Minicourse on parameterized algorithms and complexity

Part 4: Treewidth

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Treewidth

- Treewidth: a notion of "treelike" graphs.
- Some combinatorial properties.
- Algorithmic results.
 - Algorithms on graphs of bounded treewidth.
 - Applications for other problems.

Party Problem	
Problem:	Invite some colleagues for a party.
Maximize:	The total fun factor of the invited people.
Constraint:	Everyone should be having fun.







PARTY PROBLEMProblem:Invite some colleagues for a party.Maximize:The total fun factor of the invited people.Constraint:Everyone should be having fun.Do not invite a colleague and
his direct boss at the same time!



- Input: A tree with weights on the vertices.
- Task: Find an independent set of maximum weight.

PARTY PROBLEM Problem: Invite some colleagues for a party. Maximize: The total fun factor of the invited people. Constraint: Everyone should be having fun. Do not invite a colleague and his direct boss at the same time!



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Solving the Party Problem

Dynamic programming paradigm:

We solve a large number of subproblems that depend on each other. The answer is a single subproblem.

Subproblems:

- T_{v} : the subtree rooted at v.
- A[v]: max. weight of an independent set in T_v
- B[v]: max. weight of an independent set in T_v that does not contain v

Goal: determine A[r] for the root r.

Solving the Party Problem

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Recurrence:

Assume v_1, \ldots, v_k are the children of v. Use the recurrence relations

$$B[v] = \sum_{i=1}^{k} A[v_i]$$

$$A[v] = \max\{B[v], w(v) + \sum_{i=1}^{k} B[v_i]\}$$

The values A[v] and B[v] can be calculated in a bottom-up order (the leaves are trivial).



Treewidth

How could we define that a graph is "treelike"?

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• Number of cycles is bounded.









good

bad

bad

bad

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Tree decomposition: Vertices are arranged in a tree structure satisfying the following properties:

- If u and v are neighbors, then there is a bag containing both of them.
- 2 For every v, the bags containing v form a connected subtree.



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treewidth: width of the best decomposition.



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Each bag is a separator.

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A subtree communicates with the outside world only via the root of the subtree.

Treewidth

Fact: treewidth = 1 \iff graph is a forest



Exercise: A cycle cannot have a tree decomposition of width 1.

Treewidth - outline

Basic algorithms

- 2 Combinatorial properties
- Applications

Finding tree decompositions

Hardness:

Theorem [Arnborg, Corneil, Proskurowski 1987]

It is NP-hard to determine the treewidth of a graph (given a graph G and an integer w, decide if the treewidth of G is at most w).

Fixed-parameter tractability:

Theorem [Bodlaender 1996]

There is a $2^{O(w^3)} \cdot n$ time algorithm that finds a tree decomposition of width w (if exists).

Consequence:

If we want an FPT algorithm parameterized by treewidth w of the input graph, then we can assume that a tree decomposition of width w is available.

Finding tree decompositions — approximately

Sometimes we can get better dependence on treewidth using approximation.

FPT approximation:

Theorem [Robertson and Seymour]

There is a $O(3^{3w} \cdot w \cdot n^2)$ time algorithm that finds a tree decomposition of width 4w + 1, if the treewidth of the graph is at most w.

Polynomial-time approximation:

Theorem [Feige, Hajiaghayi, Lee 2008]

There is a polynomial-time algorithm that finds a tree decomposition of width $O(w\sqrt{\log w})$, if the treewidth of the graph is at most w.

WEIGHTED MAX INDEPENDENT SET and treewidth

Theorem

Given a tree decomposition of width w, WEIGHTED MAX INDEPENDENT SET can be solved in time $O(2^w \cdot w^{O(1)} \cdot n)$.

 B_x : vertices appearing in node x.

 V_x : vertices appearing in the subtree rooted at x.

Generalizing our solution for trees:

Instead of computing 2 values A[v], B[v] for each **vertex** of the graph, we compute $2^{|B_x|} \leq 2^{w+1}$ values for each bag B_x .

M[x, S]:the max. weight of an independent set $I \subseteq V_x$ with $I \cap B_x = S$.



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How to determine M[x, S] if all the values are known for the children of x?

Nice tree decompositions

Definition

A rooted tree decomposition is **nice** if every node x is one of the following 4 types:

- Leaf: no children, $|B_x| = 1$
- Introduce: 1 child y with $B_x = B_y \cup \{v\}$ for some vertex v
- Forget: 1 child y with $B_x = B_y \setminus \{v\}$ for some vertex v
- Join: 2 children y_1 , y_2 with $B_x = B_{y_1} = B_{y_2}$



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Theorem

A tree decomposition of width w and n nodes can be turned into a nice tree decomposition of width w and O(wn) nodes in time $O(w^2n)$.

WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

- Leaf: no children, $|B_x| = 1$ Trivial!
- Introduce: 1 child y with $B_x = B_y \cup \{v\}$ for some vertex v

$$m[x,S] = \begin{cases} m[y,S] \\ m[y,S \setminus \{v\}] + w(v) \\ -\infty \end{cases}$$

if $v \notin S$, if $v \in S$ but v has no neighbor in S, if S contains v and its neighbor.



WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

• Forget: 1 child y with $B_x = B_y \setminus \{v\}$ for some vertex v

 $m[x,S] = \max\{m[y,S], m[y,S \cup \{v\}]\}$

• Join: 2 children y_1 , y_2 with $B_x = B_{y_1} = B_{y_2}$

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There are at most $2^{w+1} \cdot n$ subproblems m[x, S] and each subproblem can be solved in $w^{O(1)}$ time (assuming the children are already solved). Running time is $O(2^w \cdot w^{O(1)} \cdot n)$.

$\operatorname{3-COLORING}$ and tree decompositions

Theorem

Given a tree decomposition of width w, 3-COLORING can be solved in $O(3^w \cdot w^{O(1)} \cdot n)$.

 B_{x} : vertices appearing in node x.

 V_x : vertices appearing in the subtree rooted at x.

For every node x and coloring $c : B_x \rightarrow \{1, 2, 3\}$, we compute the Boolean value E[x, c], which is true if and only if c can be extended to a proper 3-coloring of V_x .



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- Leaf: no children, $|B_x| = 1$ Trivial!
- Introduce: 1 child y with $B_x = B_y \cup \{v\}$ for some vertex v If $c(v) \neq c(u)$ for every neighbor u of v, then E[x, c] = E[y, c'], where c' is c restricted to B_y .
- Forget: 1 child y with $B_x = B_y \setminus \{v\}$ for some vertex v E[x, c] is true if E[y, c'] is true for one of the 3 extensions of c to B_y .
- Join: 2 children y_1 , y_2 with $B_x = B_{y_1} = B_{y_2}$ $E[x, c] = E[y_1, c] \land E[y_2, c]$



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There are at most $3^{w+1} \cdot n$ subproblems E[x, c] and each subproblem can be solved in $w^{O(1)}$ time (assuming the children are already solved).

- \Rightarrow Running time is $O(3^w \cdot w^{O(1)} \cdot n)$.
- \Rightarrow 3-COLORING is FPT parameterized by treewidth.

Monadic Second Order Logic

Extended Monadic Second Order Logic (EMSO)

A logical language on graphs consisting of the following:

- Logical connectives \land , \lor , \rightarrow , \neg , =, \neq
- quantifiers \forall , \exists over vertex/edge variables
- predicate adj(u, v): vertices u and v are adjacent
- predicate inc(e, v): edge e is incident to vertex v
- quantifiers \forall , \exists over vertex/edge set variables
- \in , \subseteq for vertex/edge sets

Example:

The formula

 $\exists C \subseteq V \exists v_0 \in C \forall v \in C \ \exists u_1, u_2 \in C(u_1 \neq u_2 \land \mathsf{adj}(u_1, v) \land \mathsf{adj}(u_2, v))$

is true on graph G if and only if ...

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is true on graph G if and only if G has a cycle.
Courcelle's Theorem

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If a graph property can be expressed in EMSO, then for every fixed $w \ge 1$, there is a linear-time algorithm for testing this property on graphs having treewidth at most w.

Note: The constant depending on w can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

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If we can express a property in EMSO, then we immediately get that testing this property is FPT parameterized by the treewidth w of the input graph.

Can we express $\operatorname{3-Coloring}$ and HAMILTONIAN CYCLE in EMSO?

3-COLORING

$$\exists C_1, C_2, C_3 \subseteq V (\forall v \in V (v \in C_1 \lor v \in C_2 \lor v \in C_3)) \land (\forall u, v \in V adj(u, v) \rightarrow (\neg(u \in C_1 \land v \in C_1) \land \neg(u \in C_2 \land v \in C_2) \land \neg(u \in C_3 \land v \in C_3)))$$

3-COLORING

 $\exists C_1, C_2, C_3 \subseteq V (\forall v \in V (v \in C_1 \lor v \in C_2 \lor v \in C_3)) \land (\forall u, v \in V \text{ adj}(u, v) \rightarrow (\neg(u \in C_1 \land v \in C_1) \land \neg(u \in C_2 \land v \in C_2) \land \neg(u \in C_3 \land v \in C_3)))$

HAMILTONIAN CYCLE

 $\exists H \subseteq E (\text{spanning}(H) \land (\forall v \in V \text{ degree2}(H, v)))$ degree0(H, v) := $\neg \exists e \in H \text{ inc}(e, v)$ degree1(H, v) := $\neg \text{degree0}(H, v) \land (\neg \exists e_1, e_2 \in H (e_1 \neq e_2 \land \text{inc}(e_1, v) \land \text{inc}(e_2, v)))$ degree2(H, v) := $\neg \text{degree0}(H, v) \land \neg \text{degree1}(H, v) \land (\neg \exists e_1, e_2, e_3 \in H (e_1 \neq e_2 \land e_2 \neq e_3 \land e_1 \neq e_3 \land \text{inc}(e_1, v) \land \text{inc}(e_2, v) \land \text{inc}(e_3, v))))$ spanning(H) := $\forall u, v \in V \exists P \subseteq H \forall x \in V (((x = u \lor x = v) \land \text{degree1}(P, x)) \lor (x \neq u \land x \neq v \land (\text{degree0}(P, x) \lor \text{degree2}(P, x))))$

Two ways of using Courcelle's Theorem:

• The problem can be described by a single formula (e.g, 3-COLORING, HAMILTONIAN CYCLE).

⇒ Problem can be solved in time $f(w) \cdot n$ for graphs of treewidth at most w, i.e., FPT parameterized by treewidth.

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The problem can be described by a single formula (e.g, 3-COLORING, HAMILTONIAN CYCLE).

⇒ Problem can be solved in time $f(w) \cdot n$ for graphs of treewidth at most w, i.e., FPT parameterized by treewidth.

• The problem can be described by a formula for each value of the parameter k.

Example: For each k, having a cycle of length exactly k can be expressed as

 $\exists v_1, \ldots, v_k \in V ((v_1 \neq v_2) \land (v_1 \neq v_3) \land \ldots (v_{k-1} \neq v_k)) \\ \land \operatorname{adj}(v_{k-1}, v_k) \land \operatorname{adj}(v_k, v_1)).$

⇒ Problem can be solved in time $f(k, w) \cdot n$ for graphs of treewidth w, i.e., FPT parameterized with combined parameter k and treewidth w.

SUBGRAPH ISOMORPHISM

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Input: graphs *H* and *G*

Find: a subgraph of G isomorphic to H.

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Input:	graphs <i>H</i> and <i>G</i>
Find:	a subgraph of G isomorphic to H .

For each H, we can construct a formula ϕ_H that expresses "G has a subgraph isomorphic to H" (similarly to the *k*-cycle on the previous slide).

⇒ By Courcelle's Theorem, SUBGRAPH ISOMORPHISM can be solved in time $f(H, w) \cdot n$ if G has treewidth at most w.

SUBGRAPH ISOMORPHISM

Subgraph Isomorphism

Input: graphs H and GFind: a subgraph of G isomorphic to H.

Since there is only a finite number of simple graphs on k vertices, SUBGRAPH ISOMORPHISM can be solved in time $f(k, w) \cdot n$ if H has k vertices and G has treewidth at most w.

Theorem

SUBGRAPH ISOMORPHISM is FPT parameterized by combined parameter k := |V(H)| and the treewidth w of G.

MSO on words

Theorem [Büchi, Elgot, Trakhtenbrot 1960]

If a language $L \subseteq \Sigma^*$ can be defined by an MSO formula ϕ using the relation <, then L is regular.

Example: a*bc* is defined by

 $\exists x : P_b(x) \land (\forall y : (y < x) \rightarrow P_a(y)) \land (\forall y : (x < y) \rightarrow P_c(y)).$

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We prove a more general statement for formulas $\phi(w, X_1, \ldots, X_k)$ and words over $\Sigma \cup \{0, 1\}^k$, where X_i is a subset of symbols of w.

Induction over the structure of ϕ :

- FSM for $\neg \phi(w)$, given FSM for $\phi(w)$.
- FSM for $\phi_1(w) \wedge \phi_2(w)$, given FSMs for $\phi_1(w)$ and $\phi_2(w)$.
- FSM for $\exists X \phi(w, X)$, given FSM for $\phi(w, X)$.
- etc.

MSO on words

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Proving Courcelle's Theorem:

- Generalize from words to trees.
- A width-k tree decomposition can be interpreted as a tree over an alphabet of size f(k).
- Formula \Rightarrow tree automata.

Algorithms — overview

- Algorithms exploit the fact that a subtree communicates with the rest of the graph via a single bag.
- Key point: defining the subproblems.
- Courcelle's Theorem makes this process automatic for many problems.
- There are notable problems that are easy for trees, but hard for bounded-treewidth graphs.

${\sf Treewidth} - {\sf outline}$

- Basic algorithms
- 2 Combinatorial properties
- Applications

Minor

An operation similar to taking subgraphs:

Definition

Graph *H* is a **minor** of G ($H \le G$) if *H* can be obtained from *G* by deleting edges, deleting vertices, and contracting edges.



Properties of treewidth

Fact: Treewidth does not increase if we delete edges, delete vertices, or contract edges.

 \Rightarrow If *F* is a **minor** of *G*, then the treewidth of *F* is at most the treewidth of *G*.

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Fact: The treewidth of the *k*-clique is k - 1.

Fact: For every $k \ge 2$, the treewidth of the $k \times k$ grid is exactly k.



Game: *k* cops try to capture a robber in the graph.

- In each step, the cops can move from vertex to vertex arbitrarily with helicopters.
- The robber moves infinitely fast on the edges, and sees where the cops will land.

Theorem [Seymour and Thomas 1993]

$$k+1$$
 cops can win the game \iff the treewidth of the graph
is at most k .

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Theorem [Seymour and Thomas 1993] k+1 cops can win the game \iff the treewidth of the graph is at most k.

Consequence 1: Algorithms

The winner of the game can be determined in time $n^{O(k)}$ using standard techniques (there are at most n^k positions for the cops)

₩

For every fixed k, it can be checked in polynomial-time if treewidth is at most k.

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Consequence 2: Lower bounds

Exercise 1: Show that the treewidth of the $k \times k$ grid is at least k - 1. (E.g., robber can win against k - 1 cops.)

Exercise 2: Show that the treewidth of the $k \times k$ grid is at least k. (E.g., robber can win against k cops.)



















Excluded Grid Theorem

Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least $k^{4k^2(k+2)}$, then G has a $k \times k$ grid minor.



(A k^{O(1)} bound was achieved recently [Chekuri and Chuznoy 2014]!)

Excluded Grid Theorem

Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least $k^{4k^2(k+2)}$, then G has a $k \times k$ grid minor.

Observation: Every planar graph is the minor of a sufficiently large grid.

Consequence

If H is planar, then every H-minor free graph has treewidth at most f(H).

Excluded Grid Theorem

Excluded Grid Theorem [Diestel et al. 1999]

If the treewidth of G is at least $k^{4k^2(k+2)}$, then G has a $k \times k$ grid minor.

A large grid minor is a "witness" that treewidth is large, but the relation is approximate:



Planar Excluded Grid Theorem

For planar graphs, we get linear instead of exponential dependence:

Theorem [Robertson, Seymour, Thomas 1994]

Every **planar graph** with treewidth at least 5k has a $k \times k$ grid minor.



Outerplanar graphs

Definition

A planar graph is **outerplanar** if it has a planar embedding where every vertex is on the infinite face.



Fact

Every outerplanar graph has treewidth at most 2.

 \Rightarrow Every outerplanar graph is subgraph of a series-parallel graph.

k-outerplanar graphs

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

Definition

A planar graph is k-outerplanar if it has a planar embedding having at most k layers.



Fact

Every *k*-outerplanar graph has treewidth at most 3k + 1.
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Fact Every *k*-outerplanar graph has treewidth at most 3k + 1.

${\sf Treewidth} - {\sf outline}$

- Basic algorithms
- Ombinatorial properties
- Applications
 - The shifting technique
 - Bidimensionality

Approximation schemes

Definition

A polynomial-time approximation scheme (PTAS) for a problem P is an algorithm that takes an instance of P and a rational number $\epsilon > 0$,

- always finds a $(1 + \epsilon)$ -approximate solution,
- the running time is polynomial in *n* for every fixed $\epsilon > 0$.

Typical running times: $2^{1/\epsilon} \cdot n$, $n^{1/\epsilon}$, $(n/\epsilon)^2$, n^{1/ϵ^2} .

Some classical problems that have a PTAS:

- \bullet INDEPENDENT SET for planar graphs
- $\bullet \ \mathrm{TSP}$ in the Euclidean plane
- STEINER TREE in planar graphs
- KNAPSACK

Theorem

There is a $2^{O(1/\epsilon)} \cdot n$ time PTAS for INDEPENDENT SET for planar graphs.



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There is a $2^{O(1/\epsilon)} \cdot n$ time PTAS for INDEPENDENT SET for planar graphs.



- Let D := 1/ϵ. For a fixed 0 ≤ s < D, delete every layer L_i with i = s (mod D)
- The resulting graph is *D*-outerplanar, hence it has treewidth at most $3D + 1 = O(1/\epsilon)$.
- Using the $2^{O(tw)} \cdot n$ time algorithm for INDEPENDENT SET, the problem on the *D*-outerplanar graph can be solved in time $2^{O(1/\epsilon)} \cdot n$.

Theorem

There is a $2^{O(1/\epsilon)} \cdot n$ time PTAS for INDEPENDENT SET for planar graphs.



We do this for every $0 \le s < D$: for at least one value of s, we delete at most $1/D = \epsilon$ fraction of the solution

We get a $(1 + \epsilon)$ -approximate solution.

SUBGRAPH ISOMORPHISM

- Input: graphs H and G
 - Find: a subgraph G isomorphic to H.



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- For a fixed 0 ≤ s < k + 1, delete every layer L_i with i = s (mod k + 1)
- The resulting graph is *k*-outerplanar, hence it has treewidth at most 3k + 1.
- Using the $f(k, tw) \cdot n$ time algorithm for SUBGRAPH ISOMORPHISM, the problem can be solved in time $f(k, 3k + 1) \cdot n$.

SUBGRAPH ISOMORPHISM

- Input: graphs H and G
 - Find: a subgraph G isomorphic to H.



We do this for every $0 \le s < k + 1$: for at least one value of *s*, we do not delete any of the *k* vertices of the solution

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\Downarrow

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Theorem

SUBGRAPH ISOMORPHISM for planar graphs is FPT parameterized by k := |V(H)|.

- The technique is very general, works for many problems on planar graphs:
 - INDEPENDENT SET
 - VERTEX COVER
 - Dominating Set
 - ...
- More generally: First-Order Logic problems.
- But for some of these problems, much better techniques are known (see the following slides).

A powerful framework for efficient algorithms on planar graphs.

Setup:

- Let x(G) be some graph invariant (i.e., an integer associated with each graph).
- Given G and k, we want to decide if $x(G) \le k$ (or $x(G) \ge k$).
- Typical examples:
 - Maximum independent set size.
 - Minimum vertex cover size.
 - Length of the longest path.
 - Minimum dominating set size.
 - Minimum feedback vertex set size.

Bidimensionality [Demaine, Fomin, Hajiaghayi, Thilikos 2005]

For many natural invariants, we can do this in time $2^{O(\sqrt{k})} \cdot n^{O(1)}$ on planar graphs.

Bidimensionality for $\operatorname{VERTEX}\,\operatorname{COVER}$

Observation: If the treewidth of a planar graph *G* is at least $5\sqrt{2k}$ \Rightarrow It has a $\sqrt{2k} \times \sqrt{2k}$ grid minor (Planar Excluded Grid Theorem) \Rightarrow The grid has a matching of size *k*

- \Rightarrow Vertex cover size is at least k in the grid.
- \Rightarrow Vertex cover size is at least k in G.



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- \Rightarrow The grid has a matching of size k
- \Rightarrow Vertex cover size is at least k in the grid.
- \Rightarrow Vertex cover size is at least k in G.

We use this observation to solve $\operatorname{Vertex}\,\operatorname{Cover}$ on planar graphs:

- Set $w := 5\sqrt{2k}$.
- Find a 4-approximate tree decomposition.
 - If treewidth is at least w: we answer "vertex cover is ≥ k."
 - If we get a tree decomposition of width 4w, then we can solve the problem in time $2^{O(w)} \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$.



Definition

A graph invariant x(G) is minor-bidimensional if

- $x(G') \le x(G)$ for every minor G' of G, and
- If G_k is the $k \times k$ grid, then $x(G_k) \ge ck^2$ (for some constant c > 0).



Examples: minimum vertex cover, length of the longest path, feedback vertex set are minor-bidimensional.

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Bidimensionality (cont.)

We can answer " $x(G) \ge k$?" for a minor-bidimensional invariant the following way:

- Set $w := c\sqrt{k}$ for an appropriate constant c.
- Use the 4-approximation tree decomposition algorithm.
 - If treewidth is at least w: x(G) is at least k.
 - If we get a tree decomposition of width 4w, then we can solve the problem using dynamic programming on the tree decomposition.

Running time:

- If we can solve the problem on tree decomposition of width w in time $2^{O(w)} \cdot n^{O(1)}$, then the running time is $2^{O(\sqrt{k})} \cdot n^{O(1)}$.
- If we can solve the problem on tree decomposition of width w in time $w^{O(w)} \cdot n^{O(1)}$, then the running time is $2^{O(\sqrt{k}\log k)} \cdot n^{O(1)}$.

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Exercise: DOMINATING SET is **not** minor-bidimensional.

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Exercise: DOMINATING SET is **not** minor-bidimensional.

We fix the problem by allowing only contractions but not edge/vertex deletions.

Theorem

Every planar graph with treewidth at least 5k can be contracted to a partially triangulated $k \times k$ grid.



Definition

A graph invariant x(G) is contraction-bidimensional if

- $x(G') \leq x(G)$ for every contraction G' of G, and
- If G_k is a $k \times k$ partially triangulated grid, then $x(G_k) \ge ck^2$ (for some constant c > 0).


Contraction bidimensionality

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Example: minimum dominating set, maximum independent set are contraction-bidimensional.

Contraction bidimensionality

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A graph invariant x(G) is contraction-bidimensional if

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Example: minimum dominating set, maximum independent set are contraction-bidimensional.

Bidimensionality for DOMINATING SET

The size of a minimum dominating set is a contraction bidimensional invariant: we need at least $(k - 2)^2/9$ vertices to dominate all the internal vertices of a partially triangulated $k \times k$ grid (since a vertex can dominate at most 9 internal vertices).

Theorem

Given a tree decomposition of width w, DOMINATING SET can be solved in time $3^w \cdot w^{O(1)} \cdot n^{O(1)}$.

Solving DOMINATING SET on planar graphs:

- Set $w := 5(3\sqrt{k} + 2)$.
- Use the 4-approximation tree decomposition algorithm.
 - If treewidth is at least w: we answer 'dominating set is $\geq k$ '.
 - If we get a tree decomposition of width 4w, then we can solve the problem in time $3^w \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$.

Treewidth

Tree decomposition: Vertices are arranged in a tree structure satisfying the following properties:

If u and v are neighbors, then there is a bag containing both of them.

⁽²⁾ For every v, the bags containing v form a connected subtree.

Width of the decomposition: largest bag size -1.

treewidth: width of the best decomposition.

