



# ***A parameterized view on matroid optimization problems***

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# Overview

- ⑥ Parameterized complexity
- ⑥ Matroid basics
- ⑥ Main result
- ⑥ Applications

# Parameterized complexity

**Problem:**

MINIMUM VERTEX COVER

MAXIMUM INDEPENDENT SET

**Input:**

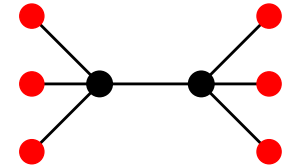
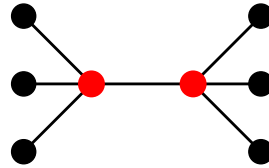
Graph  $G$ , integer  $k$

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**Question:**

Is it possible to cover the edges with  $k$  vertices?

Is it possible to find  $k$  independent vertices?

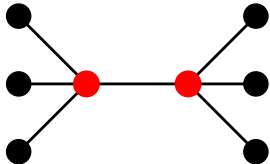
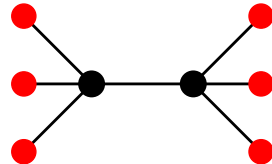


**Complexity:**

NP-complete

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# Parameterized complexity

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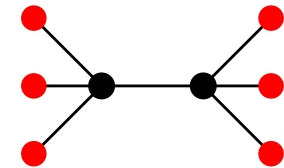
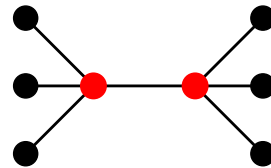
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$O(2^k n^2)$  algorithm exists

No  $n^{o(k)}$  algorithm known



# Parameterized problems

A problem is **fixed-parameter tractable (FPT)** with parameter  $k$  if it has an  $f(k) \cdot n^c$  time algorithm, where  $c$  is independent of  $k$ .

For a large number of NP-hard problems, the parameterized version is fixed-parameter tractable. For many other problems, we have evidence that they are not FPT (W[1]-hardness).

Fixed-parameter tractable problems:

- ⑥ MINIMUM VERTEX COVER
- ⑥ LONGEST PATH
- ⑥ DISJOINT TRIANGLES
- ⑥ GRAPH GENUS
- ⑥ ...

W[1]-hard problems:

- ⑥ MAXIMUM INDEPENDENT SET
- ⑥ MINIMUM DOMINATING SET
- ⑥ LONGEST COMMON SUBSEQUENCE
- ⑥ SET PACKING
- ⑥ ...

# Matroids

**Definition:** A set system  $\mathcal{M}$  over  $E$  is a **matroid** if

(1)  $\emptyset \in \mathcal{M}$ .

(2) If  $X \in \mathcal{M}$  and  $Y \subseteq X$ , then  $Y \in \mathcal{M}$ .

(3) If  $X, Y \in \mathcal{M}$  and  $|X| > |Y|$ , then  $\exists e \in X$  such that  $Y \cup \{e\} \in \mathcal{M}$ .

**Example:**  $\mathcal{M} = \{\emptyset, 1, 2, 3, 12, 13\}$  is a matroid.

**Example:**  $\mathcal{M} = \{\emptyset, 1, 2, 12, 3\}$  is not a matroid.

If  $x \in \mathcal{M}$ , then we say that  $X$  is **independent** in matroid  $\mathcal{M}$ .

# Matroids—Examples

**Cycle matroid:** Given a graph  $G$ , let  $\mathcal{M}$  contain those subsets  $E' \subseteq E$  that are acyclic.  $\mathcal{M}$  is a matroid.

**Partition matroid:** Let  $E_1, \dots, E_k$  be a partition of  $E$ , and let  $a_1, \dots, a_k$  be integers. Let  $X \in \mathcal{M}$  if and only if  $|X \cap E_i| \leq a_i$  for every  $i$ . Then  $\mathcal{M}$  is a matroid.

**Linear matroid:** Let  $A$  be matrix and let  $E$  be the set of column vectors in  $A$ . The subsets  $E' \subseteq E$  that are linearly independent form a matroid.

The matrix  $A$  is the **representation** of the linear matroid.



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**Fact:** If the elements have weights, then the greedy algorithm finds an independent set of maximum weight.

# Matroid intersection

Given two matroids  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , the **intersection**  $\mathcal{M}_1 \wedge \mathcal{M}_2$  contains those sets that are independent in both matroids.

**Fact:** [Edmonds] It is possible to find in polynomial time a set of maximum size in  $\mathcal{M}_1 \wedge \mathcal{M}_2$  (if  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are given by an independence oracle).

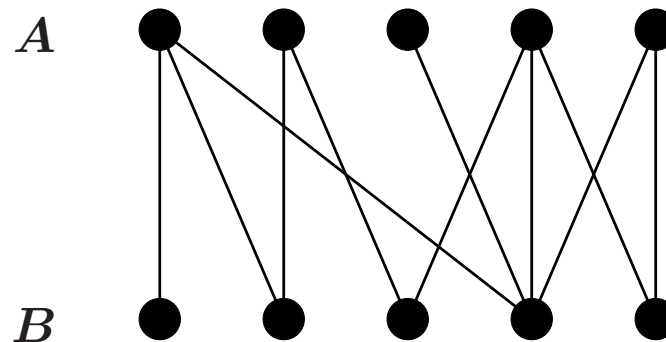
$\mathcal{M}_1 \wedge \mathcal{M}_2$  is not necessarily a matroid!

# Bipartite matching

Bipartite matching can be solved with matroid intersection.

We define two partition matroids on the edge set of  $G(A, B; E)$ :

- ⑥  $E' \in \mathcal{M}_1$  if  $E'$  contains at most one edge incident to each  $v \in A$ .
- ⑥  $E' \in \mathcal{M}_2$  if  $E'$  contains at most one edge incident to each  $v \in B$ .

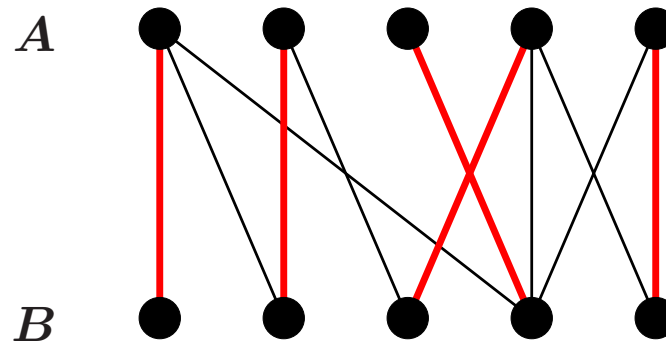


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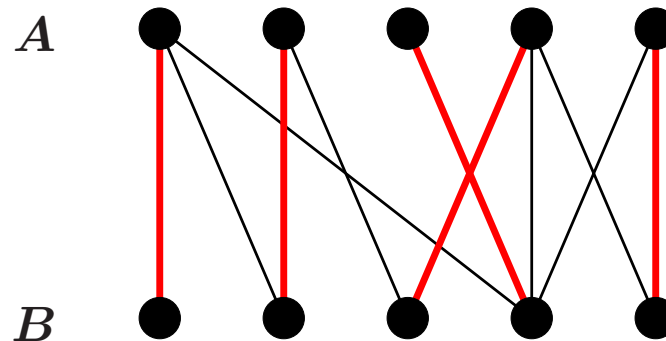
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**Fact:** Finding a set of maximum size in the intersection of 3 matroids is NP-hard (reduction from 3-dimensional matching).

# *Matroid parity*

Assume that  $E$  is partitioned into pairs and  $\mathcal{M}$  is a matroid over  $E$ .

**Task:** Find an independent set of  $\mathcal{M}$  that is the union of  $k$  pairs.

Can be used to solve (nonbipartite) matching.

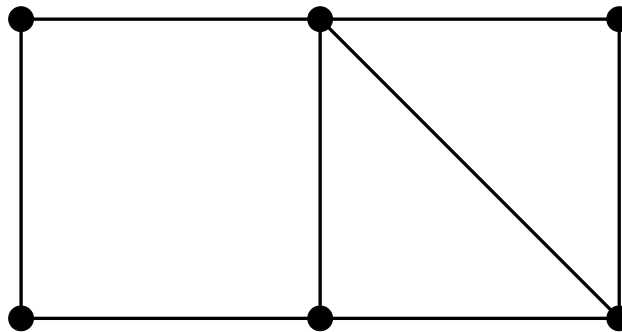
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Replace each edge with a pair of elements,  $\mathcal{M}$  is a partition matroid where the classes correspond to the vertices, and at most one element can be selected from each class.



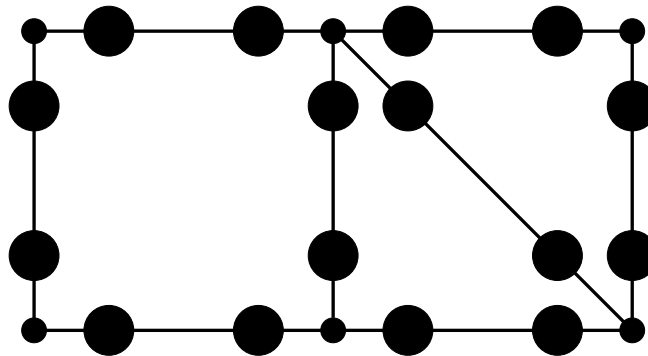
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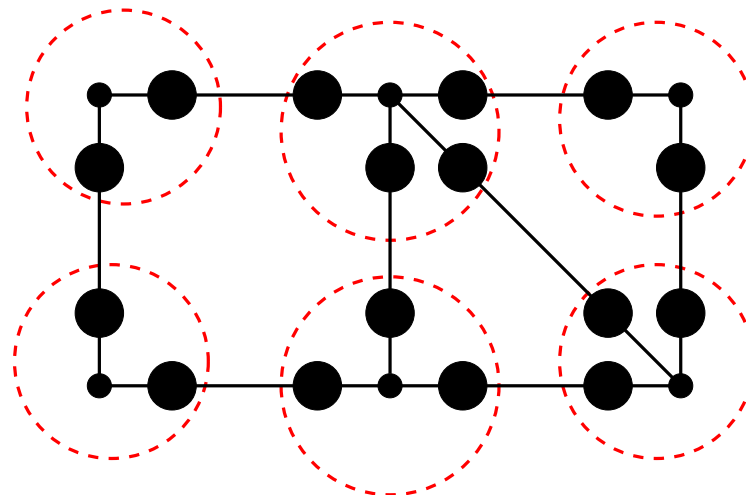
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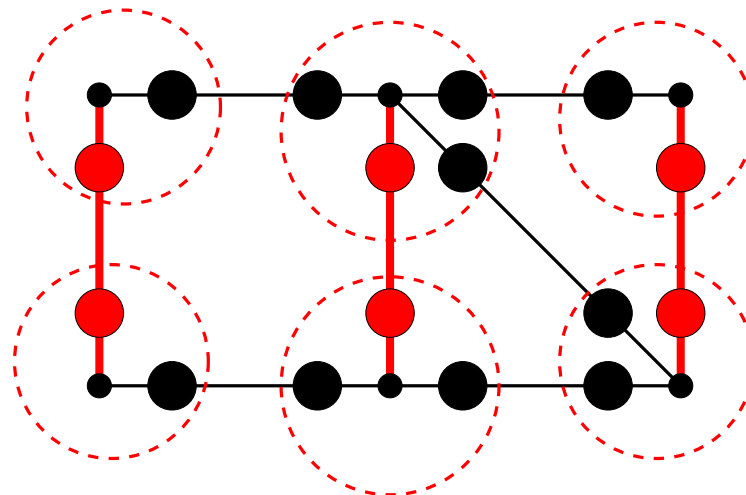
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# Matroid parity

**Fact:** Matroid parity is NP-hard.

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**Fact:** If we have triples instead of pairs, then the problem is NP-hard even for linear matroids (reduction from 3-dimensional matching).

**Main result:** Let  $\mathcal{M}$  be a linear matroid over  $E$ , given by a representation  $A$ . If  $E$  is partitioned into blocks of size  $\ell$ , then it can be decided in randomized time  $f(k, \ell) \cdot n^{O(1)}$  whether  $\mathcal{M}$  has an independent set that is the union of  $k$  blocks.

That is, the problem is (randomized) fixed-parameter tractable with parameters  $k$  and  $\ell$ .

# The algorithm

Inspired by Monien's algorithm for finding paths of length  $k$ .

Let  $\mathcal{S}_i$  be the set of all independent sets in  $\mathcal{M}$  that arise as the union of  $i$  blocks.

- ⑥ Set  $\mathcal{S}_0 := \{\emptyset\}$ .
- ⑥ Assume that  $\mathcal{S}_i$  is determined. For every  $S \in \mathcal{S}_i$  and every block  $B$ , if  $S$  and  $B$  are disjoint and  $S \cup B$  is independent in  $\mathcal{M}$ , then add  $S \cup B$  to  $\mathcal{S}_{i+1}$ .
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**Problem:** The size of  $\mathcal{S}_i$  can be  $n^k$  — running time is not fpt!

**Solution:** Retain only a small part of  $\mathcal{S}_i$ , and throw away all the other sets. (But be careful!)

# Representative systems

**Definition:** A subsystem  $\mathcal{S}_i^* \subseteq \mathcal{S}_i$  is  **$r$ -representative** if whenever some member of  $\mathcal{S}_i$  can be extended with  $r$  new blocks, then  $\mathcal{S}_i^*$  has a member that can be extended with the same blocks.



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**Formal definition:** A subsystem  $\mathcal{S}_i^* \subseteq \mathcal{S}_i$  is  **$r$ -representative** if for every  $X$  that is the union of  $r$  blocks

$$\exists S \in \mathcal{S}_i : S \cap X = \emptyset, S \cup X \in \mathcal{M}$$

↓

$$\exists S' \in \mathcal{S}_i^* : S' \cap X = \emptyset, S' \cup X \in \mathcal{M}.$$

Instead of the set  $\mathcal{S}_i$ , it is sufficient to have a  $(k - i)$ -representative subsystem.

# Representative systems

How small representative system can we find?

**Lemma:**  $\mathcal{S}_i$  has a  $(k - i)$ -representative subsystem of size at most  $\binom{k}{i}$ .

Proof is based on the following generalization of Bollobás' Inequality:

**Lemma:** [Lovász 1977] If  $A_1, \dots, A_n$  are  $a$ -dimensional subspaces,  $B_1, \dots, B_n$  are  $b$ -dimensional subspaces of a space of dimension  $a + b$  and

(1)  $A_j \cap B_{j'} = \emptyset$  for  $j = j'$ ,

(2)  $A_j \cap B_{j'} \neq \emptyset$  for  $j \neq j'$ ,

holds, then  $n \leq \binom{x+y}{x}$ .

# Truncation

**Definition:** The  $t$ -truncation of a matroid  $\mathcal{M}$  is a matroid  $\mathcal{M}'$  such that  $S \in \mathcal{M}'$  iff  $S \in \mathcal{M}$  and  $|S| \leq t$ .

The answer does not change if we replace  $\mathcal{M}$  with the  $k\ell$ -truncation  $\mathcal{M}'$ .

For technical reasons, we have to use the truncated matroid  $\mathcal{M}'$  in the algorithm.

A representation of  $\mathcal{M}'$  can be obtained in randomized polynomial time from a representation of  $\mathcal{M}$  (we need the Schwartz-Zippel Lemma here).

# The algorithm

- ⑥ Compute a representation  $A'$  of the  $k\ell$ -truncation.
- ⑥ Set  $\mathcal{S}_0^* := \emptyset$ .
- ⑥ For every  $S \in \mathcal{S}_i^*$  and every block  $B$ , if  $S$  and  $B$  are disjoint and  $S \cup B$  is independent in  $\mathcal{M}$ , then add  $S \cup B$  to  $\mathcal{S}_{i+1}^*$ .
- ⑥ Reduce the size of  $\mathcal{S}_i^*$  to  $\binom{k\ell}{i\ell}$ .
- ⑥ Check whether  $\mathcal{S}_k$  is empty or not.

As the size of  $\mathcal{S}_i^*$  can be bounded by  $f(k, \ell)$ , the running time is  $f(k, \ell) \cdot n^{O(1)}$ .

# Applications

- ⑥ Matroid intersection.
- ⑥ Packing problems.
- ⑥ A terminal location problem.

# Matroid intersection

**Reminder:** Finding a set of maximum size in the intersection of 2 matroids is polynomial-time solvable, but becomes NP-hard for the intersection of 3 matroids.

Can we find an independent set of size  $k$  in fpt-time?

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Can we find an independent set of size  $k$  in fpt-time?

**Theorem:** Given  $\ell$  matroids with representations  $A_1, \dots, A_\ell$ , we can determine in randomized time  $f(k, \ell) \cdot n^{O(1)}$  whether the intersection contains a set of size  $k$ .

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**Theorem:** Given  $\ell$  matroids with representations  $A_1, \dots, A_\ell$ , we can determine in randomized time  $f(k, \ell) \cdot n^{O(1)}$  whether the intersection contains a set of size  $k$ .

Consider the matrix  $A$  with the partition  $\{x_1, y_1, z_1\}, \dots, \{x_n, y_n, z_n\}$ :

$$\begin{pmatrix} x_1, x_2 \dots x_n & y_1, y_2 \dots y_n & z_1, z_2 \dots z_n \\ \boxed{A_1} & & \\ & \boxed{A_2} & \\ & & \boxed{A_3} \end{pmatrix}$$

Union of  $k$  blocks is independent in  $A$



$\mathcal{M}_1 \wedge \mathcal{M}_2 \wedge \mathcal{M}_3$  has a set of size  $k$

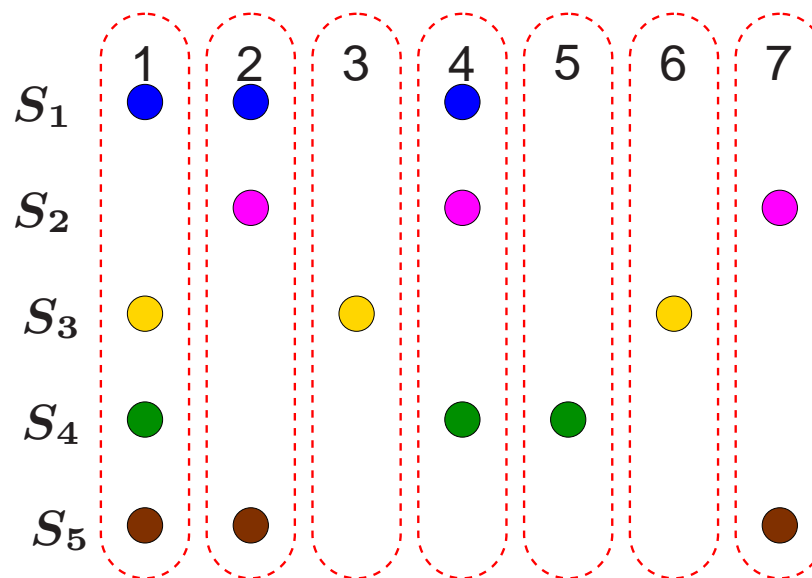


# Disjoint subsets

Let  $S_1, \dots, S_n \subseteq E$  be subsets of size  $\ell$ .

**Task:** Find  $k$  pairwise disjoint subsets.

**Example:** ( $\ell = 3$ ) Let  $S_1 = \{1, 2, 4\}$ ,  $S_2 = \{2, 4, 7\}$ ,  $S_3 = \{1, 3, 6\}$ ,  $S_4 = \{1, 4, 5\}$ ,  $S_5 = \{1, 2, 7\}$ . Consider the following partition matroid (at most one element in each class):

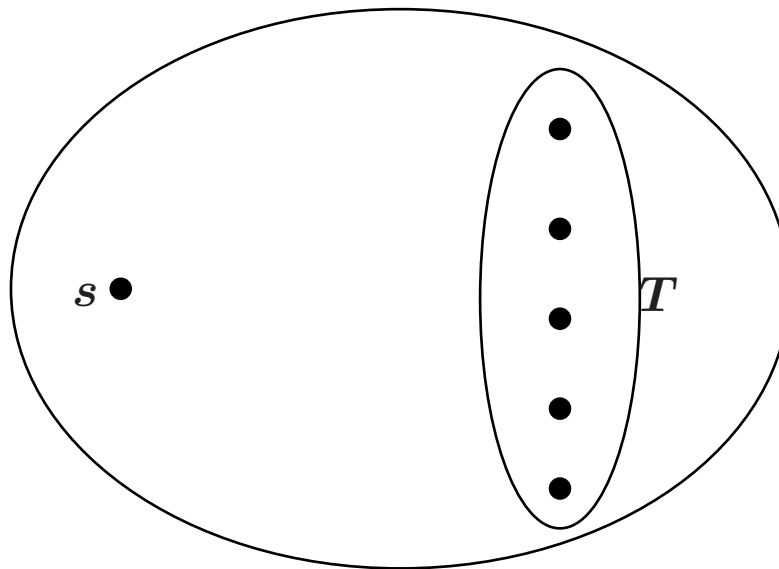


union of  $k$  triples is independent  $\Leftrightarrow$  there are  $k$  disjoint triples

# Reliable terminals

Let  $D$  be a directed graph with a source vertex  $s$  and a subset  $T$  of vertices.

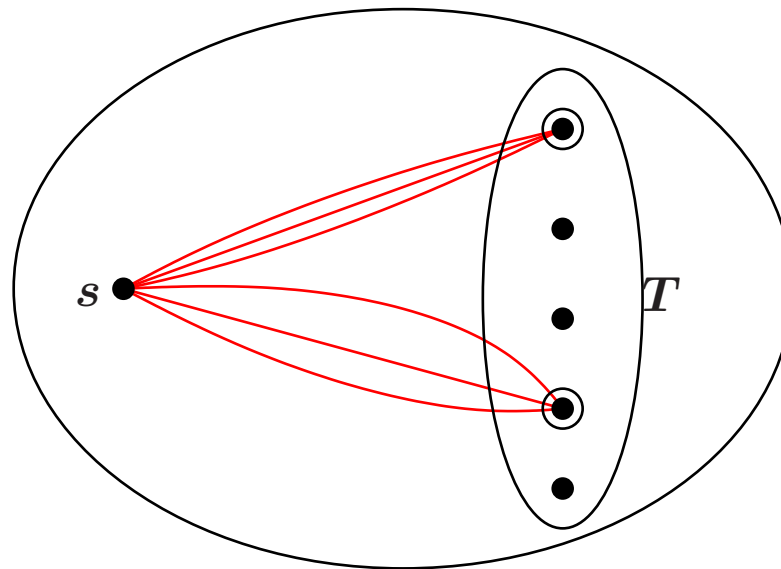
**Task:** Select  $k$  terminals  $t_1, \dots, t_k \in T$ , and  $\ell$  paths from  $s$  to each  $t_i$  such that these  $k \cdot \ell$  paths are pairwise internally vertex disjoint.



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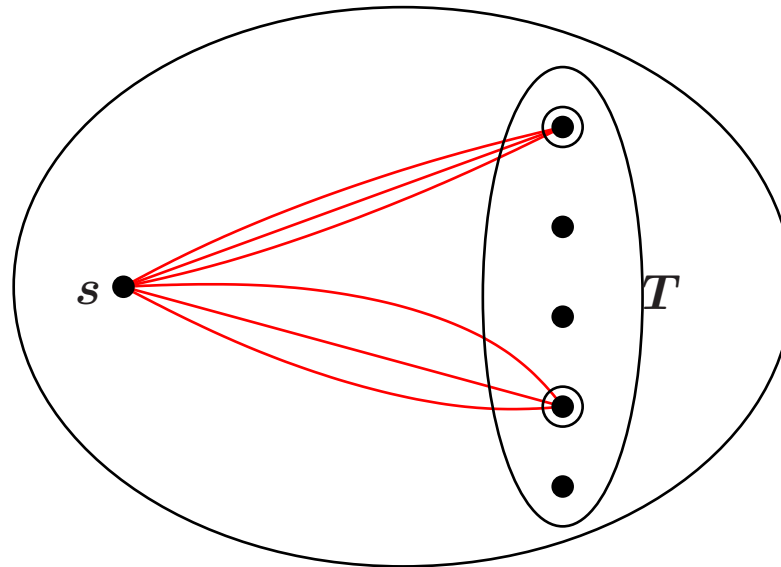


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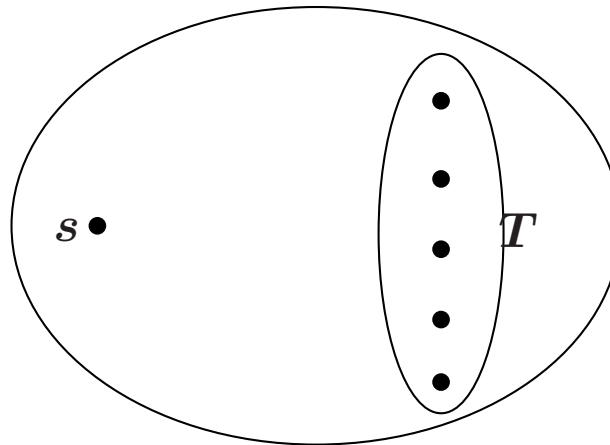


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**Theorem:** The problem can be solved in randomized time  $f(k, \ell) \cdot n^{O(1)}$ .

# Reliable terminals

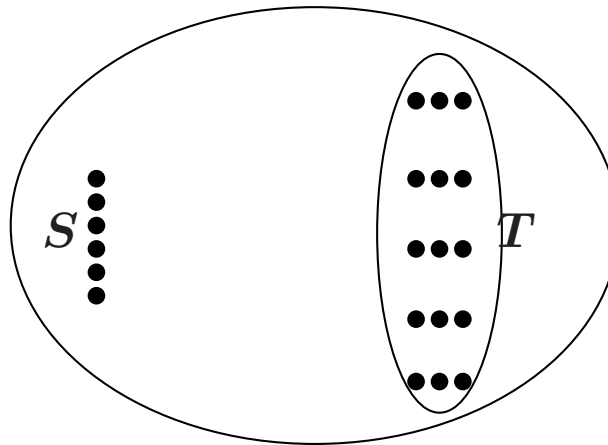
A technical trick: replace each  $t \in T$  with  $\ell$  copies, and replace  $s$  with a set  $S$  of  $k \cdot \ell$  copies.



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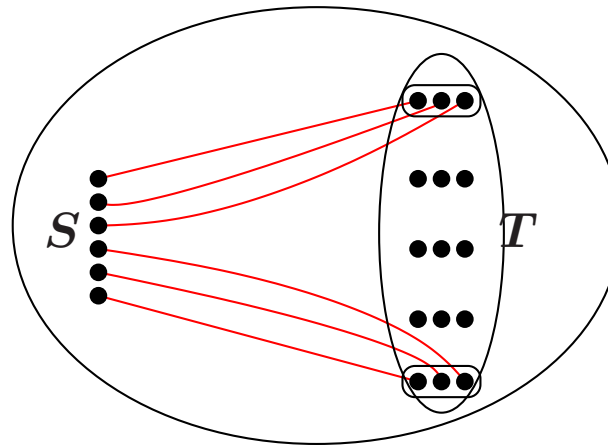
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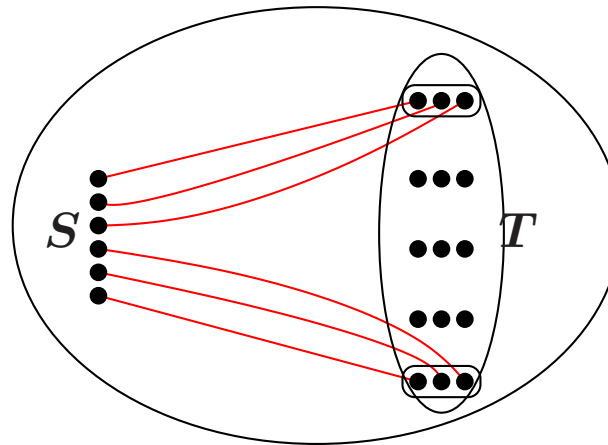


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Now if a terminal  $t$  is selected, then we should connect the  $\ell$  copies of  $t$  with  $\ell$  different vertices of  $S$ .

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Now if a terminal  $t$  is selected, then we should connect the  $\ell$  copies of  $t$  with  $\ell$  different vertices of  $S$ .

**Fact:** [Perfect] Let  $D$  be a directed graph and  $S$  a subset of vertices. Those subsets  $X$  that can be reached from  $S$  by disjoint paths form a matroid.



# Conclusions

- ⑥ Randomized fixed-parameter tractability of a general matroid problem.
- ⑥ Operations on representations.
- ⑥ Applications.