided arbitrarily, each part can be assigned to an edge. A vertex is blocked if it is covered by one full battalion.

Theorem: k battalions can win the game if fractional hypertree width is $\leq k$, and they cannot win the game if fractional hypertree width is $\geq 3k + 2$.

! We don't know how to turn this result into an algorithm (there are too many army positions).

Conclusions

- ⑥ CSP where constraints are represented as lists of satisfying tuples.
- ⑥ Bounded tree width and bounded hypertree width make the problem polynomial-time solvable.
- ⑥ **New:** Bounded fractional edge cover number.
- ⑥ **New:** fractional hypertree width.
- ⑥ **Open:** finding fractional hypertree decompositions.
- ⑥ Robber and Army Game: equivalent to fractional hypertree width (up to a constant factor).
- ⑥ Are there other classes of hypergraphs where CSP is easy? Can we prove that bounded fractional hypertree width is best possible?